

**BAYOU HEALTH ADMINISTRATIVE ACTIONS, MONETARY PENALTIES, AND SANCTIONS**

Tracking Number	Contractor Name and Address	Failed Deliverable/Non-Compliance with Contract Requirements	Notice of Action Date	Corrective Action Plan Submission Date (if applicable)	Compliance Date	Potential Monetary Penalties	Monetary Penalty Notice Date (if applicable)	Monetary Penalty Amount (if applicable)	Monetary Penalty Paid (date and amount)	Other
LAC01	LaCare P.O. Box 83580 Baton Rouge, LA 70884	<b>Pharmacy Encounter Claims Submission - Section 17.6.2.1 of Exhibit E of the contract</b> , The Health Plan shall submit a monthly claim level detail file of pharmacy encounters to DHH which includes individual claim level detail information on each pharmacy claim dispensed to a Medicaid patient, including but not limited to the total number of metric units, dosage form, strength and package size, National Drug Code of each covered outpatient drug dispensed to Medicaid enrollees. This monthly submission must comply with Section 17.5.4 requirements.	<a href="#">2/26/2013</a>	N/A	3/3/2013	<b>DHH Monetary Penalties for Noncompliance with Other Timely Reporting or Deliverable Requirements - Section 20.2.3 of Exhibit E of the contract.</b> The CCN may be subject to a sanction of \$10,000 per calendar day for each day the information is late; or incomplete, deficient and/or inaccurate until the information have been submitted and accepted by DHH as complete, accurate and has no deficiencies	<a href="#">5/16/2013</a>	<b>\$170,000</b>	\$170,000 06/18/2013	
LAC02	LaCare P.O. Box 83580 Baton Rouge, LA 70884	<b>Encounter Data Submissions - Section 17.5.4.12.</b> For encounter data submissions, the CCN shall submit ninety-five (95%) of its encounter data at least monthly due no later than the twenty-fifth (25th) calendar day of the month following the month in which they were processed and approved/paid, including encounters reflecting a zero dollar amount (\$0.00) and encounters in which the CCN has a capitation arrangement with a provider. The CCN CEO or CFO shall attest to the truthfulness, accuracy, and completeness of all encounter data submitted.	<a href="#">1/17/2013</a>	N/A	4/25/2013	<b>DHH Monetary Penalties for Noncompliance with Other Timely Reporting or Deliverable Requirements - Section 20.2.3 of Exhibit E of the contract.</b> The CCN may be subject to a sanction of \$10,000 per calendar day for each day the information is late; or incomplete, deficient and/or inaccurate until the information have been submitted and accepted by DHH as complete, accurate and has no deficiencies	<a href="#">6/18/2013</a>	<b>\$240,000</b>	\$240,000 07/16/2013	
LAC03	LaCare P.O. Box 83580 Baton Rouge, LA 70884	<b>Encounter Data Request - Paid Date Supplemental Data File not received - Section 18.1.</b> Ad Hoc Reports - The CCN shall prepare and submit any other reports as required and requested by DHH, any of DHH designees, and/or CMS, that is related to the CCN's duties and obligations under this Contract. Information considered to be of a proprietary nature shall be clearly identified as such by the CCN at the time of submission. DHH will make every effort to provide a sixty (60) day notice of the need for submission to give the CCN adequate time to prepare the reports.	<a href="#">1/16/2013</a>	N/A	<a href="#">1/18/2013</a>	<b>20.2 Monetary Penalties - Section 20.2.1.</b> The purpose of establishing and imposing monetary penalties is to provide a means for DHH to obtain the services and level of performance required for successful operation of the Contract. DHH's failure to assess monetary penalties in one or more of the particular instances described herein will in no event waive the right for DHH to assess additional monetary penalties or actual damages.  Failed Deliverable: Ad Hoc Reports as required by this Contract or upon request by DHH.  Sanction: Two thousand dollars (\$2,000.00) per calendar day for each business day that a report is late or incorrect.	N/A	N/A	N/A	

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LAC04	LaCare P.O. Box 83580 Baton Rouge, LA 70884	<b>Incorrect Cash Disbursement Journal and Supplemental Data Files - Section 18.1.</b> Ad Hoc Reports - The CCN shall prepare and submit any other reports as required and requested by DHH, any of DHH designees, and/or CMS, that is related to the CCN's duties and obligations under this Contract. Information considered to be of a proprietary nature shall be clearly identified as such by the CCN at the time of submission. DHH will make every effort to provide a sixty (60) day notice of the need for submission to give the CCN adequate time to prepare the reports.	<a href="#">10/18/2013</a>	<a href="#">10/25/2013</a>	<a href="#">2/11/2014</a>	<p><b>20.2 Monetary Penalties - Section 20.2.1.</b> The purpose of establishing and imposing monetary penalties is to provide a means for DHH to obtain the services and level of performance required for successful operation of the Contract. DHH's failure to assess monetary penalties in one or more of the particular instances described herein will in no event waive the right for DHH to assess additional monetary penalties or actual damages.</p> <p>Failed Deliverable: Ad Hoc Reports as required by this Contract or upon request by DHH.</p> <p>Sanction: Two thousand dollars (\$2,000.00) per calendar day for each business day that a report is late or incorrect.</p>	N/A	N/A	N/A	