

**BAYOU HEALTH ADMINISTRATIVE ACTIONS, MONETARY PENALTIES, AND SANCTIONS**

Tracking Number	Contractor Name and Address	Failed Deliverable/Non-Compliance with Contract Requirements	Notice of Action Date	Corrective Action Plan Submission Date (if applicable)	Compliance Date	Potential Monetary Penalties	Monetary Penalty Notice Date (if applicable)	Monetary Penalty Amount (if applicable)	Monetary Penalty Paid (date and amount)	Other
LHC01	Louisiana Healthcare Connections 8585 Archives Ave. Ste. 310 Baton Rouge, LA 70809	<b>Concurrent Review Determinations- Section 8.5.1.1.3.</b> The CCN shall make concurrent review determinations within one (1) business day of obtaining the appropriate medical information that may be required.	<a href="#">7/11/2012</a>	<a href="#">7/25/2012</a>	<a href="#">10/31/2013</a>	<b>DHH Monetary Penalties for Noncompliance with Other Reporting and/or Deliverable Requirements - Section 20.3.1 of Exhibit E of the contract.</b> For each day that a deliverable is late, incorrect or deficient, the CCN may be liable to DHH for monetary penalties in an amount per calendar day per deliverable as specified in the table below for reports and deliverables not otherwise specified in the Table of Monetary Penalties. Monetary penalties have been designed to escalate by duration and by occurrence over the term of this Contract.  Days 1 to 14: \$750 daily. Days 15 to 30: \$1,200 daily. Days 31 to 60: \$2,000 daily. Days 61 and beyond: \$3,000 daily.	N/A	N/A	N/A	
LHC02	Louisiana Healthcare Connections 8585 Archives Ave. Ste. 310 Baton Rouge, LA 70809	<b>Encounter Data - Prepaid Coordinated Care Networks RFP Section 17.5.4.</b> Encounter Data 17.5.4.12 For encounter data submissions, the CCN shall submit ninety-five (95%) of its encounter data at least monthly due no later than the twenty-fifth (25th) calendar day of the month following the month in which they were processed and approved/paid, including encounters reflecting a zero dollar amount (\$0.00) and encounters in which the CCN has a capitation arrangement with a provider. The CCN CEO or CFO shall attest to the truthfulness, accuracy, and completeness of all encounter data submitted.	<a href="#">1/15/2013</a>	N/A	<a href="#">3/1/2013</a>	<b>DHH Monetary Penalties for Noncompliance with Other Timely Reporting or Deliverable Requirements - Section 20.2.3 of Exhibit E of the contract.</b>  Ten thousand dollars (\$10,000.00) per calendar day for each day after the due date that the monthly encounter data has not been received in the format and per specifications outlined in the RFP.  Ten thousand dollars (\$10,000.00) per calendar day for each day encounter data is received after the due date, for failure to correct and resubmit encounter data that was originally returned to the CCN for correction because submission data was in excess of the five (5) percent error rate threshold, until acceptance of the data by the fiscal intermediary.  Ten thousand dollars (\$10,000.00) per return by the	N/A	N/A	N/A	<a href="#">06/12/2013 Compliance Clarification</a>

**BAYOU HEALTH ADMINISTRATIVE ACTIONS, MONETARY PENALTIES, AND SANCTIONS**

Tracking Number	Contractor Name and Address	Failed Deliverable/Non-Compliance with Contract Requirements	Notice of Action Date	Corrective Action Plan Submission Date (if applicable)	Compliance Date	Potential Monetary Penalties	Monetary Penalty Notice Date (if applicable)	Monetary Penalty Amount (if applicable)	Monetary Penalty Paid (date and amount)	Other
						<p>fiscal intermediary of re-submission of encounter data that was returned to the CCN, as submission data was in excess of the five (5) percent error rate threshold, for correction and was rejected for the second time.</p> <p>Ten thousand dollars (\$10,000.00) per occurrence of medical record review by DHH or its designee where the CCN or its provider(s) denotes provision of services which were not submitted in the encounter data regardless of whether or not the provider was paid for the service that was documented.</p> <p>Penalties specified above shall not apply for encounter data for the first three months after direct services to CCN members have begun to permit time for development and implementation of a system for exchanging data and training of staff and health care providers.</p>				
LHC03	Louisiana Healthcare Connections 8585 Archives Ave. Ste. 310 Baton Rouge, LA 70809	<p><b>Encounter Data Request - Paid Date</b>  <b>Supplemental Data File not received - Section 18.1.</b> Ad Hoc Reports - The CCN shall prepare and submit any other reports as required and requested by DHH, any of DHH designees, and/or CMS, that is related to the CCN's duties and obligations under this Contract. Information considered to be of a proprietary nature shall be clearly identified as such by the CCN at the time of submission. DHH will make every effort to provide a sixty (60) day notice of the need for submission to give the CCN adequate time to prepare the reports.</p>	<a href="#">3/1/2013</a>	N/A	<a href="#">3/8/2013</a>	<p><b>20.2 Monetary Penalties - Section 20.2.1.</b> The purpose of establishing and imposing monetary penalties is to provide a means for DHH to obtain the services and level of performance required for successful operation of the Contract. DHH's failure to assess monetary penalties in one or more of the particular instances described herein will in no event waive the right for DHH to assess additional monetary penalties or actual damages.</p> <p>Failed Deliverable: Ad Hoc Reports as required by this Contract or upon request by DHH.</p> <p>Sanction: Two thousand dollars (\$2,000.00) per calendar day for each business day that a report is late or incorrect.</p>	N/A	N/A	N/A	