

## Progress Tracking for Louisiana Statewide Transition Plan 2016 Q4

### I. Louisiana Office of Aging and Adult Services

#### A. OAAS Update to Louisiana Work Plan Master

<b>Public &amp; Stakeholder Engagement</b>		
<b>Action Step</b>	<b>Date(s) and Status</b>	<b>Plan Update Narrative</b>
Convene interagency group to manage planning process	Began 9/22/14 and continuing through 3/2019	Meetings were held on the following dates to discuss transition plan action steps and revisions to the transition plan requested by CMS: 10/26* and 11/14.  * Interagency STP Workgroup Meetings to discuss responses to CMS and revise the STP to meet CMS requirements. Included in these meetings were discussions to coordinate timelines where possible.
Develop and update website on a regular basis on external stakeholder comments	10/01/14 – 3/20/19	The OAAS website is continually updated with new or revised documents to reflect actions and work completed towards the transition plan.  Program Offices posted a revised STP Work Plan Master on their respective websites for public comment on 10/26/16.  No public comments were received during the 30 day public notice period.
Support ongoing stakeholder communications.	11/19/14- 3/20/2019	Held public forum (including OAAS stakeholders) on 11/19/14 and continuing as needed through 3/2019. Stakeholders are informed of

		STP's progress through provider association meetings, OAAS quarterly provider meetings, and support coordination executive meetings.  Website updated 10/26/16 with changes to Louisiana Work Plan Master.
Reach-out to providers and provider associations to increase understanding of rule and maintain open lines of communication.	3/13/15-02/2017	Provider outreach continued through September 2016 for Louisiana Work Plan Master public comment and will continue through February 2017 to complete validation and remediation work.
Collect all public comments. Synthesize comments and develop responses to comments.	11/13/14 - 10/14/16	Second round of public notice: 9/14/16-10/14/16. Responses to comments and incorporation into STP will be completed by 10/30/16. The public notice of the Louisiana Master Plan was made available in electronic and non-electronic format.
Continued Community Outreach	Began 9/22/14 and continuing through 3/2019	Continue to meet with participants, family members, providers, support coordinators, and stakeholders, and providers to provide updates on the progress of STP activities. Contact methods include provider quarterly meetings, support coordinator executive meetings, conferences, correspondence, website updates, newspaper announcements and general meetings.
<b>Systemic Assessment &amp; Remediation</b>		
Review licensure, certification, policy and procedures, and provider qualifications	11/16/15-9/1/16	Crosswalk revised 7/22/16 and 9/1/16 incorporating guidance from CMS (See Louisiana Statewide Transition Plan Appendix B for systemic review).
<b>Ongoing Monitoring &amp; Quality Assurance</b>		
Develop monitoring instrument to ensure ongoing setting compliance.	Begins 8/1/15 and ends	A site visit tool was developed to monitor ADHC HCBS Setting compliance in September 2016. ADHC Site visits were completed

	11/30/16.	in Quarter 4, 2016.  The non-residential provider site visit tool is available at the following link: <a href="http://dhh.louisiana.gov/assets/docs/OAAS/publications/HCBS/Site-Specific-Assessment-Validation-Non-Residential.pdf">http://dhh.louisiana.gov/assets/docs/OAAS/publications/HCBS/Site-Specific-Assessment-Validation-Non-Residential.pdf</a>
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**B.OAAS Public Comments Received 10/1/16 – 12/31/16**  
No Public Comments were received.

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**C. OAAS Summary of Comments: N/A**

## II. Louisiana Office for Citizens with Developmental Disabilities

### A. OCDD Update to Louisiana Work Plan Master

Action	Date(s) and Status	Plan Update Narrative
Support ongoing stakeholder communications	11/2014 and ongoing through 3/2019	<p><b>12/31/16 Update</b></p> <ul style="list-style-type: none"> <li>• 10/6-10/7/2016—Provided updates at the State Independent Living Council Quarterly meeting</li> <li>• 10/19/16-Met with provider representative to discuss the HCBS regulations. Answered questions and provided interpretation related to understanding of the regulation</li> <li>• 10/21/16-OCDD Assistant Secretary provided updates and identified OCDD’s expectations related to the rule</li> <li>• 10/25, 10/26, 10/31/2016—Participated in job fairs for National Disability Employment Awareness Month (NDEAM)</li> <li>• 11/14/16-Onsite technical assistance with residential provider</li> <li>• 11/15/16-OCDD Assistant Secretary presented on OCDD’s vision related to employment and alignment with regulation at the Louisiana Association of People Supporting Employment First (LA-APSE) Employment Symposium</li> <li>• 11/17/16-State Use Council meeting</li> <li>• 12/2/16-Technical Assistance visit with LARC</li> <li>• 12/6/16-State Use Council meeting</li> </ul>
Collect all public comments. Synthesize comments and	Begin 9/2014 and	<b>Update 12/31/16:</b> No comments received during this period.

Action	Date(s) and Status	Plan Update Narrative
develop responses to comments (Will go into transition plan for CMS).	ongoing through 3/2019	
<b>Program Review and Assessment</b>		
Provider assessments due to LGE offices.	9/30/15 Adjusted timeframe- 2/5/2016	<b>Update 12/31/16:</b> Continued partnership with LGE offices to get provider self-assessments.
Conduct site visits.	1/1/16 – 3/31/17*	<b>Update 12/31/16:</b> Onsite validation visits continued during this timeframe. OCDD Central Office staff conducted the following site visits on the following dates: 11/1/16-11/4/16-all vocational providers in the Shreveport area; 11/7/16 vocational provider in Hammond; 12/5, 12/6, 12/7, 12/8, 12/13, and 12/16/16 vocational providers in the Baton Rouge area.
Participant survey due	7/15/16 Completed first phase 3/31/17* for next phase	<b>Update 12/31/16:</b> Support Coordination continues to complete individual experience surveys with all individuals supported in HCBS programs. OCDD staff to compile information and make recommendations to providers based on the results next quarter.
<b>Ensuring Providers are Compliant/Remediation</b>		
Technical assistance will be available to providers to ensure that the interpretation of the HCB setting rule is the same and the provider is implementing necessary changes to meet compliance.	12/1/16 Reports will be due at least quarterly Completion date will not extend beyond 3/17/19	<b>Update 12/31/16:</b> OCDD provided refresher training on 10/24/16. OCDD is working with LGE offices to follow up on transition plan submission by service providers. One LGE has requested assistance with assuring transition plans are adequate.

Action	Date(s) and Status	Plan Update Narrative
<b>Ensuring a Quality System</b>		
Based on crosswalk outcomes of all licensing, certification, policy/procedures, and provider qualifications address any areas of concern to strengthen language to align with CMS rule	1/1/16 - 12/31/17*	<b>12/31/16:</b> OCDD began process of updating rule beginning with the NOW rule based on recent renewal of application.
Evaluate current plan of care format/process, rights/responsibilities, other waiver forms and update as appropriate to align with the rule	3/14/16 - 12/31/17*	<b>Update 12/31/16:</b> Workgroup met on 10/11/16 and 12/5/16

**B.OCDD Public Comments Received 10/1/16 – 12/31/16**  
**No Public Comments were received.**

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**C. OCDD Summary of Comments Received 10/1/16 – 12/31/16**  
**N/A**

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### III. Louisiana Office of Behavioral Health

#### A.OBH Update to Louisiana Work Plan Master

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Action	Date(s) Status	Plan Update Narrative
Convene interagency group to manage planning process	Began 9/22/14 and continuing through 3/2019	OBH participated in interagency STP workgroup meetings.
Support ongoing stakeholder communications	Updated 11/22/16	Website was updated to include information on the revised STP submitted to CMS in October 2016 and quarterly progress. Public notice regarding 1915(c) waiver renewal emailed to CSoC distribution list on November 1, 2016.
Distribute participant/member survey.	Began 3/1/16 and ongoing through 2/28/17	Magellan distributed the member survey to the WAAs on 2/26/16. Through the monitoring process, WAAs will work with participants to distribute and complete the surveys through 2/28/17.
Conduct site visits	Began 3/1/16 and ongoing through 2/28/17	<p>In Q4, Magellan CSoC Coordinators reviewed 106 member records to ensure compliance with the HCBS requirements. Of those 106 records, all 106 members showed compliance with HCBS requirements for a compliance rate of 100%.</p> <p>In Q4, Magellan reviewed 3 waiver providers for credentialing/re-credentialing. All 3 providers showed compliance with all elements of the provider survey and credentialing process. Magellan also conducted 6 onsite audits, with one provider identified as not meeting all HCBS setting rules.</p>
Analyze findings from site visits and assessments	Began 3/1/16 and ongoing through 4/30/17	On November 28, 2016, OBH was notified of a provider that is noncompliant with the HCBS setting rule. OBH will provide information to Magellan regarding notification of remediation

Action	Date(s) Status	Plan Update Narrative
		and/or heightened scrutiny next steps.

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B. OBH Public Comments Received 10/1/16 – 12/31/16:  
No public comments were received.

C. Summary of Comments Received:  
N/A

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