

The background features a blurred image of a person in a hospital bed, overlaid with a green semi-transparent layer. This layer contains various medical icons: a syringe, a pill, a virus, a stethoscope, a group of people, and a large white cross. A dark grey diagonal shape on the right side of the page contains the text.

Louisiana Department of Health

Comparison of
Health Plan Encounter Data to
Cash Disbursements for
UnitedHealthcare Community Plan
July 1, 2020 – June 30, 2022

September 15, 2022



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Study Purpose

Louisiana Department of Health (LDH) engaged Myers and Stauffer LC to analyze Healthy Louisiana encounter data that has been submitted by the managed care organizations (MCO) to Louisiana's fiscal agent contractor (FAC), Gainwell, and complete a comparison of the encounters to cash disbursement journals provided by each MCO. For purposes of this analysis, "encounter data" are claims that have been paid by UnitedHealthcare Community Plan (UHC) or delegated vendors (e.g., vision and pharmacy) to health care providers that have provided health care services to members enrolled with the MCO. Encounter data is submitted to LDH via the FAC for LDH's use in rate setting, federal reporting, program management and oversight, tracking, accounting, ad hoc analyses, and other activities.

LDH requested that, for this study, we estimate the percentage of each MCO delegated vendor paid encounters that appear to be included in the FAC's database. This analysis includes these percentages for the entire plan as well as separate vision, non-emergency medical transportation (NEMT), dental value-added service (VAS), and pharmacy delegated vendor encounters paid during the reporting period. We have also included the percentages for total non-vendor MCO paid encounters.

Our work was performed in accordance with American Institute of Certified Public Accountants (AICPA) professional standards for consulting engagements. We were not engaged to, nor did we perform, an audit, examination, or review services; accordingly, we express no opinion or conclusion related to the procedures performed or the information and documentation we reviewed. In addition, our engagement was not specifically designed for, and should not be relied on, to disclose errors, fraud, or other illegal acts that may exist.

The results of our engagement and this report are intended only for the internal use of the LDH and should not be used for any other purpose.

Summary

Entire Plan

LDH requested that, for this study, we review the plan's paid encounters to determine if the paid encounters meet the state contract completeness range of **97 percent to 100 percent** when compared to the cash disbursement journal (CDJ) files that are submitted by the MCO. The encounters and CDJ file utilized in this study met the following criteria:

- Encounter and CDJ transactions were paid within the reporting period of **July 1, 2020 through June 30, 2022**
- Encounters were received and accepted by the FAC and transmitted to Myers and Stauffer LC through **July 26, 2022**

Table A — UHC Cumulative Completion Totals and Percentages

Description	Entire Plan	Non-Vendor	Delegated Vendor					
			National MedTrans (NEMT)	ModivCare (NEMT)	MARCH Vision Care	Dental Benefit Providers	Optum Behavioral Health	OptumRx (Pharmacy)
Encounter Total (FAC reported)	\$4,079,086,765	\$2,339,620,040	\$3,418,818	\$23,622,417	\$25,651,503	\$16,776,118	\$461,535,443	\$1,208,462,425
Total Encounter Adjustments (\$)	(\$67,201,860)	(\$41,639,168)	(\$254,185)	(\$2,100,737)	(\$373,763)	(\$660,887)	(\$13,067,281)	(\$9,105,839)
Total Encounter Adjustments (%)	-1.64%	-1.77%	-7.43%	-8.89%	-1.45%	-3.93%	-2.83%	-0.75%
Net Encounter Total	\$4,011,884,905	\$2,297,980,873	\$3,164,632	\$21,521,681	\$25,277,740	\$16,115,232	\$448,468,162	\$1,199,356,586
CDJ Total	\$4,034,126,877	\$2,315,136,114	\$3,199,451	\$22,744,103	\$25,335,850	\$16,158,719	\$451,504,703	\$1,200,047,938
Variance	(\$22,241,973)	(\$17,155,242)	(\$34,818)	(\$1,222,423)	(\$58,110)	(\$43,487)	(\$3,036,540)	(\$691,352)
Completion (%)	99.44%	99.25%	98.91%	94.62%	99.77%	99.73%	99.32%	99.94%
100% Limited Completion* (%)	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Minimum Completeness (%)	97.00%							
Non-Compliant (%)	N/A	N/A	N/A	-2.38%	N/A	N/A	N/A	N/A

*To avoid overstating the Entire Plan results in situations where an individual vendor's cumulative completion percentage exceeds 100 percent, we decrease the Entire Plan encounter totals by the total variance in comparison to the CDJ. Please see Appendix B for more information on the limited completion percentage.

Encounter Data Analysis

For this study, Myers and Stauffer analyzes the encounter data that is submitted by the MCO to the FAC and loaded into the FAC Medicaid Management Information System (MMIS). Encounters submitted by the MCO that were rejected by the FAC for errors in submission or other reasons are not transmitted to Myers and Stauffer.

Furthermore, Myers and Stauffer analyzes the encounter data from the FAC MMIS and makes the following adjustments. Table B below outlines the impact of applying these encounter analysis adjustments to the encounter paid amounts, when compared to the raw data received.

1. The payment amounts associated with denied encounters are identified as zero dollars in the encounter reconciliation analysis since they bear no impact on cash disbursements.
2. We identified potential duplicate encounters using our encounter review logic. Based on a comparison to the CDJ files, we noted some of these potential duplicates appear to be partial payments, some are actual duplicate submissions, and some are replacement encounters without a matching void. At the direction of LDH, we have attempted to adjust our totals to reflect the actual payment made and have removed duplicate payment amounts from our analysis.

Table B — Myers and Stauffer LC's Adjustments to UHC Encounters			
Description	Encounter Count	Paid Amount	Paid Amount (% of Total*)
Total Encounter Amount (FAC Reported)	66,266,192	\$4,079,086,765	100.00%
Adjustment Type			
State System Denied	(1,174,434)	(\$66,600,071)	-1.63%
Health Plan Denied	(13,401,255)	(\$8,510)	0.00%
Calculated Void	(10,750)	(\$215,002)	0.00%
Duplicate	(3,641)	(\$378,277)	0.00%
Total Adjustments Made	(14,590,080)	(\$67,201,860)	-1.64%
Net Encounter Amounts	51,676,112	\$4,011,884,905	98.36%

* Due to rounding, the sum of the displayed percentages in this report may not add up to the total.



Summary Charts

Chart 1. Entire Plan CDJ and Encounter Totals by Paid Month

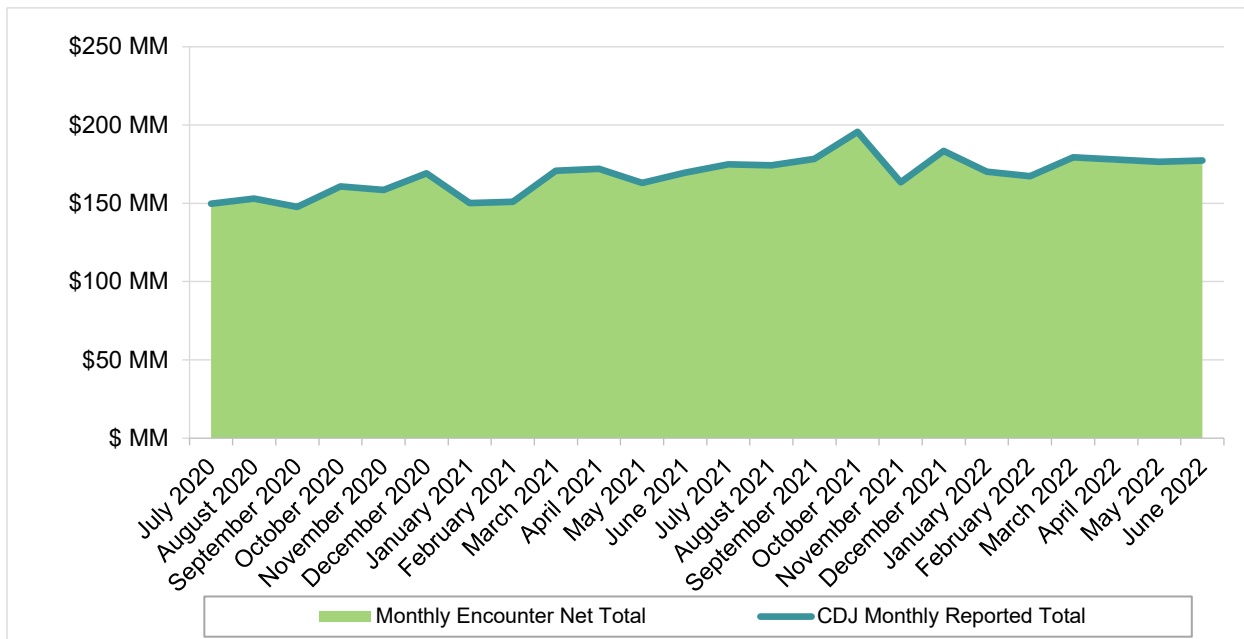
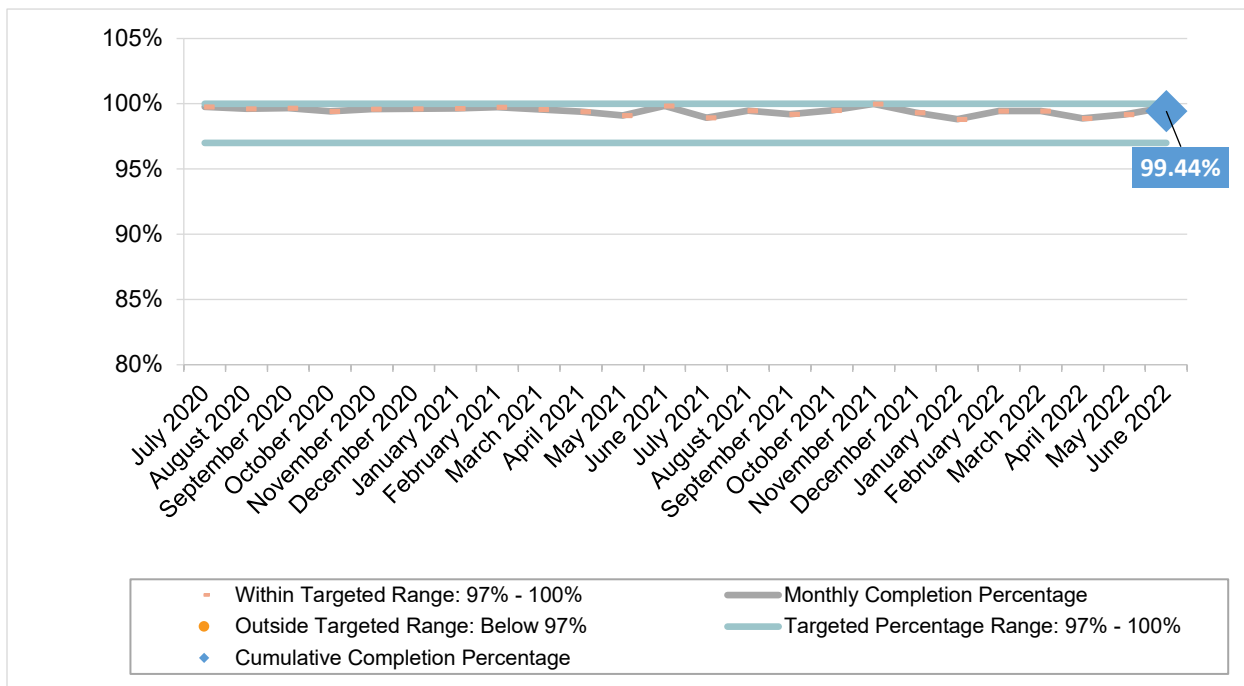


Chart 2. Entire Plan Completion Percentage by Paid Month





Data Issues and Recommendations

During this analysis, Myers and Stauffer identified potential data issues that may impact the completion percentages for UHC. Section A details issues related to non-compliant cumulative completion percentages, while Section B notes outstanding data issues that UHC may need to work to identify and resolve.

Please reference Tables 1 through 8 for UHC reconciliation period tables. These tables contain detailed reconciliation totals, completion percentages, and encounter analysis adjustments.

Section A: Data issues potentially impacting compliance:

1. **ModivCare** (Table 4): ModivCare's cumulative completion percentage is 94.62 percent. Four months are under 97 percent.
 - This low completion percentage appears to be due to missing encounters. LDH recently directed the MCOs to hold transportation encounters until they could submit them with A0170 for temporary mileage increase per LDH Informational Bulletin 22-10.

We recommend UHC work with ModivCare, LDH, and Gainwell to identify and correct any CDJ file and/or encounter data submission issues.

Section B: Data issues not currently impacting compliance:

2. **Dental Benefit Provider (DBP)** (Table 6): UHC's Dental Benefit Provider is in compliance at 99.73 percent; however, there are months (January 2022 and April 2022) where the monthly completion percentage is over 100 percent.

We recommend UHC work with DBP, LDH and Gainwell to identify and correct any CDJ file and/or encounter data submission issues.

3. **OptumRx** (Table 7): The OptumRx completion cumulative completion percentage is in compliance at 99.94 percent; however there are months (June 2021, November 2021) where the monthly completion percentage is over 100 percent.

We recommend UHC work with OptumRx, LDH and Gainwell to identify and correct any CDJ file and/or encounter data submission issues.

Value-Added Services (VAS)

Value-added services are included in the MCO’s vision, dental, and non-vendor CDJ and encounter totals. VAS CDJ data is identified based on the activity type field of the CDJ files received from the MCO and VAS encounter data is identified based on the first two characters of the Plan ICN field.

Below is a summary of the cumulative completion percentages for all delegated vendor and non-vendor paid VAS encounters submitted to Gainwell, for the reporting period. The VAS CDJ and encounter totals in the table below are included in the entire plan, non-vendor and delegated vendor completion percentage tables as well.

Table C — UHC VAS Cumulative Completion Totals and Percentages						
Description	Entire Plan VAS*	Non-Vendor VAS	Delegated Vendor			
			National MedTrans VAS (NEMT)	ModivCare VAS (NEMT)	MARCH Vision Care VAS	Dental Benefit Providers VAS
Encounter Total (FAC reported)	\$29,884,688	\$2,913,117	\$53,828	\$66,762	\$10,074,725	\$16,776,118
Total Encounter Adjustments (\$)	(\$783,234)	(\$45,220)	(\$5,054)	(\$8,495)	(\$63,441)	(\$660,887)
Total Encounter Adjustments (%)	-2.62%	-1.55%	-9.38%	-12.72%	-0.62%	-3.93%
Net Encounter Total	\$29,101,454	\$2,867,897	\$48,774	\$58,267	\$10,011,284	\$16,115,232
CDJ Total	\$29,191,263	\$2,902,764	\$47,732	\$55,691	\$10,026,358	\$16,158,719
Variance	(\$89,809)	(\$34,867)	\$1,042	\$2,576	(\$15,073)	(\$43,487)
Completion (%)	99.69%	98.79%	102.18%	104.62%	99.84%	99.73%
100% Limited Completion* (%)	99.67%	N/A	100.00%	100.00%	N/A	N/A
Minimum Completeness (%)	97.00%					
Non-Compliant (%)	N/A	N/A	2.18%	4.62%	N/A	N/A

*To avoid overstating the VAS Entire Plan results in situations where an individual vendor’s cumulative completion percentage exceeds 100 percent, we decrease the Entire Plan encounter totals by the total variance in comparison to the CDJ. Please see Appendix B for more information on the limited completion percentage.



Potential VAS data issues:

1. **ModivCare VAS** (Table 3V): ModivCare VAS cumulative completion percentage is out of compliance at 104.62 percent. Six months are above 100 percent and five months are below 97 percent.

Monthly Tables

Entire Plan

Table 1 — UnitedHealthcare Community Plan (Entire Plan)

Paid Month	Monthly Encounter Total (FAC Reported)	Monthly Encounter Total (Adjustments)	Percentage of Encounters Adjusted	Monthly Encounter Net Total	CDJ Monthly Reported Total	Monthly Variance	Monthly Completion Percentage
July 2020	\$152,216,870	(\$2,774,222)	-2%	\$149,442,648	\$149,797,750	(\$355,102)	99.76%
August 2020	\$155,556,450	(\$3,178,736)	-2%	\$152,377,714	\$152,947,725	(\$570,011)	99.62%
September 2020	\$149,479,034	(\$2,272,280)	-2%	\$147,206,754	\$147,707,419	(\$500,665)	99.66%
October 2020	\$162,261,123	(\$2,463,805)	-2%	\$159,797,318	\$160,698,837	(\$901,519)	99.43%
November 2020	\$160,269,528	(\$2,291,744)	-1%	\$157,977,784	\$158,614,973	(\$637,189)	99.59%
December 2020	\$172,985,679	(\$4,556,080)	-3%	\$168,429,599	\$169,085,553	(\$655,954)	99.61%
January 2021	\$151,852,420	(\$2,333,950)	-2%	\$149,518,470	\$150,067,734	(\$549,264)	99.63%
February 2021	\$155,796,070	(\$5,215,052)	-3%	\$150,581,018	\$150,967,992	(\$386,973)	99.74%
March 2021	\$171,819,439	(\$1,777,920)	-1%	\$170,041,519	\$170,776,044	(\$734,525)	99.56%
April 2021	\$173,815,064	(\$2,871,245)	-2%	\$170,943,819	\$171,978,395	(\$1,034,576)	99.39%
May 2021	\$164,027,555	(\$2,509,880)	-2%	\$161,517,675	\$162,961,889	(\$1,444,214)	99.11%
June 2021	\$172,969,352	(\$3,704,832)	-2%	\$169,264,519	\$169,533,004	(\$268,484)	99.84%
July 2021	\$177,626,450	(\$4,659,034)	-3%	\$172,967,416	\$174,832,368	(\$1,864,952)	98.93%
August 2021	\$177,041,575	(\$3,667,929)	-2%	\$173,373,645	\$174,263,924	(\$890,279)	99.48%
September 2021	\$180,212,778	(\$3,155,888)	-2%	\$177,056,889	\$178,460,104	(\$1,403,214)	99.21%
October 2021	\$197,577,049	(\$2,894,990)	-1%	\$194,682,059	\$195,658,708	(\$976,650)	99.50%
November 2021	\$165,985,953	(\$2,491,468)	-2%	\$163,494,485	\$163,507,206	(\$12,721)	99.99%
December 2021	\$185,081,317	(\$2,910,849)	-2%	\$182,170,468	\$183,407,397	(\$1,236,929)	99.32%
January 2022	\$170,314,257	(\$2,070,320)	-1%	\$168,243,938	\$170,242,767	(\$1,998,829)	98.82%
February 2022	\$168,118,833	(\$1,706,547)	-1%	\$166,412,286	\$167,346,494	(\$934,208)	99.44%
March 2022	\$180,600,504	(\$2,108,540)	-1%	\$178,491,964	\$179,488,363	(\$996,399)	99.44%
April 2022	\$178,047,799	(\$2,136,227)	-1%	\$175,911,571	\$177,910,606	(\$1,999,035)	98.87%
May 2022	\$176,939,134	(\$1,806,830)	-1%	\$175,132,305	\$176,589,724	(\$1,457,420)	99.17%
June 2022	\$178,492,534	(\$1,643,491)	-1%	\$176,849,043	\$177,281,904	(\$432,861)	99.75%
Cumulative Totals	\$4,079,086,765	(\$67,201,860)	-2%	\$4,011,884,905	\$4,034,126,877	(\$22,241,973)	99.44%
100% Limited Cumulative Total							N/A
						Minimum Completeness (%)	97.00%
						Non-Compliant	N/A

Optum Behavioral Health
Table 2 — UnitedHealthcare Community Plan Optum Behavioral Health

Paid Month	Monthly Encounter Total (FAC Reported)	Monthly Encounter Total (Adjustments)	Percentage of Encounters Adjusted	Monthly Encounter Net Total	CDJ Monthly Reported Total	Monthly Variance	Monthly Completion Percentage
July 2020	\$19,065,692	(\$442,986)	-2%	\$18,622,706	\$18,655,492	(\$32,787)	99.82%
August 2020	\$19,448,330	(\$471,489)	-2%	\$18,976,840	\$19,058,871	(\$82,030)	99.56%
September 2020	\$16,254,284	(\$317,172)	-2%	\$15,937,112	\$15,946,204	(\$9,091)	99.94%
October 2020	\$18,606,855	(\$435,370)	-2%	\$18,171,485	\$18,147,096	\$24,389	100.13%
November 2020	\$18,698,921	(\$289,109)	-2%	\$18,409,811	\$18,502,374	(\$92,562)	99.49%
December 2020	\$19,826,231	(\$361,515)	-2%	\$19,464,716	\$19,590,679	(\$125,962)	99.35%
January 2021	\$18,576,153	(\$510,518)	-3%	\$18,065,635	\$18,203,377	(\$137,742)	99.24%
February 2021	\$17,631,924	(\$476,313)	-3%	\$17,155,611	\$17,268,370	(\$112,759)	99.34%
March 2021	\$20,556,562	(\$456,837)	-2%	\$20,099,726	\$20,164,644	(\$64,918)	99.67%
April 2021	\$19,486,229	(\$514,475)	-3%	\$18,971,754	\$19,028,077	(\$56,323)	99.70%
May 2021	\$18,794,711	(\$808,297)	-4%	\$17,986,414	\$18,074,479	(\$88,065)	99.51%
June 2021	\$20,400,855	(\$1,101,432)	-5%	\$19,299,422	\$19,427,032	(\$127,610)	99.34%
July 2021	\$20,132,398	(\$1,601,956)	-8%	\$18,530,442	\$18,698,368	(\$167,926)	99.10%
August 2021	\$18,649,567	(\$1,048,832)	-6%	\$17,600,735	\$17,717,214	(\$116,479)	99.34%
September 2021	\$18,932,774	(\$647,639)	-3%	\$18,285,135	\$18,420,564	(\$135,429)	99.26%
October 2021	\$20,215,453	(\$515,267)	-3%	\$19,700,187	\$19,819,012	(\$118,825)	99.40%
November 2021	\$17,769,540	(\$421,513)	-2%	\$17,348,027	\$17,451,126	(\$103,099)	99.40%
December 2021	\$21,177,478	(\$594,665)	-3%	\$20,582,813	\$20,796,133	(\$213,319)	98.97%
January 2022	\$18,779,085	(\$305,211)	-2%	\$18,473,875	\$18,607,187	(\$133,312)	99.28%
February 2022	\$18,656,517	(\$340,514)	-2%	\$18,316,003	\$18,464,279	(\$148,276)	99.19%
March 2022	\$19,034,619	(\$296,575)	-2%	\$18,738,044	\$18,873,074	(\$135,029)	99.28%
April 2022	\$20,141,414	(\$394,684)	-2%	\$19,746,730	\$19,996,116	(\$249,386)	98.75%
May 2022	\$20,801,711	(\$274,800)	-1%	\$20,526,911	\$20,746,916	(\$220,005)	98.93%
June 2022	\$19,898,139	(\$440,112)	-2%	\$19,458,027	\$19,848,020	(\$389,993)	98.03%
Cumulative Totals	\$461,535,443	(\$13,067,281)	-3%	\$448,468,162	\$451,504,703	(\$3,036,540)	99.32%
100% Limited Cumulative Total							N/A
						Minimum Completeness (%)	97.00%
						Non-Compliant	N/A

National MedTrans (NEMT)
Table 3 —UnitedHealthcare Community Plan National MedTrans (NEMT)

Paid Month	Monthly Encounter Total (FAC Reported)	Monthly Encounter Total (Adjustments)	Percentage of Encounters Adjusted	Monthly Encounter Net Total	CDJ Monthly Reported Total	Monthly Variance	Monthly Completion Percentage
July 2020	\$1,017,897	(\$3,493)	0%	\$1,014,404	\$1,048,240	(\$33,836)	96.77%
August 2020	\$928,369	(\$4,628)	0%	\$923,741	\$928,610	(\$4,869)	99.47%
September 2020	\$637,619	(\$6,100)	-1%	\$631,519	\$683,830	(\$52,311)	92.35%
October 2020	\$342,720	(\$54,650)	-16%	\$288,070	\$267,929	\$20,141	107.51%
November 2020	\$72,218	(\$579)	-1%	\$71,640	\$81,124	(\$9,484)	88.30%
December 2020	\$92,515	(\$2,499)	-3%	\$90,016	\$96,675	(\$6,660)	93.11%
January 2021	\$74,024	(\$32,546)	-44%	\$41,478	\$50,690	(\$9,212)	81.82%
February 2021	\$15,317	(\$3,088)	-20%	\$12,229	\$13,096	(\$867)	93.37%
March 2021	\$8,660	(\$2,803)	-32%	\$5,858	\$6,465	(\$607)	90.61%
April 2021	\$8,054	(\$628)	-8%	\$7,426	\$4,932	\$2,493	150.55%
May 2021	\$9,500	\$0	0%	\$9,500	\$9,062	\$438	104.83%
June 2021	\$4,301	(\$1,959)	-46%	\$2,342	\$2,151	\$191	108.89%
July 2021	\$67,581	(\$27,312)	-40%	\$40,269	\$28,731	\$11,538	140.15%
August 2021	\$23,806	(\$1,703)	-7%	\$22,103	\$13,978	\$8,125	158.12%
September 2021	\$116,237	(\$112,198)	-97%	\$4,039	(\$36,063)	\$40,102	-11.20%
October 2021	\$0	\$0	N/A	\$0	\$0	\$0	N/A
November 2021	\$0	\$0	N/A	\$0	\$0	\$0	N/A
December 2021	\$0	\$0	N/A	\$0	\$0	\$0	N/A
January 2022	\$0	\$0	N/A	\$0	\$0	\$0	N/A
February 2022	\$0	\$0	N/A	\$0	\$0	\$0	N/A
March 2022	\$0	\$0	N/A	\$0	\$0	\$0	N/A
April 2022	\$0	\$0	N/A	\$0	\$0	\$0	N/A
May 2022	\$0	\$0	N/A	\$0	\$0	\$0	N/A
June 2022	\$0	\$0	N/A	\$0	\$0	\$0	N/A
Cumulative Totals	\$3,418,818	(\$254,185)	-7%	\$3,164,632	\$3,199,451	(\$34,818)	98.91%
100% Limited Cumulative Total							N/A
						Minimum Completeness (%)	97.00%
						Non-Compliant	N/A

ModivCare (NEMT)
Table 4 — UnitedHealthcare Community Plan ModivCare (NEMT)

Paid Month	Monthly Encounter Total (FAC Reported)	Monthly Encounter Total (Adjustments)	Percentage of Encounters Adjusted	Monthly Encounter Net Total	CDJ Monthly Reported Total	Monthly Variance	Monthly Completion Percentage
July 2020	\$0	\$0	N/A	\$0	\$0	\$0	N/A
August 2020	\$0	\$0	N/A	\$0	\$0	\$0	N/A
September 2020	\$128,399	(\$105)	0%	\$128,294	\$129,309	(\$1,015)	99.21%
October 2020	\$604,260	(\$1,475)	0%	\$602,785	\$612,277	(\$9,492)	98.44%
November 2020	\$521,942	(\$4,483)	-1%	\$517,459	\$529,470	(\$12,011)	97.73%
December 2020	\$1,955,150	(\$604,322)	-31%	\$1,350,828	\$1,382,126	(\$31,298)	97.73%
January 2021	\$1,464,683	(\$110,922)	-8%	\$1,353,762	\$1,373,213	(\$19,452)	98.58%
February 2021	\$1,207,870	(\$73,501)	-6%	\$1,134,369	\$1,142,547	(\$8,178)	99.28%
March 2021	\$1,160,432	(\$168,266)	-15%	\$992,166	\$994,711	(\$2,545)	99.74%
April 2021	\$1,123,661	(\$5,296)	0%	\$1,118,365	\$1,119,070	(\$705)	99.93%
May 2021	\$896,356	(\$21,323)	-2%	\$875,033	\$876,933	(\$1,900)	99.78%
June 2021	\$971,037	(\$4,647)	0%	\$966,390	\$971,969	(\$5,578)	99.42%
July 2021	\$1,356,538	(\$393,205)	-29%	\$963,333	\$965,974	(\$2,641)	99.72%
August 2021	\$1,471,276	(\$149,249)	-10%	\$1,322,027	\$1,326,422	(\$4,395)	99.66%
September 2021	\$779,287	(\$2,497)	0%	\$776,790	\$779,287	(\$2,497)	99.67%
October 2021	\$1,252,601	(\$1,583)	0%	\$1,251,019	\$1,253,137	(\$2,118)	99.83%
November 2021	\$1,305,301	(\$1,835)	0%	\$1,303,466	\$1,305,301	(\$1,835)	99.85%
December 2021	\$1,566,818	(\$2,970)	0%	\$1,563,848	\$1,566,818	(\$2,970)	99.81%
January 2022	\$945,411	(\$4,193)	0%	\$941,217	\$945,411	(\$4,193)	99.55%
February 2022	\$1,152,092	(\$3,005)	0%	\$1,149,087	\$1,152,092	(\$3,005)	99.73%
March 2022	\$813,498	(\$237,318)	-29%	\$576,180	\$1,080,816	(\$504,636)	53.30%
April 2022	\$1,465,614	(\$294,586)	-20%	\$1,171,028	\$1,320,088	(\$149,060)	88.70%
May 2022	\$919,761	(\$1,944)	0%	\$917,817	\$995,446	(\$77,628)	92.20%
June 2022	\$560,430	(\$14,014)	-3%	\$546,416	\$921,688	(\$375,272)	59.28%
Cumulative Totals	\$23,622,417	(\$2,100,737)	-9%	\$21,521,681	\$22,744,103	(\$1,222,423)	94.62%
100% Limited Cumulative Total							N/A
						Minimum Completeness (%)	97.00%
						Non-Compliant	-2.38%

MARCH Vision Care
Table 5 — UnitedHealthcare Community Plan MARCH Vision Care

Paid Month	Monthly Encounter Total (FAC Reported)	Monthly Encounter Total (Adjustments)	Percentage of Encounters Adjusted	Monthly Encounter Net Total	CDJ Monthly Reported Total	Monthly Variance	Monthly Completion Percentage
July 2020	\$938,351	(\$2,011)	0%	\$936,341	\$938,514	(\$2,173)	99.76%
August 2020	\$1,020,322	(\$1,685)	0%	\$1,018,637	\$1,020,071	(\$1,434)	99.85%
September 2020	\$1,055,419	(\$1,905)	0%	\$1,053,514	\$1,054,562	(\$1,048)	99.90%
October 2020	\$1,144,161	(\$3,709)	0%	\$1,140,452	\$1,142,522	(\$2,070)	99.81%
November 2020	\$900,023	(\$1,171)	0%	\$898,852	\$899,748	(\$896)	99.90%
December 2020	\$1,115,297	(\$1,767)	0%	\$1,113,530	\$1,115,386	(\$1,856)	99.83%
January 2021	\$1,073,469	(\$2,248)	0%	\$1,071,221	\$1,073,007	(\$1,787)	99.83%
February 2021	\$1,097,815	(\$1,672)	0%	\$1,096,142	\$1,098,273	(\$2,131)	99.80%
March 2021	\$1,332,907	(\$2,193)	0%	\$1,330,714	\$1,333,266	(\$2,552)	99.80%
April 2021	\$1,187,012	(\$3,206)	0%	\$1,183,806	\$1,186,845	(\$3,039)	99.74%
May 2021	\$979,450	(\$1,638)	0%	\$977,812	\$980,020	(\$2,208)	99.77%
June 2021	\$998,640	(\$3,410)	0%	\$995,230	\$999,792	(\$4,562)	99.54%
July 2021	\$981,877	(\$3,063)	0%	\$978,814	\$980,776	(\$1,962)	99.79%
August 2021	\$1,141,193	(\$3,341)	0%	\$1,137,852	\$1,141,394	(\$3,542)	99.68%
September 2021	\$770,266	(\$2,067)	0%	\$768,198	\$771,838	(\$3,640)	99.52%
October 2021	\$1,086,148	(\$74,492)	-7%	\$1,011,656	\$1,014,075	(\$2,419)	99.76%
November 2021	\$1,289,776	(\$201,198)	-16%	\$1,088,578	\$1,091,598	(\$3,020)	99.72%
December 2021	\$932,964	(\$24,999)	-3%	\$907,965	\$912,171	(\$4,206)	99.53%
January 2022	\$1,001,998	(\$13,246)	-1%	\$988,752	\$991,056	(\$2,304)	99.76%
February 2022	\$1,160,980	(\$10,391)	-1%	\$1,150,589	\$1,152,803	(\$2,215)	99.80%
March 2022	\$1,307,843	(\$5,302)	0%	\$1,302,542	\$1,301,538	\$1,004	100.07%
April 2022	\$1,162,017	(\$1,810)	0%	\$1,160,208	\$1,165,026	(\$4,818)	99.58%
May 2022	\$1,122,451	(\$4,767)	0%	\$1,117,683	\$1,121,194	(\$3,511)	99.68%
June 2022	\$851,122	(\$2,470)	0%	\$848,652	\$850,374	(\$1,722)	99.79%
Cumulative Totals	\$25,651,503	(\$373,763)	-1%	\$25,277,740	\$25,335,850	(\$58,110)	99.77%
100% Limited Cumulative Total							N/A
						Minimum Completeness (%)	97.00%
						Non-Compliant	N/A

Dental Benefit Providers
Table 6 —UnitedHealthcare Community Plan Dental Benefit Providers

Paid Month	Monthly Encounter Total (FAC Reported)	Monthly Encounter Total (Adjustments)	Percentage of Encounters Adjusted	Monthly Encounter Net Total	CDJ Monthly Reported Total	Monthly Variance	Monthly Completion Percentage
July 2020	\$869,164	(\$10,143)	-1%	\$859,021	\$860,842	(\$1,821)	99.78%
August 2020	\$524,618	(\$2,434)	0%	\$522,184	\$523,564	(\$1,380)	99.73%
September 2020	\$520,263	(\$2,555)	0%	\$517,708	\$517,197	\$511	100.09%
October 2020	\$850,675	(\$494)	0%	\$850,182	\$850,857	(\$675)	99.92%
November 2020	\$660,652	(\$17,063)	-3%	\$643,590	\$645,168	(\$1,578)	99.75%
December 2020	\$588,058	(\$1,681)	0%	\$586,377	\$587,240	(\$863)	99.85%
January 2021	\$819,467	(\$5,929)	-1%	\$813,537	\$814,565	(\$1,028)	99.87%
February 2021	\$640,658	(\$26,802)	-4%	\$613,856	\$614,649	(\$793)	99.87%
March 2021	\$756,301	(\$30,812)	-4%	\$725,489	\$727,979	(\$2,491)	99.65%
April 2021	\$868,655	(\$20,757)	-2%	\$847,897	\$849,530	(\$1,633)	99.80%
May 2021	\$763,610	(\$57,926)	-8%	\$705,683	\$706,188	(\$505)	99.92%
June 2021	\$840,268	(\$179,991)	-21%	\$660,278	\$680,494	(\$20,216)	97.02%
July 2021	\$1,017,796	(\$253,651)	-25%	\$764,145	\$777,485	(\$13,340)	98.28%
August 2021	\$664,598	(\$16,239)	-2%	\$648,358	\$659,656	(\$11,298)	98.28%
September 2021	\$559,537	(\$9,787)	-2%	\$549,751	\$556,145	(\$6,394)	98.85%
October 2021	\$598,641	(\$16,131)	-3%	\$582,510	\$592,371	(\$9,861)	98.33%
November 2021	\$565,627	(\$403)	0%	\$565,224	\$569,670	(\$4,446)	99.21%
December 2021	\$620,993	(\$617)	0%	\$620,377	\$626,936	(\$6,559)	98.95%
January 2022	\$661,039	(\$755)	0%	\$660,284	\$648,595	\$11,689	101.80%
February 2022	\$673,725	(\$2,833)	0%	\$670,893	\$672,900	(\$2,007)	99.70%
March 2022	\$603,655	(\$1,061)	0%	\$602,593	\$602,889	(\$296)	99.95%
April 2022	\$882,062	(\$1,249)	0%	\$880,813	\$848,256	\$32,557	103.83%
May 2022	\$639,975	(\$519)	0%	\$639,456	\$639,843	(\$386)	99.93%
June 2022	\$586,080	(\$1,055)	0%	\$585,025	\$585,698	(\$672)	99.88%
Cumulative Totals	\$16,776,118	(\$660,887)	-4%	\$16,115,232	\$16,158,719	(\$43,487)	99.73%
100% Limited Cumulative Total							N/A
						Minimum Completeness (%)	97.00%
						Non-Compliant	N/A

OptumRx (Pharmacy)
Table 7 — UnitedHealthcare Community Plan OptumRx (Pharmacy)

Paid Month	Monthly Encounter Total (FAC Reported)	Monthly Encounter Total (Adjustments)	Percentage of Encounters Adjusted	Monthly Encounter Net Total	CDJ Monthly Reported Total	Monthly Variance	Monthly Completion Percentage
July 2020	\$43,509,260	(\$1,025,229)	-2%	\$42,484,031	\$42,696,924	(\$212,893)	99.50%
August 2020	\$46,581,610	(\$1,195,258)	-3%	\$45,386,352	\$45,772,771	(\$386,419)	99.15%
September 2020	\$43,721,605	(\$635,167)	-1%	\$43,086,438	\$42,985,629	\$100,809	100.23%
October 2020	\$46,988,753	(\$345,061)	-1%	\$46,643,692	\$46,941,589	(\$297,897)	99.36%
November 2020	\$50,246,845	(\$974,569)	-2%	\$49,272,276	\$49,394,692	(\$122,416)	99.75%
December 2020	\$46,873,482	(\$2,530,756)	-5%	\$44,342,726	\$44,300,071	\$42,655	100.09%
January 2021	\$46,818,127	(\$175,506)	0%	\$46,642,620	\$46,614,397	\$28,223	100.06%
February 2021	\$39,556,364	(\$43,731)	0%	\$39,512,632	\$39,441,461	\$71,171	100.18%
March 2021	\$52,405,278	(\$85,485)	0%	\$52,319,793	\$52,428,122	(\$108,328)	99.79%
April 2021	\$51,424,961	(\$176,076)	0%	\$51,248,885	\$51,392,182	(\$143,297)	99.72%
May 2021	\$50,671,593	(\$78,287)	0%	\$50,593,307	\$51,376,515	(\$783,208)	98.47%
June 2021	\$49,892,070	(\$169,555)	0%	\$49,722,516	\$48,590,798	\$1,131,718	102.32%
July 2021	\$46,491,783	(\$96,657)	0%	\$46,395,126	\$47,012,891	(\$617,764)	98.68%
August 2021	\$54,940,404	(\$144,982)	0%	\$54,795,422	\$54,630,396	\$165,026	100.30%
September 2021	\$47,792,813	(\$176,131)	0%	\$47,616,683	\$47,550,191	\$66,492	100.13%
October 2021	\$52,238,520	(\$168,215)	0%	\$52,070,304	\$51,864,099	\$206,206	100.39%
November 2021	\$56,434,881	(\$125,980)	0%	\$56,308,902	\$55,601,370	\$707,532	101.27%
December 2021	\$56,577,977	(\$240,298)	0%	\$56,337,679	\$56,354,135	(\$16,456)	99.97%
January 2022	\$45,479,114	(\$84,926)	0%	\$45,394,188	\$45,981,840	(\$587,652)	98.72%
February 2022	\$49,647,439	(\$104,859)	0%	\$49,542,579	\$49,591,818	(\$49,239)	99.90%
March 2022	\$58,418,248	(\$153,944)	0%	\$58,264,304	\$57,894,278	\$370,026	100.63%
April 2022	\$55,484,670	(\$170,330)	0%	\$55,314,341	\$55,796,810	(\$482,470)	99.13%
May 2022	\$58,404,073	(\$99,373)	0%	\$58,304,700	\$58,301,805	\$2,895	100.00%
June 2022	\$57,862,552	(\$105,464)	0%	\$57,757,088	\$57,533,155	\$223,933	100.38%
Cumulative Totals	\$1,208,462,425	(\$9,105,839)	-1%	\$1,199,356,586	\$1,200,047,938	(\$691,352)	99.94%
100% Limited Cumulative Total							N/A
						Minimum Completeness (%)	97.00%
						Non-Compliant	N/A

Non-Vendor
Table 8 — UnitedHealthcare Community Plan - Non-Vendor

Paid Month	Monthly Encounter Total (FAC Reported)	Monthly Encounter Total (Adjustments)	Percentage of Encounters Adjusted	Monthly Encounter Net Total	CDJ Monthly Reported Total	Monthly Variance	Monthly Completion Percentage
July 2020	\$86,816,505	(\$1,290,359)	-1%	\$85,526,146	\$85,597,738	(\$71,592)	99.91%
August 2020	\$87,053,202	(\$1,503,241)	-2%	\$85,549,960	\$85,643,839	(\$93,879)	99.89%
September 2020	\$87,161,444	(\$1,309,276)	-2%	\$85,852,167	\$86,390,688	(\$538,520)	99.37%
October 2020	\$93,723,698	(\$1,623,047)	-2%	\$92,100,651	\$92,736,567	(\$635,916)	99.31%
November 2020	\$89,168,926	(\$1,004,770)	-1%	\$88,164,156	\$88,562,398	(\$398,241)	99.55%
December 2020	\$102,534,947	(\$1,053,541)	-1%	\$101,481,405	\$102,013,376	(\$531,971)	99.47%
January 2021	\$83,026,498	(\$1,496,281)	-2%	\$81,530,217	\$81,938,483	(\$408,266)	99.50%
February 2021	\$95,646,123	(\$4,589,945)	-5%	\$91,056,179	\$91,389,595	(\$333,416)	99.63%
March 2021	\$95,599,298	(\$1,031,524)	-1%	\$94,567,774	\$95,120,858	(\$553,084)	99.41%
April 2021	\$99,716,493	(\$2,150,807)	-2%	\$97,565,686	\$98,397,758	(\$832,072)	99.15%
May 2021	\$91,912,335	(\$1,542,410)	-2%	\$90,369,925	\$90,938,693	(\$568,768)	99.37%
June 2021	\$99,862,180	(\$2,243,838)	-2%	\$97,618,342	\$98,860,768	(\$1,242,427)	98.74%
July 2021	\$107,578,477	(\$2,283,190)	-2%	\$105,295,287	\$106,368,143	(\$1,072,856)	98.99%
August 2021	\$100,150,731	(\$2,303,582)	-2%	\$97,847,148	\$98,774,864	(\$927,716)	99.06%
September 2021	\$111,261,863	(\$2,205,570)	-2%	\$109,056,293	\$110,418,141	(\$1,361,848)	98.76%
October 2021	\$122,185,685	(\$2,119,301)	-2%	\$120,066,384	\$121,116,015	(\$1,049,631)	99.13%
November 2021	\$88,620,826	(\$1,740,538)	-2%	\$86,880,288	\$87,488,140	(\$607,852)	99.30%
December 2021	\$104,205,086	(\$2,047,301)	-2%	\$102,157,785	\$103,151,204	(\$993,419)	99.03%
January 2022	\$103,447,610	(\$1,661,989)	-2%	\$101,785,621	\$103,068,678	(\$1,283,057)	98.75%
February 2022	\$96,828,081	(\$1,244,945)	-1%	\$95,583,135	\$96,312,602	(\$729,467)	99.24%
March 2022	\$100,422,641	(\$1,414,341)	-1%	\$99,008,300	\$99,735,767	(\$727,467)	99.27%
April 2022	\$98,912,020	(\$1,273,568)	-1%	\$97,638,452	\$98,784,309	(\$1,145,858)	98.84%
May 2022	\$95,051,163	(\$1,425,427)	-1%	\$93,625,736	\$94,784,521	(\$1,158,784)	98.77%
June 2022	\$98,734,211	(\$1,080,376)	-1%	\$97,653,835	\$97,542,969	\$110,865	100.11%
Cumulative Totals	\$2,339,620,040	(\$41,639,168)	-2%	\$2,297,980,873	\$2,315,136,114	(\$17,155,242)	99.25%
100% Limited Cumulative Total							N/A
						Minimum Completeness (%)	97.00%
						Non-Compliant	N/A

Appendix A: VAS Monthly Tables

Entire Plan VAS

Table 1V — UnitedHealthcare Community Plan VAS (Entire Plan)

Paid Month	Monthly Encounter Total (FAC Reported)	Monthly Encounter Total (Adjustments)	Percentage of Encounters Adjusted	Monthly Encounter Net Total	CDJ Monthly Reported Total	Monthly Variance	Monthly Completion Percentage
July 2020	\$1,345,066	(\$12,005)	-1%	\$1,333,060	\$1,336,192	(\$3,132)	99.76%
August 2020	\$1,065,257	(\$4,103)	0%	\$1,061,154	\$1,063,284	(\$2,129)	99.79%
September 2020	\$1,054,645	(\$4,547)	0%	\$1,050,098	\$1,050,360	(\$262)	99.97%
October 2020	\$1,419,705	(\$8,092)	-1%	\$1,411,613	\$1,409,982	\$1,631	100.11%
November 2020	\$1,100,937	(\$20,632)	-2%	\$1,080,305	\$1,082,369	(\$2,064)	99.80%
December 2020	\$1,065,844	(\$8,578)	-1%	\$1,057,266	\$1,055,622	\$1,644	100.15%
January 2021	\$1,361,570	(\$8,291)	-1%	\$1,353,279	\$1,359,220	(\$5,941)	99.56%
February 2021	\$1,233,789	(\$28,049)	-2%	\$1,205,740	\$1,207,322	(\$1,582)	99.86%
March 2021	\$1,487,210	(\$31,862)	-2%	\$1,455,348	\$1,459,525	(\$4,177)	99.71%
April 2021	\$1,489,583	(\$22,156)	-1%	\$1,467,427	\$1,469,939	(\$2,512)	99.82%
May 2021	\$1,289,778	(\$59,819)	-5%	\$1,229,959	\$1,231,821	(\$1,862)	99.84%
June 2021	\$1,395,260	(\$181,659)	-13%	\$1,213,602	\$1,235,908	(\$22,307)	98.19%
July 2021	\$1,547,607	(\$258,009)	-17%	\$1,289,598	\$1,303,690	(\$14,092)	98.91%
August 2021	\$1,150,573	(\$18,853)	-2%	\$1,131,720	\$1,146,705	(\$14,985)	98.69%
September 2021	\$945,826	(\$14,026)	-1%	\$931,800	\$939,477	(\$7,677)	99.18%
October 2021	\$1,274,353	(\$25,640)	-2%	\$1,248,713	\$1,260,945	(\$12,232)	99.02%
November 2021	\$1,191,194	(\$21,020)	-2%	\$1,170,174	\$1,177,964	(\$7,790)	99.33%
December 2021	\$1,026,569	(\$13,793)	-1%	\$1,012,776	\$1,022,076	(\$9,301)	99.09%
January 2022	\$1,208,681	(\$8,694)	-1%	\$1,199,987	\$1,191,875	\$8,112	100.68%
February 2022	\$1,295,314	(\$9,330)	-1%	\$1,285,984	\$1,288,516	(\$2,532)	99.80%
March 2022	\$1,261,810	(\$5,165)	0%	\$1,256,645	\$1,256,695	(\$50)	99.99%
April 2022	\$1,443,259	(\$3,841)	0%	\$1,439,418	\$1,411,302	\$28,115	101.99%
May 2022	\$1,206,722	(\$6,828)	-1%	\$1,199,894	\$1,207,074	(\$7,180)	99.40%
June 2022	\$1,024,136	(\$8,244)	-1%	\$1,015,893	\$1,023,398	(\$7,505)	99.26%
Cumulative Totals	\$29,884,688	(\$783,234)	-3%	\$29,101,454	\$29,191,263	(\$89,809)	99.69%
100% Limited Cumulative Total				\$29,097,835.74	\$29,191,263	(\$93,427)	99.67%
						Minimum Completeness (%)	97.00%
						Non-Compliant	N/A

National MedTrans VAS
Table 2V —UnitedHealthcare Community Plan National MedTrans VAS (NEMT)

Paid Month	Monthly Encounter Total (FAC Reported)	Monthly Encounter Total (Adjustments)	Percentage of Encounters Adjusted	Monthly Encounter Net Total	CDJ Monthly Reported Total	Monthly Variance	Monthly Completion Percentage
July 2020	\$14,884	(\$62)	0%	\$14,821	\$14,624	\$197	101.34%
August 2020	\$21,038	(\$352)	-2%	\$20,686	\$20,658	\$29	100.13%
September 2020	\$9,050	\$0	0%	\$9,050	\$9,625	(\$574)	94.03%
October 2020	\$2,348	(\$545)	-23%	\$1,802	\$1,812	(\$10)	99.47%
November 2020	\$444	\$0	0%	\$444	\$444	\$0	100.00%
December 2020	\$484	\$0	0%	\$484	\$484	\$0	100.00%
January 2021	\$0	\$0	N/A	\$0	\$0	\$0	N/A
February 2021	\$0	\$0	N/A	\$0	\$0	\$0	N/A
March 2021	\$0	\$0	N/A	\$0	\$0	\$0	N/A
April 2021	\$0	\$0	N/A	\$0	\$0	\$0	N/A
May 2021	\$0	\$0	N/A	\$0	\$0	\$0	N/A
June 2021	\$0	\$0	N/A	\$0	\$0	\$0	N/A
July 2021	\$2,248	(\$1,124)	-50%	\$1,124	\$567	\$557	198.20%
August 2021	\$361	\$0	0%	\$361	\$0	\$361	N/A
September 2021	\$2,970	(\$2,970)	-100%	\$0	(\$483)	\$483	0.00%
October 2021	\$0	\$0	N/A	\$0	\$0	\$0	N/A
November 2021	\$0	\$0	N/A	\$0	\$0	\$0	N/A
December 2021	\$0	\$0	N/A	\$0	\$0	\$0	N/A
January 2022	\$0	\$0	N/A	\$0	\$0	\$0	N/A
February 2022	\$0	\$0	N/A	\$0	\$0	\$0	N/A
March 2022	\$0	\$0	N/A	\$0	\$0	\$0	N/A
April 2022	\$0	\$0	N/A	\$0	\$0	\$0	N/A
May 2022	\$0	\$0	N/A	\$0	\$0	\$0	N/A
June 2022	\$0	\$0	N/A	\$0	\$0	\$0	N/A
Cumulative Totals	\$53,828	(\$5,054)	-9%	\$48,774	\$47,732	\$1,042	102.18%
100% Limited Cumulative Total				\$47,732	\$47,732	\$0	100.00%
						Minimum Completeness (%)	97.00%
						Non-Compliant	2.18%

ModivCare VAS
Table 3V — UnitedHealthcare Community Plan ModivCare VAS (NEMT)

Paid Month	Monthly Encounter Total (FAC Reported)	Monthly Encounter Total (Adjustments)	Percentage of Encounters Adjusted	Monthly Encounter Net Total	CDJ Monthly Reported Total	Monthly Variance	Monthly Completion Percentage
July 2020	\$0	\$0	N/A	\$0	\$0	\$0	N/A
August 2020	\$0	\$0	N/A	\$0	\$0	\$0	N/A
September 2020	\$1,452	(\$24)	-2%	\$1,428	\$937	\$491	152.39%
October 2020	\$4,372	(\$14)	0%	\$4,359	\$1,668	\$2,690	261.26%
November 2020	\$4,490	(\$76)	-2%	\$4,415	\$1,171	\$3,243	376.93%
December 2020	\$9,843	(\$2,293)	-23%	\$7,550	\$2,975	\$4,575	253.75%
January 2021	(\$1,088)	(\$165)	15%	(\$1,253)	\$2,092	(\$3,346)	-59.88%
February 2021	\$2,205	\$0	0%	\$2,205	\$1,356	\$848	162.55%
March 2021	\$2,115	\$0	0%	\$2,115	\$2,198	(\$83)	96.23%
April 2021	\$3,399	\$0	0%	\$3,399	\$3,399	\$0	100.00%
May 2021	\$1,281	\$0	0%	\$1,281	\$1,281	\$0	100.00%
June 2021	\$2,749	\$0	0%	\$2,749	\$2,660	\$90	103.36%
July 2021	\$5,666	(\$1,326)	-23%	\$4,340	\$4,340	\$0	100.00%
August 2021	\$3,148	(\$619)	-20%	\$2,529	\$2,529	\$0	100.00%
September 2021	\$1,627	\$0	0%	\$1,627	\$1,627	\$0	100.00%
October 2021	\$2,062	\$0	0%	\$2,062	\$2,062	\$0	100.00%
November 2021	\$3,103	\$0	0%	\$3,103	\$3,103	\$0	100.00%
December 2021	\$3,199	\$0	0%	\$3,199	\$3,199	\$0	100.00%
January 2022	\$1,477	(\$89)	-6%	\$1,388	\$1,477	(\$89)	93.98%
February 2022	\$1,720	\$0	0%	\$1,720	\$1,720	\$0	100.00%
March 2022	\$2,710	(\$2,238)	-83%	\$472	\$3,601	(\$3,129)	13.09%
April 2022	\$5,886	(\$1,621)	-28%	\$4,265	\$4,604	(\$339)	92.63%
May 2022	\$4,396	\$0	0%	\$4,396	\$4,893	(\$497)	89.85%
June 2022	\$950	(\$31)	-3%	\$919	\$2,799	(\$1,880)	32.83%
Cumulative Totals	\$66,762	(\$8,495)	-13%	\$58,267	\$55,691	\$2,576	104.62%
100% Limited Cumulative Total				\$55,691	\$55,691	\$0	100.00%
						Minimum Completeness (%)	97.00%
						Non-Compliant	4.62%

MARCH Vision VAS
Table 4V — UnitedHealthcare Community Plan MARCH Vision Care VAS

Paid Month	Monthly Encounter Total (FAC Reported)	Monthly Encounter Total (Adjustments)	Percentage of Encounters Adjusted	Monthly Encounter Net Total	CDJ Monthly Reported Total	Monthly Variance	Monthly Completion Percentage
July 2020	\$370,418	(\$421)	0%	\$369,997	\$370,684	(\$687)	99.81%
August 2020	\$353,154	(\$328)	0%	\$352,825	\$353,037	(\$212)	99.93%
September 2020	\$382,213	(\$331)	0%	\$381,882	\$381,912	(\$30)	99.99%
October 2020	\$401,130	(\$403)	0%	\$400,727	\$401,105	(\$378)	99.90%
November 2020	\$325,298	(\$183)	0%	\$325,115	\$325,462	(\$347)	99.89%
December 2020	\$380,618	(\$381)	0%	\$380,237	\$380,991	(\$754)	99.80%
January 2021	\$477,434	(\$681)	0%	\$476,753	\$477,153	(\$400)	99.91%
February 2021	\$518,257	(\$696)	0%	\$517,561	\$518,944	(\$1,383)	99.73%
March 2021	\$593,080	(\$360)	0%	\$592,720	\$593,749	(\$1,029)	99.82%
April 2021	\$525,389	(\$777)	0%	\$524,613	\$525,446	(\$833)	99.84%
May 2021	\$451,085	(\$557)	0%	\$450,528	\$451,450	(\$922)	99.79%
June 2021	\$421,630	(\$1,089)	0%	\$420,541	\$422,197	(\$1,655)	99.60%
July 2021	\$389,630	(\$892)	0%	\$388,738	\$388,892	(\$154)	99.96%
August 2021	\$366,846	(\$645)	0%	\$366,200	\$366,925	(\$725)	99.80%
September 2021	\$265,685	(\$685)	0%	\$264,999	\$266,101	(\$1,102)	99.58%
October 2021	\$338,656	(\$7,440)	-2%	\$331,216	\$331,490	(\$274)	99.91%
November 2021	\$393,946	(\$19,085)	-5%	\$374,862	\$375,890	(\$1,028)	99.72%
December 2021	\$322,850	(\$12,051)	-4%	\$310,799	\$312,599	(\$1,800)	99.42%
January 2022	\$432,168	(\$6,892)	-2%	\$425,276	\$427,276	(\$2,000)	99.53%
February 2022	\$526,099	(\$5,551)	-1%	\$520,549	\$520,199	\$349	100.06%
March 2022	\$558,693	(\$1,435)	0%	\$557,258	\$554,587	\$2,670	100.48%
April 2022	\$469,720	(\$534)	0%	\$469,186	\$470,430	(\$1,244)	99.73%
May 2022	\$476,445	(\$1,570)	0%	\$474,875	\$475,933	(\$1,058)	99.77%
June 2022	\$334,280	(\$454)	0%	\$333,826	\$333,904	(\$78)	99.97%
Cumulative Totals	\$10,074,725	(\$63,441)	-1%	\$10,011,284	\$10,026,358	(\$15,073)	99.84%
100% Limited Cumulative Total							N/A
						Minimum Completeness (%)	97.00%
						Non-Compliant	N/A



Dental Benefit Providers VAS

Table 5V —UnitedHealthcare Community Plan Dental Benefit Providers VAS

Paid Month	Monthly Encounter Total (FAC Reported)	Monthly Encounter Total (Adjustments)	Percentage of Encounters Adjusted	Monthly Encounter Net Total	CDJ Monthly Reported Total	Monthly Variance	Monthly Completion Percentage
July 2020	\$869,164	(\$10,143)	-1%	\$859,021	\$860,842	(\$1,821)	99.78%
August 2020	\$524,618	(\$2,434)	0%	\$522,184	\$523,564	(\$1,380)	99.73%
September 2020	\$520,263	(\$2,555)	0%	\$517,708	\$517,197	\$511	100.09%
October 2020	\$850,675	(\$494)	0%	\$850,182	\$850,857	(\$675)	99.92%
November 2020	\$660,652	(\$17,063)	-3%	\$643,590	\$645,168	(\$1,578)	99.75%
December 2020	\$588,058	(\$1,681)	0%	\$586,377	\$587,240	(\$863)	99.85%
January 2021	\$819,467	(\$5,929)	-1%	\$813,537	\$814,565	(\$1,028)	99.87%
February 2021	\$640,658	(\$26,802)	-4%	\$613,856	\$614,649	(\$793)	99.87%
March 2021	\$756,301	(\$30,812)	-4%	\$725,489	\$727,979	(\$2,491)	99.65%
April 2021	\$868,655	(\$20,757)	-2%	\$847,897	\$849,530	(\$1,633)	99.80%
May 2021	\$763,610	(\$57,926)	-8%	\$705,683	\$706,188	(\$505)	99.92%
June 2021	\$840,268	(\$179,991)	-21%	\$660,278	\$680,494	(\$20,216)	97.02%
July 2021	\$1,017,796	(\$253,651)	-25%	\$764,145	\$777,485	(\$13,340)	98.28%
August 2021	\$664,598	(\$16,239)	-2%	\$648,358	\$659,656	(\$11,298)	98.28%
September 2021	\$559,537	(\$9,787)	-2%	\$549,751	\$556,145	(\$6,394)	98.85%
October 2021	\$598,641	(\$16,131)	-3%	\$582,510	\$592,371	(\$9,861)	98.33%
November 2021	\$565,627	(\$403)	0%	\$565,224	\$569,670	(\$4,446)	99.21%
December 2021	\$620,993	(\$617)	0%	\$620,377	\$626,936	(\$6,559)	98.95%
January 2022	\$661,039	(\$755)	0%	\$660,284	\$648,595	\$11,689	101.80%
February 2022	\$673,725	(\$2,833)	0%	\$670,893	\$672,900	(\$2,007)	99.70%
March 2022	\$603,655	(\$1,061)	0%	\$602,593	\$602,889	(\$296)	99.95%
April 2022	\$882,062	(\$1,249)	0%	\$880,813	\$848,256	\$32,557	103.83%
May 2022	\$639,975	(\$519)	0%	\$639,456	\$639,843	(\$386)	99.93%
June 2022	\$586,080	(\$1,055)	0%	\$585,025	\$585,698	(\$672)	99.88%
Cumulative Totals	\$16,776,118	(\$660,887)	-4%	\$16,115,232	\$16,158,719	(\$43,487)	99.73%
100% Limited Cumulative Total							N/A
						Minimum Completeness (%)	97.00%
						Non-Compliant	N/A

Non-Vendor VAS
Table 6V — UnitedHealthcare Community Plan - Non-Vendor VAS

Paid Month	Monthly Encounter Total (FAC Reported)	Monthly Encounter Total (Adjustments)	Percentage of Encounters Adjusted	Monthly Encounter Net Total	CDJ Monthly Reported Total	Monthly Variance	Monthly Completion Percentage
July 2020	\$90,599	(\$1,378)	-2%	\$89,221	\$90,041	(\$820)	99.08%
August 2020	\$166,447	(\$988)	-1%	\$165,459	\$166,025	(\$565)	99.65%
September 2020	\$141,666	(\$1,637)	-1%	\$140,029	\$140,689	(\$660)	99.53%
October 2020	\$161,179	(\$6,636)	-4%	\$154,543	\$154,541	\$2	100.00%
November 2020	\$110,052	(\$3,311)	-3%	\$106,742	\$110,124	(\$3,383)	96.92%
December 2020	\$86,841	(\$4,223)	-5%	\$82,618	\$83,931	(\$1,314)	98.43%
January 2021	\$65,758	(\$1,516)	-2%	\$64,242	\$65,409	(\$1,167)	98.21%
February 2021	\$72,670	(\$551)	-1%	\$72,118	\$72,373	(\$255)	99.64%
March 2021	\$135,714	(\$689)	-1%	\$135,025	\$135,600	(\$575)	99.57%
April 2021	\$92,140	(\$621)	-1%	\$91,518	\$91,564	(\$46)	99.94%
May 2021	\$73,803	(\$1,336)	-2%	\$72,467	\$72,902	(\$435)	99.40%
June 2021	\$130,612	(\$579)	0%	\$130,033	\$130,558	(\$524)	99.59%
July 2021	\$132,267	(\$1,017)	-1%	\$131,250	\$132,405	(\$1,155)	99.12%
August 2021	\$115,621	(\$1,349)	-1%	\$114,271	\$117,595	(\$3,323)	97.17%
September 2021	\$116,007	(\$584)	-1%	\$115,423	\$116,086	(\$663)	99.42%
October 2021	\$334,856	(\$1,931)	-1%	\$332,925	\$335,022	(\$2,097)	99.37%
November 2021	\$228,517	(\$1,532)	-1%	\$226,986	\$229,302	(\$2,316)	98.98%
December 2021	\$79,527	(\$1,126)	-1%	\$78,401	\$79,342	(\$941)	98.81%
January 2022	\$113,997	(\$959)	-1%	\$113,039	\$114,527	(\$1,489)	98.70%
February 2022	\$93,770	(\$947)	-1%	\$92,823	\$93,697	(\$874)	99.06%
March 2022	\$96,753	(\$430)	0%	\$96,322	\$95,618	\$704	100.73%
April 2022	\$85,591	(\$437)	-1%	\$85,154	\$88,013	(\$2,859)	96.75%
May 2022	\$85,905	(\$4,739)	-6%	\$81,166	\$86,405	(\$5,239)	93.93%
June 2022	\$102,826	(\$6,703)	-7%	\$96,122	\$100,997	(\$4,875)	95.17%
Cumulative Totals	\$2,913,117	(\$45,220)	-2%	\$2,867,897	\$2,902,764	(\$34,867)	98.79%
100% Limited Cumulative Total							N/A
						Minimum Completeness (%)	97.00%
						Non-Compliant	N/A

Appendix B: Definitions and Acronyms

The following terms are used throughout this document:

- **Cash Disbursement Journal (CDJ)** – A record of payments from an MCO or delegated vendor to service providers for a given month as reported by the MCO to the Louisiana Department of Health (LDH).
- **DXC Technology (DXC)** – State fiscal agent contractor prior to October 1, 2020. In 2020, DXC was sold to Veritas Capital and ultimately formed a new company, Gainwell Technologies.
- **Fiscal Agent Contractor (FAC)** – A contractor selected to design, develop and maintain the Medicaid Management Information System (MMIS); Gainwell is the current FAC.
- **Gainwell Technologies (Gainwell)** – Current State fiscal agent contractor. Formerly known as DXC Technology.
- **Healthy Louisiana** – The name of Louisiana’s Medicaid managed care program as of May 2016.
- **Louisiana Department of Health (LDH)** – The agency in charge of overseeing the health services for the citizens of the state of Louisiana.
- **Managed Care Organization (MCO)** – A private organization that has entered into a risk-based contractual arrangement with LDH to obtain and finance care for enrolled Medicaid or Louisiana Children’s Health Insurance Program (LaCHIP) members. MCOs receive a capitation or per member per month (PMPM) payment from LDH for each enrolled member. During the reporting period, five MCOs were operating in Louisiana. They are Healthy Blue – formerly Amerigroup Louisiana, Inc., AmeriHealth Caritas Louisiana (ACLA), Louisiana Healthcare Connections (LHCC), Aetna Better Health of Louisiana (Aetna), and UnitedHealthcare Community Plan (UHC).
- **Medicaid Management Information System (MMIS)** – The claims and encounter processing system used by the FAC. MCO submitted encounters are loaded into this system and assigned a unique claim identifier.
- **Value-Added Services (VAS)** – A covered service provided by the MCO to its members that is currently a non-covered service in the state’s fee-for-service plan, for which the MCO received no additional capitated payment. Also known as Expanded Services.



The following terms are used in the monthly tables throughout this document:

- **100% Limited Completion** - When an individual vendor's cumulative completion percentage exceeds 100 percent, the encounter total is decreased by the variance between the encounter and cash disbursement journal payment amounts. This results in a limited cumulative completion percentage of 100%. For the entire plan, (Tables 1 and 1V), the limited cumulative completion percentage is calculated using the adjusted encounter amounts of all limited vendor and non-vendor results. This adjustment is to ensure that the entire plan completion percentage is not over-stated.
- **CDJ Monthly Reported Total** – The sum of all payments from an MCO or delegated vendor to service providers for the reconciliation period reported in the Cash Disbursement Journal (CDJ).
- **Monthly Completion Percentage** – The “Monthly Encounter Net Total” divided by “CDJ Monthly Reported Total”
- **Monthly Encounter Net Total** – The difference between the “Monthly Encounter Total (FAC Reported)” and “Monthly Encounter Total (Adjustments)”
- **Monthly Encounter Total (Adjustments)** – Total paid amount of encounters identified as denied, calculated void or potential duplicate.
 - State System Denied Encounter – A submitted encounter that is paid by the plan but is denied by the Fiscal Agent Contractor (FAC) due to MMIS Claims Subsystem edits.
 - Health Plan Denied Encounter – A submitted encounter that is denied by the plan. This denied encounter is indicated by a value of ‘D’ in the second position of the MCO ICN submitted by the plan.
 - Calculated Voids – A pair of paid encounters having the same base patient account number or plan internal control number (ICN) if applicable. One of the encounters may appear to be a replacement of the other without a corresponding void encounter transaction being present. In this case, an adjustment is made to account for the missing void transaction. The magnitude of this adjustment depends upon the plans’ response to a listing of potential calculated void encounters.
 - Duplicate Encounters – A pair of paid encounters having identically-billed fields that appear to be duplicates of one another. One of these encounters may be excluded from the analysis depending upon the plans’ response to a listing of potential duplicate encounters.
- **Monthly Encounter Total (FAC Reported)** – The sum of all paid amounts on encounters submitted to the MMIS.
- **Monthly Variance** – The difference between the “Monthly Encounter Net Total” and the “CDJ Monthly Reported Total”.
- **Percentage of Encounters Adjusted** – The “Monthly Encounter Total (Adjustments)” divided by “Monthly Encounter Total (FAC Reported)”

Appendix C: Analysis

Encounters from institutional, medical and pharmacy claim types were combined on like data fields. We analyzed the line reported information of each encounter to capture the amount paid on the entire claim. Encounter totals were calculated by summarizing the data by the MCO paid date, MCO identification number (ID) and specific delegated vendor criteria. MCO submitted cash disbursements were summarized by paid date, MCO ID and specific delegated vendor criteria to create a matching table. These matching tables were combined using common fields between the tables and were used to produce the results.

Based on criteria provided by the MCO, we identified UHC encounters as follows:

Active Vendors			
Vendor Type	Vendor Name	Identified By	Notes
Behavioral Health	Optum Behavioral Health Services (BHS)	Characters 3 and 4 of Plan ICN contain "BH"	
Non-Emergency Medical Transportation (NEMT)	ModivCare	Characters 3 and 4 of Plan ICN contain "LG"	
Vision Services	MARCH Vision Care	Characters 3 and 4 of Plan ICN contain "MV"	
Dental Services	UHC Dental Benefit Providers (DBP)	Characters 3 and 4 of Plan ICN contain "DB"	
Pharmacy Benefits	OptumRx	Claim type code of '12'	
Non-Vendor	UHC	All other plan submitted encounters	

Inactive Vendors			
Vendor Type	Vendor Name	Identified By	Notes
Non-Emergency Medical Transportation (NEMT)	National MedTrans	Characters 3 and 4 of Plan ICN contain "NM"	ModivCare acquired National MedTrans – Effective May 2020
Non-Emergency Medical Transportation (NEMT)	ModivCare (formerly LogistiCare)	Characters 3 and 4 of Plan ICN contain "LG"	Replaced by National MedTrans – Effective April 1, 2018



Appendix D: Data Analysis Assumptions

1. This analysis is performed on encounter data that was submitted by the MCOs to the FAC and loaded into the FAC MMIS. Encounters submitted by any MCO that were rejected by the FAC for errors in submission or other reasons are not transmitted to Myers and Stauffer LC.
2. For the purposes of this study, the payment amounts associated with denied encounters are identified as zero dollars in the encounter reconciliation analysis since they bear no impact on cash disbursements.
3. A voiding encounter has the same paid date as the original/voided encounter, which may differ from when the void or adjustment occurred. Therefore, the voiding encounters were coded to match the adjustment claim's paid date to allow for the proper matching of cash disbursements that occurred due to these void transactions. However, we were unable to reallocate the void encounters in which there was not an associated adjustment claim.
4. CDJ and encounter payments are analyzed to ensure that positive and negative payments correspond to the record's transaction type. For example, a void should have a negative amount. Additionally, the payment's amount on void and back-out encounters should match the amount on the encounter being adjusted. If detected, the payment is adjusted to the appropriate sign or amount.
5. We instructed the MCOs to exclude referral fees, management fees, and other non-encounter related fees from the CDJ data that is submitted to Myers and Stauffer LC. We reviewed the CDJs for these payments and removed them from the analysis when they were identified.
6. Separately itemized interest expenses are excluded from the CDJ and encounter totals when the interest amounts are included in the MCO paid amounts on the encounters and/or CDJ transactions.
7. Due to rounding, the sum of the displayed percentages in this report may not add up to the total.
8. The short run-out period for encounter submissions may not allow sufficient time for the MCOs to resolve encounter submission issues noted in previous reconciliation reports. This may result in lower completion percentages when reconciling the encounters to CDJ totals.
9. Opportunities for improving the encounter reconciliation process have been identified during analysis of the encounter data and cash disbursement journals, as well as frequent interactions with the MCOs, their delegated vendors, LDH, and the FAC. While we have attempted to account for these situations, other potential issues within the data may exist that have not yet been identified which may require us to restate a report or modify reconciliation processes in the future.