

Louisiana

UNIFORM APPLICATION

FY 2018/2019 - STATE BEHAVIORAL HEALTH ASSESSMENT AND PLAN

SUBSTANCE ABUSE PREVENTION AND TREATMENT and COMMUNITY MENTAL HEALTH SERVICES BLOCK GRANT

OMB - Approved 06/12/2015 - Expires 09/30/2020
(generated on 08/24/2018 3:58:23 PM)

Center for Substance Abuse Prevention
Division of State Programs

Center for Substance Abuse Treatment
Division of State and Community Assistance

and

Center for Mental Health Services
Division of State and Community Systems Development

State Information

State Information

Plan Year

Start Year 2019

End Year 2020

State SAPT DUNS Number

Number 809927064

Expiration Date

I. State Agency to be the SAPT Grantee for the Block Grant

Agency Name Louisiana Department of Health

Organizational Unit Office of Behavioral Health

Mailing Address P.O. Box 4049

City Baton Rouge

Zip Code 70821

II. Contact Person for the SAPT Grantee of the Block Grant

First Name Janice

Last Name Petersen

Agency Name Louisiana Department of Health

Mailing Address P. O. Box 4049

City Baton Rouge

Zip Code 70821

Telephone 225-342-9532

Fax 225-342-3875

Email Address Janice.petersen@la.gov

State CMHS DUNS Number

Number 809927064

Expiration Date

I. State Agency to be the CMHS Grantee for the Block Grant

Agency Name Louisiana Department of Health

Organizational Unit Office of Behavioral Health

Mailing Address P.O. Box 4049

City Baton Rouge

Zip Code 70821

II. Contact Person for the CMHS Grantee of the Block Grant

First Name Karen

Last Name Stubbs

Agency Name Louisiana Department of Health, Office of Behavioral Health

Mailing Address P.O. Box 4049
City Baton Rouge
Zip Code 70821
Telephone 225-342-1562
Fax 225-342-3875
Email Address karen.stubbs@la.gov

III. Third Party Administrator of Mental Health Services

First Name
Last Name
Agency Name
Mailing Address
City
Zip Code
Telephone
Fax
Email Address

IV. State Expenditure Period (Most recent State expenditure period that is closed out)

From
To

V. Date Submitted

Submission Date
Revision Date

VI. Contact Person Responsible for Application Submission

First Name Missy
Last Name Graves
Telephone 225-342-8553
Fax 225-342-3875
Email Address missy.graves@la.gov

Footnotes:

State Information

Chief Executive Officer's Funding Agreement - Certifications and Assurances / Letter Designating Signatory Authority [SA]

Fiscal Year 2019

U.S. Department of Health and Human Services
Substance Abuse and Mental Health Services Administrations
Funding Agreements
as required by
Substance Abuse Prevention and Treatment Block Grant Program
as authorized by
Title XIX, Part B, Subpart II and Subpart III of the Public Health Service Act
and
Tile 42, Chapter 6A, Subchapter XVII of the United States Code

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ASSURANCES - NON-CONSTRUCTION PROGRAMS

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As the duly authorized representative of the applicant I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States, and if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standard or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the nineteen statutes or regulations specified in Appendix A of OPM's Standard for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §§794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to non-discrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Title II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetland pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions

to State (Clear Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended, (P.L. 93-523); and (h) protection of endangered species under the Endangered Species Act of 1973, as amended, (P.L. 93-205).

12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act of 1984.
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations and policies governing this program.
19. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

LIST of CERTIFICATIONS

1. Certification Regarding Debarment and Suspension

The undersigned (authorized official signing for the applicant organization) certifies to the best of his or her knowledge and belief that the applicant, defined as the primary participant in accordance with 2 CFR part 180, and its principals:

- a. Agrees to comply with 2 CFR Part 180, Subpart C by administering each lower tier subaward or contract that exceeds \$25,000 as a "covered transaction" and verify each lower tier participant of a "covered transaction" under the award is not presently debarred or otherwise disqualified from participation in this federally assisted project by:
 - a. Checking the Exclusion Extract located on the System for Award Management (SAM) at <http://sam.gov>
 - b. Collecting a certification statement similar to paragraph (a)
 - c. Inserting a clause or condition in the covered transaction with the lower tier contract

2. Certification Regarding Drug-Free Workplace Requirements

The undersigned (authorized official signing for the applicant organization) certifies that the applicant will, or will continue to, provide a drug-free work place in accordance with 2 CFR Part 182 by:

- a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession or use of a controlled substance is prohibited in the grantee's work-place and specifying the actions that will be taken against employees for violation of such prohibition;
- b. Establishing an ongoing drug-free awareness program to inform employees about--
 1. The dangers of drug abuse in the workplace;
 2. The grantee's policy of maintaining a drug-free workplace;
 3. Any available drug counseling, rehabilitation, and employee assistance programs; and
 4. The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
- c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a) above;
- d. Notifying the employee in the statement required by paragraph (a), above, that, as a condition of employment under the grant, the employee will--
 1. Abide by the terms of the statement; and
 2. Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
- e. Notifying the agency in writing within ten calendar days after receiving notice under paragraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
- f. Taking one of the following actions, within 30 calendar days of receiving notice under paragraph (d) (2), with respect to any employee who is so convicted?
 1. Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 2. Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
- g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e), and (f).

3. Certifications Regarding Lobbying

Per 45 CFR §75.215, Recipients are subject to the restrictions on lobbying as set forth in 45 CFR part 93. Title 31, United States Code, Section 1352, entitled "Limitation on use of appropriated funds to influence certain Federal contracting and financial transactions,"

generally prohibits recipients of Federal grants and cooperative agreements from using Federal (appropriated) funds for lobbying the Executive or Legislative Branches of the Federal Government in connection with a SPECIFIC grant or cooperative agreement. Section 1352 also requires that each person who requests or receives a Federal grant or cooperative agreement must disclose lobbying undertaken with non-Federal (non- appropriated) funds. These requirements apply to grants and cooperative agreements EXCEEDING \$100,000 in total costs.

The undersigned (authorized official signing for the applicant organization) certifies, to the best of his or her knowledge and belief, that

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
2. If any funds other than Federally appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions. (If needed, Standard Form-LLL, "Disclosure of Lobbying Activities," its instructions, and continuation sheet are included at the end of this application form.)
3. The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

4. Certification Regarding Program Fraud Civil Remedies Act (PFCRA) (31 U.S.C § 3801- 3812)

The undersigned (authorized official signing for the applicant organization) certifies that the statements herein are true, complete, and accurate to the best of his or her knowledge, and that he or she is aware that any false, fictitious, or fraudulent statements or claims may subject him or her to criminal, civil, or administrative penalties. The undersigned agrees that the applicant organization will comply with the Public Health Service terms and conditions of award if a grant is awarded as a result of this application.

5. Certification Regarding Environmental Tobacco Smoke

Public Law 103-227, also known as the Pro-Children Act of 1994 (Act), requires that smoking not be permitted in any portion of any indoor facility owned or leased or contracted for by an entity and used routinely or regularly for the provision of health, daycare, early childhood development services, education or library services to children under the age of 18, if the services are funded by Federal programs either directly or through State or local governments, by Federal grant, contract, loan, or loan guarantee. The law also applies to children's services that are provided in indoor facilities that are constructed, operated, or maintained with such Federal funds. The law does not apply to children's services provided in private residence, portions of facilities used for inpatient drug or alcohol treatment, service providers whose sole source of applicable Federal funds is Medicare or Medicaid, or facilities where WIC coupons are redeemed.

Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to \$1,000 for each violation and/or the imposition of an administrative compliance order on the responsible entity.

By signing the certification, the undersigned certifies that the applicant organization will comply with the requirements of the Act and will not allow smoking within any portion of any indoor facility used for the provision of services for children as defined by the Act.

The applicant organization agrees that it will require that the language of this certification be included in any subawards which contain provisions for children's services and that all subrecipients shall certify accordingly.

The Public Health Services strongly encourages all grant recipients to provide a smoke-free workplace and promote the non-use of tobacco products. This is consistent with the PHS mission to protect and advance the physical and mental health of the American people.

HHS Assurances of Compliance (HHS 690)

ASSURANCE OF COMPLIANCE WITH TITLE VI OF THE CIVIL RIGHTS ACT OF 1964, SECTION 504 OF THE REHABILITATION ACT OF 1973, TITLE IX OF THE EDUCATION AMENDMENTS OF 1972, THE AGE DISCRIMINATION ACT OF 1975, AND SECTION 1557 OF THE AFFORDABLE CARE ACT

The Applicant provides this assurance in consideration of and for the purpose of obtaining Federal grants, loans, contracts, property, discounts or other Federal financial assistance from the U.S. Department of Health and Human Services.

THE APPLICANT HEREBY AGREES THAT IT WILL COMPLY WITH:

1. Title VI of the Civil Rights Act of 1964 (Pub. L. 88-352), as amended, and all requirements imposed by or pursuant to the Regulation of the Department of Health and Human Services (45 C.F.R. Part 80), to the end that, in accordance with Title VI of that Act and the Regulation, no person in the United States shall, on the ground of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity for which the Applicant receives Federal financial assistance from the Department.
2. Section 504 of the Rehabilitation Act of 1973 (Pub. L. 93-112), as amended, and all requirements imposed by or pursuant to the Regulation of the Department of Health and Human Services (45 C.F.R. Part 84), to the end that, in accordance with Section 504 of that Act and the Regulation, no otherwise qualified individual with a disability in the United States shall, solely by reason of her or his disability, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity for which the Applicant receives Federal financial assistance from the Department.
3. Title IX of the Education Amendments of 1972 (Pub. L. 92-318), as amended, and all requirements imposed by or pursuant to the Regulation of the Department of Health and Human Services (45 C.F.R. Part 86), to the end that, in accordance with Title IX and the Regulation, no person in the United States shall, on the basis of sex, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any education program or activity for which the Applicant receives Federal financial assistance from the Department.
4. The Age Discrimination Act of 1975 (Pub. L. 94-135), as amended, and all requirements imposed by or pursuant to the Regulation of the Department of Health and Human Services (45 C.F.R. Part 91), to the end that, in accordance with the Act and the Regulation, no person in the United States shall, on the basis of age, be denied the benefits of, be excluded from participation in, or be subjected to discrimination under any program or activity for which the Applicant receives Federal financial assistance from the Department.
5. Section 1557 of the Affordable Care Act (Pub. L. 111-148), as amended, and all requirements imposed by or pursuant to the Regulation of the Department of Health and Human Services (45 CFR Part 92), to the end that, in accordance with Section 1557 and the Regulation, no person in the United States shall, on the ground of race, color, national origin, sex, age, or disability be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any health program or activity for which the Applicant receives Federal financial assistance from the Department.

The Applicant agrees that compliance with this assurance constitutes a condition of continued receipt of Federal financial assistance, and that it is binding upon the Applicant, its successors, transferees and assignees for the period during which such assistance is provided. If any real property or structure thereon is provided or improved with the aid of Federal financial assistance extended to the Applicant by the Department, this assurance shall obligate the Applicant, or in the case of any transfer of such property, any transferee, for the period during which the real property or structure is used for a purpose for which the Federal financial assistance is extended or for another purpose involving the provision of similar services or benefits. If any personal property is so provided, this assurance shall obligate the Applicant for the period during which it retains ownership or possession of the property. The Applicant further recognizes and agrees that the United States shall have the right to seek judicial enforcement of this assurance.

The grantee, as the awardee organization, is legally and financially responsible for all aspects of this award including funds provided to sub-recipients in accordance with 45 CFR §§ 75.351-75.352, Subrecipient monitoring and management.

I hereby certify that the state or territory will comply with Title XIX, Part B, Subpart II and Subpart III of the Public Health Service (PHS) Act, as amended, and summarized above, except for those sections in the PHS Act that do not apply or for which a waiver has been granted or may be granted by the Secretary for the period covered by this agreement.

I also certify that the state or territory will comply with the Assurances Non-construction Programs and other Certifications summarized above.

State: _____

Name of Chief Executive Officer (CEO) or Designee: _____

Signature of CEO or Designee¹: _____

Title: _____

Date Signed: _____

mm/dd/yyyy

¹If the agreement is signed by an authorized designee, a copy of the designation must be attached.

Footnotes:

Office of the Governor
State of Louisiana

JOHN BEL EDWARDS
GOVERNOR



P.O. Box 94004
BATON ROUGE, LOUISIANA 70804-9004
(225) 342-7015
GOV.LA.GOV

August 23, 2016

Ms. Virginia Simmons
Grants Management Officer
Office of Financial Resources, Division of Grants Management
Substance Abuse and Mental Health Services Administration
1 Choke Cherry Road, Room 7-1109
Rockville, MD 20857

RE: Designation of Authority to Sign SABG, MHBG, and PATH Grant Application

Dear Ms. Simmons:

As the Governor of the State of Louisiana, I delegate signatory authority to the current Assistant Secretary of the Office of Behavioral Health, or anyone officially acting in this role in the instance of a vacancy, for all transactions required to administer the Substance Abuse and Mental Health Services Administration (SAMHSA) Substance Abuse Prevention and Treatment Block Grant (SABG), Mental Health Block Grant (MHBG), and the PATH grant.

Thank you for your assistance in this matter.

Sincerely,

A handwritten signature in black ink, appearing to read "John Bel Edwards".

John Bel Edwards
Governor

State Information

Chief Executive Officer's Funding Agreement - Certifications and Assurances / Letter Designating Signatory Authority [SA]

Fiscal Year 2019

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11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetland pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions

to State (Clear Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended, (P.L. 93-523); and (h) protection of endangered species under the Endangered Species Act of 1973, as amended, (P.L. 93-205).

12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
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17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act of 1984.
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations and policies governing this program.
19. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

LIST of CERTIFICATIONS

1. Certification Regarding Debarment and Suspension

The undersigned (authorized official signing for the applicant organization) certifies to the best of his or her knowledge and belief that the applicant, defined as the primary participant in accordance with 2 CFR part 180, and its principals:

- a. Agrees to comply with 2 CFR Part 180, Subpart C by administering each lower tier subaward or contract that exceeds \$25,000 as a "covered transaction" and verify each lower tier participant of a "covered transaction" under the award is not presently debarred or otherwise disqualified from participation in this federally assisted project by:
 - a. Checking the Exclusion Extract located on the System for Award Management (SAM) at <http://sam.gov>
 - b. Collecting a certification statement similar to paragraph (a)
 - c. Inserting a clause or condition in the covered transaction with the lower tier contract

2. Certification Regarding Drug-Free Workplace Requirements

The undersigned (authorized official signing for the applicant organization) certifies that the applicant will, or will continue to, provide a drug-free work place in accordance with 2 CFR Part 182 by:

- a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession or use of a controlled substance is prohibited in the grantee's work-place and specifying the actions that will be taken against employees for violation of such prohibition;
- b. Establishing an ongoing drug-free awareness program to inform employees about--
 1. The dangers of drug abuse in the workplace;
 2. The grantee's policy of maintaining a drug-free workplace;
 3. Any available drug counseling, rehabilitation, and employee assistance programs; and
 4. The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
- c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a) above;
- d. Notifying the employee in the statement required by paragraph (a), above, that, as a condition of employment under the grant, the employee will--
 1. Abide by the terms of the statement; and
 2. Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
- e. Notifying the agency in writing within ten calendar days after receiving notice under paragraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
- f. Taking one of the following actions, within 30 calendar days of receiving notice under paragraph (d) (2), with respect to any employee who is so convicted?
 1. Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 2. Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
- g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e), and (f).

3. Certifications Regarding Lobbying

Per 45 CFR §75.215, Recipients are subject to the restrictions on lobbying as set forth in 45 CFR part 93. Title 31, United States Code, Section 1352, entitled "Limitation on use of appropriated funds to influence certain Federal contracting and financial transactions,"

generally prohibits recipients of Federal grants and cooperative agreements from using Federal (appropriated) funds for lobbying the Executive or Legislative Branches of the Federal Government in connection with a SPECIFIC grant or cooperative agreement. Section 1352 also requires that each person who requests or receives a Federal grant or cooperative agreement must disclose lobbying undertaken with non-Federal (non- appropriated) funds. These requirements apply to grants and cooperative agreements EXCEEDING \$100,000 in total costs.

The undersigned (authorized official signing for the applicant organization) certifies, to the best of his or her knowledge and belief, that

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
2. If any funds other than Federally appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions. (If needed, Standard Form-LLL, "Disclosure of Lobbying Activities," its instructions, and continuation sheet are included at the end of this application form.)
3. The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

4. Certification Regarding Program Fraud Civil Remedies Act (PFCRA) (31 U.S.C § 3801- 3812)

The undersigned (authorized official signing for the applicant organization) certifies that the statements herein are true, complete, and accurate to the best of his or her knowledge, and that he or she is aware that any false, fictitious, or fraudulent statements or claims may subject him or her to criminal, civil, or administrative penalties. The undersigned agrees that the applicant organization will comply with the Public Health Service terms and conditions of award if a grant is awarded as a result of this application.

5. Certification Regarding Environmental Tobacco Smoke

Public Law 103-227, also known as the Pro-Children Act of 1994 (Act), requires that smoking not be permitted in any portion of any indoor facility owned or leased or contracted for by an entity and used routinely or regularly for the provision of health, daycare, early childhood development services, education or library services to children under the age of 18, if the services are funded by Federal programs either directly or through State or local governments, by Federal grant, contract, loan, or loan guarantee. The law also applies to children's services that are provided in indoor facilities that are constructed, operated, or maintained with such Federal funds. The law does not apply to children's services provided in private residence, portions of facilities used for inpatient drug or alcohol treatment, service providers whose sole source of applicable Federal funds is Medicare or Medicaid, or facilities where WIC coupons are redeemed.

Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to \$1,000 for each violation and/or the imposition of an administrative compliance order on the responsible entity.

By signing the certification, the undersigned certifies that the applicant organization will comply with the requirements of the Act and will not allow smoking within any portion of any indoor facility used for the provision of services for children as defined by the Act.

The applicant organization agrees that it will require that the language of this certification be included in any subawards which contain provisions for children's services and that all subrecipients shall certify accordingly.

The Public Health Services strongly encourages all grant recipients to provide a smoke-free workplace and promote the non-use of tobacco products. This is consistent with the PHS mission to protect and advance the physical and mental health of the American people.

HHS Assurances of Compliance (HHS 690)

ASSURANCE OF COMPLIANCE WITH TITLE VI OF THE CIVIL RIGHTS ACT OF 1964, SECTION 504 OF THE REHABILITATION ACT OF 1973, TITLE IX OF THE EDUCATION AMENDMENTS OF 1972, THE AGE DISCRIMINATION ACT OF 1975, AND SECTION 1557 OF THE AFFORDABLE CARE ACT

The Applicant provides this assurance in consideration of and for the purpose of obtaining Federal grants, loans, contracts, property, discounts or other Federal financial assistance from the U.S. Department of Health and Human Services.

THE APPLICANT HEREBY AGREES THAT IT WILL COMPLY WITH:

1. Title VI of the Civil Rights Act of 1964 (Pub. L. 88-352), as amended, and all requirements imposed by or pursuant to the Regulation of the Department of Health and Human Services (45 C.F.R. Part 80), to the end that, in accordance with Title VI of that Act and the Regulation, no person in the United States shall, on the ground of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity for which the Applicant receives Federal financial assistance from the Department.
2. Section 504 of the Rehabilitation Act of 1973 (Pub. L. 93-112), as amended, and all requirements imposed by or pursuant to the Regulation of the Department of Health and Human Services (45 C.F.R. Part 84), to the end that, in accordance with Section 504 of that Act and the Regulation, no otherwise qualified individual with a disability in the United States shall, solely by reason of her or his disability, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity for which the Applicant receives Federal financial assistance from the Department.
3. Title IX of the Education Amendments of 1972 (Pub. L. 92-318), as amended, and all requirements imposed by or pursuant to the Regulation of the Department of Health and Human Services (45 C.F.R. Part 86), to the end that, in accordance with Title IX and the Regulation, no person in the United States shall, on the basis of sex, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any education program or activity for which the Applicant receives Federal financial assistance from the Department.
4. The Age Discrimination Act of 1975 (Pub. L. 94-135), as amended, and all requirements imposed by or pursuant to the Regulation of the Department of Health and Human Services (45 C.F.R. Part 91), to the end that, in accordance with the Act and the Regulation, no person in the United States shall, on the basis of age, be denied the benefits of, be excluded from participation in, or be subjected to discrimination under any program or activity for which the Applicant receives Federal financial assistance from the Department.
5. Section 1557 of the Affordable Care Act (Pub. L. 111-148), as amended, and all requirements imposed by or pursuant to the Regulation of the Department of Health and Human Services (45 CFR Part 92), to the end that, in accordance with Section 1557 and the Regulation, no person in the United States shall, on the ground of race, color, national origin, sex, age, or disability be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any health program or activity for which the Applicant receives Federal financial assistance from the Department.

The Applicant agrees that compliance with this assurance constitutes a condition of continued receipt of Federal financial assistance, and that it is binding upon the Applicant, its successors, transferees and assignees for the period during which such assistance is provided. If any real property or structure thereon is provided or improved with the aid of Federal financial assistance extended to the Applicant by the Department, this assurance shall obligate the Applicant, or in the case of any transfer of such property, any transferee, for the period during which the real property or structure is used for a purpose for which the Federal financial assistance is extended or for another purpose involving the provision of similar services or benefits. If any personal property is so provided, this assurance shall obligate the Applicant for the period during which it retains ownership or possession of the property. The Applicant further recognizes and agrees that the United States shall have the right to seek judicial enforcement of this assurance.

The grantee, as the awardee organization, is legally and financially responsible for all aspects of this award including funds provided to sub-recipients in accordance with 45 CFR §§ 75.351-75.352, Subrecipient monitoring and management.

I hereby certify that the state or territory will comply with Title XIX, Part B, Subpart II and Subpart III of the Public Health Service (PHS) Act, as amended, and summarized above, except for those sections in the PHS Act that do not apply or for which a waiver has been granted or may be granted by the Secretary for the period covered by this agreement.

I also certify that the state or territory will comply with the Assurances Non-construction Programs and other Certifications summarized above.

State: Louisiana

Name of Chief Executive Officer (CEO) or Designee: Karen Stubbs, J.D.

Signature of CEO or Designee¹: 

Title: Assistant Secretary, Office of Behavioral Health

Date Signed: 07/18/2018
mm/dd/yyyy

¹If the agreement is signed by an authorized designee, a copy of the designation must be attached.

Footnotes:

State Information

Chief Executive Officer's Funding Agreement - Certifications and Assurances / Letter Designating Signatory Authority [MH]

Fiscal Year 2019

U.S. Department of Health and Human Services
Substance Abuse and Mental Health Services Administrations
Funding Agreements
as required by
Community Mental Health Services Block Grant Program
as authorized by
Title XIX, Part B, Subpart II and Subpart III of the Public Health Service Act
and
Title 42, Chapter 6A, Subchapter XVII of the United States Code

Title XIX, Part B, Subpart II of the Public Health Service Act		
Section	Title	Chapter
Section 1911	Formula Grants to States	42 USC § 300x
Section 1912	State Plan for Comprehensive Community Mental Health Services for Certain Individuals	42 USC § 300x-1
Section 1913	Certain Agreements	42 USC § 300x-2
Section 1914	State Mental Health Planning Council	42 USC § 300x-3
Section 1915	Additional Provisions	42 USC § 300x-4
Section 1916	Restrictions on Use of Payments	42 USC § 300x-5
Section 1917	Application for Grant	42 USC § 300x-6
Title XIX, Part B, Subpart III of the Public Health Service Act		
Section 1941	Opportunity for Public Comment on State Plans	42 USC § 300x-51
Section 1942	Requirement of Reports and Audits by States	42 USC § 300x-52
Section 1943	Additional Requirements	42 USC § 300x-53
Section 1946	Prohibition Regarding Receipt of Funds	42 USC § 300x-56
Section 1947	Nondiscrimination	42 USC § 300x-57
Section 1953	Continuation of Certain Programs	42 USC § 300x-63
Section 1955	Services Provided by Nongovernmental Organizations	42 USC § 300x-65
Section 1956	Services for Individuals with Co-Occurring Disorders	42 USC § 300x-66

ASSURANCES - NON-CONSTRUCTION PROGRAMS

Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States, and if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standard or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the nineteen statutes or regulations specified in Appendix A of OPM's Standard for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §§794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to non-discrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Title II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally assisted construction subagreements.
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18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations and policies governing this program.
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- b. Establishing an ongoing drug-free awareness program to inform employees about--
 1. The dangers of drug abuse in the workplace;
 2. The grantee's policy of maintaining a drug-free workplace;
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- c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a) above;
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 1. Abide by the terms of the statement; and
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- f. Taking one of the following actions, within 30 calendar days of receiving notice under paragraph (d) (2), with respect to any employee who is so convicted?
 1. Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 2. Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
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2. If any funds other than Federally appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions. (If needed, Standard Form-LLL, "Disclosure of Lobbying Activities," its instructions, and continuation sheet are included at the end of this application form.)
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Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to \$1,000 for each violation and/or the imposition of an administrative compliance order on the responsible entity.

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The Public Health Services strongly encourages all grant recipients to provide a smoke-free workplace and promote the non-use of tobacco products. This is consistent with the PHS mission to protect and advance the physical and mental health of the American people.

HHS Assurances of Compliance (HHS 690)

ASSURANCE OF COMPLIANCE WITH TITLE VI OF THE CIVIL RIGHTS ACT OF 1964, SECTION 504 OF THE REHABILITATION ACT OF 1973, TITLE IX OF THE EDUCATION AMENDMENTS OF 1972, THE AGE DISCRIMINATION ACT OF 1975, AND SECTION 1557 OF THE AFFORDABLE CARE ACT

The Applicant provides this assurance in consideration of and for the purpose of obtaining Federal grants, loans, contracts, property, discounts or other Federal financial assistance from the U.S. Department of Health and Human Services.

THE APPLICANT HEREBY AGREES THAT IT WILL COMPLY WITH:

1. Title VI of the Civil Rights Act of 1964 (Pub. L. 88-352), as amended, and all requirements imposed by or pursuant to the Regulation of the Department of Health and Human Services (45 C.F.R. Part 80), to the end that, in accordance with Title VI of that Act and the Regulation, no person in the United States shall, on the ground of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity for which the Applicant receives Federal financial assistance from the Department.
2. Section 504 of the Rehabilitation Act of 1973 (Pub. L. 93-112), as amended, and all requirements imposed by or pursuant to the Regulation of the Department of Health and Human Services (45 C.F.R. Part 84), to the end that, in accordance with Section 504 of that Act and the Regulation, no otherwise qualified individual with a disability in the United States shall, solely by reason of her or his disability, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity for which the Applicant receives Federal financial assistance from the Department.
3. Title IX of the Education Amendments of 1972 (Pub. L. 92-318), as amended, and all requirements imposed by or pursuant to the Regulation of the Department of Health and Human Services (45 C.F.R. Part 86), to the end that, in accordance with Title IX and the Regulation, no person in the United States shall, on the basis of sex, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any education program or activity for which the Applicant receives Federal financial assistance from the Department.
4. The Age Discrimination Act of 1975 (Pub. L. 94-135), as amended, and all requirements imposed by or pursuant to the Regulation of the Department of Health and Human Services (45 C.F.R. Part 91), to the end that, in accordance with the Act and the Regulation, no person in the United States shall, on the basis of age, be denied the benefits of, be excluded from participation in, or be subjected to discrimination under any program or activity for which the Applicant receives Federal financial assistance from the Department.
5. Section 1557 of the Affordable Care Act (Pub. L. 111-148), as amended, and all requirements imposed by or pursuant to the Regulation of the Department of Health and Human Services (45 CFR Part 92), to the end that, in accordance with Section 1557 and the Regulation, no person in the United States shall, on the ground of race, color, national origin, sex, age, or disability be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any health program or activity for which the Applicant receives Federal financial assistance from the Department.

The Applicant agrees that compliance with this assurance constitutes a condition of continued receipt of Federal financial assistance, and that it is binding upon the Applicant, its successors, transferees and assignees for the period during which such assistance is provided. If any real property or structure thereon is provided or improved with the aid of Federal financial assistance extended to the Applicant by the Department, this assurance shall obligate the Applicant, or in the case of any transfer of such property, any transferee, for the period during which the real property or structure is used for a purpose for which the Federal financial assistance is extended or for another purpose involving the provision of similar services or benefits. If any personal property is so provided, this assurance shall obligate the Applicant for the period during which it retains ownership or possession of the property. The Applicant further recognizes and agrees that the United States shall have the right to seek judicial enforcement of this assurance.

The grantee, as the awardee organization, is legally and financially responsible for all aspects of this award including funds provided to sub-recipients in accordance with 45 CFR §§ 75.351-75.352, Subrecipient monitoring and management.

I hereby certify that the state or territory will comply with Title XIX, Part B, Subpart II and Subpart III of the Public Health Service (PHS) Act, as amended, and summarized above, except for those sections in the PHS Act that do not apply or for which a waiver has been granted or may be granted by the Secretary for the period covered by this agreement.

I also certify that the state or territory will comply with the Assurances Non-Construction Programs and Certifications summarized above.

Name of Chief Executive Officer (CEO) or Designee: _____

Signature of CEO or Designee¹: _____

Title: _____

Date Signed: _____

mm/dd/yyyy

¹If the agreement is signed by an authorized designee, a copy of the designation must be attached.

Footnotes:

Office of the Governor
State of Louisiana

JOHN BEL EDWARDS
GOVERNOR



P.O. Box 94004
BATON ROUGE, LOUISIANA 70804-9004
(225) 342-7015
GOV.LA.GOV

August 23, 2016

Ms. Virginia Simmons
Grants Management Officer
Office of Financial Resources, Division of Grants Management
Substance Abuse and Mental Health Services Administration
1 Choke Cherry Road, Room 7-1109
Rockville, MD 20857

RE: Designation of Authority to Sign SABG, MHBG, and PATH Grant Application

Dear Ms. Simmons:

As the Governor of the State of Louisiana, I delegate signatory authority to the current Assistant Secretary of the Office of Behavioral Health, or anyone officially acting in this role in the instance of a vacancy, for all transactions required to administer the Substance Abuse and Mental Health Services Administration (SAMHSA) Substance Abuse Prevention and Treatment Block Grant (SABG), Mental Health Block Grant (MHBG), and the PATH grant.

Thank you for your assistance in this matter.

Sincerely,

A handwritten signature of John Bel Edwards in black ink, written over a horizontal line.

John Bel Edwards
Governor

State Information

Chief Executive Officer's Funding Agreement - Certifications and Assurances / Letter Designating Signatory Authority [MH]

Fiscal Year 2019

U.S. Department of Health and Human Services
Substance Abuse and Mental Health Services Administrations
Funding Agreements
as required by
Community Mental Health Services Block Grant Program
as authorized by
Title XIX, Part B, Subpart II and Subpart III of the Public Health Service Act
and
Tile 42, Chapter 6A, Subchapter XVII of the United States Code

Title XIX, Part B, Subpart II of the Public Health Service Act			
Section	Title		Chapter
Section 1911	Formula Grants to States		42 USC § 300x
Section 1912	State Plan for Comprehensive Community Mental Health Services for Certain Individuals		42 USC § 300x-1
Section 1913	Certain Agreements		42 USC § 300x-2
Section 1914	State Mental Health Planning Council		42 USC § 300x-3
Section 1915	Additional Provisions		42 USC § 300x-4
Section 1916	Restrictions on Use of Payments		42 USC § 300x-5
Section 1917	Application for Grant		42 USC § 300x-6
Title XIX, Part B, Subpart III of the Public Health Service Act			
Section 1941	Opportunity for Public Comment on State Plans		42 USC § 300x-51
Section 1942	Requirement of Reports and Audits by States		42 USC § 300x-52
Section 1943	Additional Requirements		42 USC § 300x-53
Section 1946	Prohibition Regarding Receipt of Funds		42 USC § 300x-56
Section 1947	Nondiscrimination		42 USC § 300x-57
Section 1953	Continuation of Certain Programs		42 USC § 300x-63
Section 1955	Services Provided by Nongovernmental Organizations		42 USC § 300x-65
Section 1956	Services for Individuals with Co-Occurring Disorders		42 USC § 300x-66

ASSURANCES - NON-CONSTRUCTION PROGRAMS

Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States, and if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standard or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the nineteen statutes or regulations specified in Appendix A of OPM's Standard for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §3601 et seq.), as amended, relating to non-discrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Title II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetland pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §1451 et seq.); (f) conformity of Federal actions to

State (Clear Air) Implementation Plans under Section 176(c) of the Clear Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended, (P.L. 93-523); and (h) protection of endangered species under the Endangered Species Act of 1973, as amended, (P.L. 93-205).

12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act of 1984.
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations and policies governing this program.
19. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

LIST of CERTIFICATIONS

1. Certification Regarding Debarment and Suspension

The undersigned (authorized official signing for the applicant organization) certifies to the best of his or her knowledge and belief, that the applicant, defined as the primary participant in accordance with 2 CFR part 180, and its principals:

- a. Agrees to comply with 2 CFR Part 180, Subpart C by administering each lower tier subaward or contract that exceeds \$25,000 as a "covered transaction" and verify each lower tier participant of a "covered transaction" under the award is not presently debarred or otherwise disqualified from participation in this federally assisted project by:
 - a. Checking the Exclusion Extract located on the System for Award Management (SAM) at <http://sam.gov>
 - b. Collecting a certification statement similar to paragraph (a)
 - c. Inserting a clause or condition in the covered transaction with the lower tier contract

2. Certification Regarding Drug-Free Workplace Requirements

The undersigned (authorized official signing for the applicant organization) certifies that the applicant will, or will continue to, provide a drug-free work-place in accordance with 2 CFR Part 182by:

- a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession or use of a controlled substance is prohibited in the grantee's work-place and specifying the actions that will be taken against employees for violation of such prohibition;
- b. Establishing an ongoing drug-free awareness program to inform employees about--
 1. The dangers of drug abuse in the workplace;
 2. The grantee's policy of maintaining a drug-free workplace;
 3. Any available drug counseling, rehabilitation, and employee assistance programs; and
 4. The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
- c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a) above;
- d. Notifying the employee in the statement required by paragraph (a), above, that, as a condition of employment under the grant, the employee will--
 1. Abide by the terms of the statement; and
 2. Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
- e. Notifying the agency in writing within ten calendar days after receiving notice under paragraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
- f. Taking one of the following actions, within 30 calendar days of receiving notice under paragraph (d) (2), with respect to any employee who is so convicted?
 1. Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 2. Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
- g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e), and (f).

3. Certifications Regarding Lobbying

Per 45 CFR §75.215, Recipients are subject to the restrictions on lobbying as set forth in 45 CFR part 93. Title 31, United States Code, Section 1352, entitled "Limitation on use of appropriated funds to influence certain Federal contracting and financial transactions,"

generally prohibits recipients of Federal grants and cooperative agreements from using Federal (appropriated) funds for lobbying the Executive or Legislative Branches of the Federal Government in connection with a SPECIFIC grant or cooperative agreement. Section 1352 also requires that each person who requests or receives a Federal grant or cooperative agreement must disclose lobbying undertaken with non-Federal (non- appropriated) funds. These requirements apply to grants and cooperative agreements EXCEEDING \$100,000 in total costs.

The undersigned (authorized official signing for the applicant organization) certifies, to the best of his or her knowledge and belief, that

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
2. If any funds other than Federally appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions. (If needed, Standard Form-LLL, "Disclosure of Lobbying Activities," its instructions, and continuation sheet are included at the end of this application form.)
3. The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

4. Certification Regarding Program Fraud Civil Remedies Act (PFCRA) (31 U.S.C § 3801- 3812)

The undersigned (authorized official signing for the applicant organization) certifies that the statements herein are true, complete, and accurate to the best of his or her knowledge, and that he or she is aware that any false, fictitious, or fraudulent statements or claims may subject him or her to criminal, civil, or administrative penalties. The undersigned agrees that the applicant organization will comply with the Public Health Service terms and conditions of award if a grant is awarded as a result of this application.

5. Certification Regarding Environmental Tobacco Smoke

Public Law 103-227, also known as the Pro-Children Act of 1994 (Act), requires that smoking not be permitted in any portion of any indoor facility owned or leased or contracted for by an entity and used routinely or regularly for the provision of health, daycare, early childhood development services, education or library services to children under the age of 18, if the services are funded by Federal programs either directly or through State or local governments, by Federal grant, contract, loan, or loan guarantee. The law also applies to children's services that are provided in indoor facilities that are constructed, operated, or maintained with such Federal funds. The law does not apply to children's services provided in private residence, portions of facilities used for inpatient drug or alcohol treatment, service providers whose sole source of applicable Federal funds is Medicare or Medicaid, or facilities where WIC coupons are redeemed.

Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to \$1,000 for each violation and/or the imposition of an administrative compliance order on the responsible entity.

By signing the certification, the undersigned certifies that the applicant organization will comply with the requirements of the Act and will not allow smoking within any portion of any indoor facility used for the provision of services for children as defined by the Act.

The applicant organization agrees that it will require that the language of this certification be included in any subawards which contain provisions for children's services and that all subrecipients shall certify accordingly.

The Public Health Services strongly encourages all grant recipients to provide a smoke-free workplace and promote the non-use of tobacco products. This is consistent with the PHS mission to protect and advance the physical and mental health of the American people.

HHS Assurances of Compliance (HHS 690)

ASSURANCE OF COMPLIANCE WITH TITLE VI OF THE CIVIL RIGHTS ACT OF 1964, SECTION 504 OF THE REHABILITATION ACT OF 1973, TITLE IX OF THE EDUCATION AMENDMENTS OF 1972, THE AGE DISCRIMINATION ACT OF 1975, AND SECTION 1557 OF THE AFFORDABLE CARE ACT

The Applicant provides this assurance in consideration of and for the purpose of obtaining Federal grants, loans, contracts, property, discounts or other Federal financial assistance from the U.S. Department of Health and Human Services.

THE APPLICANT HEREBY AGREES THAT IT WILL COMPLY WITH:

1. Title VI of the Civil Rights Act of 1964 (Pub. L. 88-352), as amended, and all requirements imposed by or pursuant to the Regulation of the Department of Health and Human Services (45 C.F.R. Part 80), to the end that, in accordance with Title VI of that Act and the Regulation, no person in the United States shall, on the ground of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity for which the Applicant receives Federal financial assistance from the Department.
2. Section 504 of the Rehabilitation Act of 1973 (Pub. L. 93-112), as amended, and all requirements imposed by or pursuant to the Regulation of the Department of Health and Human Services (45 C.F.R. Part 84), to the end that, in accordance with Section 504 of that Act and the Regulation, no otherwise qualified individual with a disability in the United States shall, solely by reason of her or his disability, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity for which the Applicant receives Federal financial assistance from the Department.
3. Title IX of the Education Amendments of 1972 (Pub. L. 92-318), as amended, and all requirements imposed by or pursuant to the Regulation of the Department of Health and Human Services (45 C.F.R. Part 86), to the end that, in accordance with Title IX and the Regulation, no person in the United States shall, on the basis of sex, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any education program or activity for which the Applicant receives Federal financial assistance from the Department.
4. The Age Discrimination Act of 1975 (Pub. L. 94-135), as amended, and all requirements imposed by or pursuant to the Regulation of the Department of Health and Human Services (45 C.F.R. Part 91), to the end that, in accordance with the Act and the Regulation, no person in the United States shall, on the basis of age, be denied the benefits of, be excluded from participation in, or be subjected to discrimination under any program or activity for which the Applicant receives Federal financial assistance from the Department.
5. Section 1557 of the Affordable Care Act (Pub. L. 111-148), as amended, and all requirements imposed by or pursuant to the Regulation of the Department of Health and Human Services (45 CFR Part 92), to the end that, in accordance with Section 1557 and the Regulation, no person in the United States shall, on the ground of race, color, national origin, sex, age, or disability be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any health program or activity for which the Applicant receives Federal financial assistance from the Department.


The Applicant agrees that compliance with this assurance constitutes a condition of continued receipt of Federal financial assistance, and that it is binding upon the Applicant, its successors, transferees and assignees for the period during which such assistance is provided. If any real property or structure thereon is provided or improved with the aid of Federal financial assistance extended to the Applicant by the Department, this assurance shall obligate the Applicant, or in the case of any transfer of such property, any transferee, for the period during which the real property or structure is used for a purpose for which the Federal financial assistance is extended or for another purpose involving the provision of similar services or benefits. If any personal property is so provided, this assurance shall obligate the Applicant for the period during which it retains ownership or possession of the property. The Applicant further recognizes and agrees that the United States shall have the right to seek judicial enforcement of this assurance.

The grantee, as the awardee organization, is legally and financially responsible for all aspects of this award including funds provided to sub-recipients in accordance with 45 CFR §§ 75.351-75.352, Subrecipient monitoring and management.

I hereby certify that the state or territory will comply with Title XIX, Part B, Subpart II and Subpart III of the Public Health Service (PHS) Act, as amended, and summarized above, except for those sections in the PHS Act that do not apply or for which a waiver has been granted or may be granted by the Secretary for the period covered by this agreement.

I also certify that the state or territory will comply with the Assurances Non-Construction Programs and Certifications summarized above.

Name of Chief Executive Officer (CEO) or Designee: Karen Stubbs, J.D

Signature of CEO or Designee¹: 

Title: Assistant Secretary, Office of Behavioral Health

Date Signed: 07/18/2018
mm/dd/yyyy

¹If the agreement is signed by an authorized designee, a copy of the designation must be attached.

Footnotes:

State Information

Disclosure of Lobbying Activities

To View Standard Form LLL, Click the link below (This form is OPTIONAL)

[Standard Form LLL \(click here\)](#)

Name	<input type="text"/>
Title	<input type="text"/>
Organization	<input type="text"/>

Signature:

Date:

Footnotes:

Planning Tables

Table 2 State Agency Planned Expenditures [MH]

States must project how the SMHA and/or the SSA will use available funds to provide authorized services for the planning period for state fiscal years 2018/2019.

Planning Period Start Date: 7/1/2018 Planning Period End Date: 6/30/2019

Activity (See instructions for using Row 1.)	A.Substance Abuse Block Grant	B.Mental Health Block Grant	C.Medicaid (Federal, State, and Local)	D.Other Federal Funds (e.g., ACF (TANF), CDC, CMS (Medicare) SAMHSA, etc.)	E.State Funds	F.Local Funds (excluding local Medicaid)	G.Other
1. Substance Abuse Prevention and Treatment							
a. Pregnant Women and Women with Dependent Children							
b. Syringe Services Program							
c. All Other							
2. Primary Prevention							
3. Tuberculosis Services							
4. Early Intervention Services for HIV							
5. State Hospital			\$0	\$0	\$0	\$0	\$0
6. Other 24 Hour Care		\$0	\$0	\$0	\$0	\$0	\$0
7. Ambulatory/Community Non-24 Hour Care		\$6,878,381	\$523,206,373	\$733,000	\$98,215,368	\$0	\$1,061,863
8. Mental Health Primary*		\$0	\$0	\$0	\$0	\$0	\$0
9. Evidence-Based Practices for Early Serious Mental Illness (10 percent of total award MHBG)**		\$764,264	\$0	\$0	\$0	\$0	\$0
10. Administration (Excluding Program and Provider Level)***		\$0	\$0	\$0	\$0	\$0	\$0
11. MHBG Total (Row 5, 6, 7, 8, 9 and 10)	\$0	\$7,642,645	\$523,206,373	\$733,000	\$98,215,368	\$0	\$1,061,863

* While the state may use state or other funding for these services, the MHBG funds must be directed toward adults with SMI or children with SED

** Column 9B should include Early Serious Mental Illness programs funded through MHBG set aside

*** Per statute, Administrative expenditures cannot exceed 5% of the fiscal year award.

Footnotes:

NOT FINAL

Planning Tables

Table 4 SABG Planned Expenditures

Planning Period Start Date: 10/1/2018 Planning Period End Date: 9/30/2020

Expenditure Category	FY 2018 SA Block Grant Award	FY 2019 SA Block Grant Award
1 . Substance Abuse Prevention and Treatment	\$17,785,697	\$17,438,128
2 . Primary Substance Abuse Prevention	\$5,841,131	\$4,982,322
3 . Tuberculosis Services		
4 . Early Intervention Services for HIV [*]	\$1,251,453	\$1,245,581
5 . Administration (SSA Level Only)	\$150,786	\$1,245,580
6. Total	\$25,029,067	\$24,911,611

* For the purpose of determining the states and jurisdictions that are considered "designated states" as described in section 1924(b)(2) of Title XIX, Part B, Subpart II of the Public Health Service Act (42 U.S.C. § 300x-24(b)(2)) and section 45 CFR § 96.128(b) of the Substance Abuse Prevention and Treatment Block Grant; Interim Final Rule (45 CFR 96.120-137), SAMHSA relies on the HIV Surveillance Report produced by the Centers for Disease Control and Prevention (CDC), National Center for HIV/AIDS, Viral Hepatitis, STD and TB Prevention. The most recent HIV Surveillance Report will be published on or before October 1 of the federal fiscal year for which a state is applying for a grant is used to determine the states and jurisdictions that will be required to set-aside 5 percent of their respective SABG allotments to establish one or more projects to provide early intervention services for regarding the human immunodeficiency virus (EIS/HIV) at the sites at which individuals are receiving SUD treatment services. In FY 2012, SAMHSA developed and disseminated a policy change applicable to the EIS/HIV which provided any state that was a "designated state" in any of the three years prior to the year for which a state is applying for SABG funds with the flexibility to obligate and expend SABG funds for EIS/HIV even though the state's AIDS case rate does not meet the AIDS case rate threshold for the fiscal year involved for which a state is applying for SABG funds. Therefore, any state with an AIDS case rate below 10 or more such cases per 100,000 that meets the criteria described in the 2012 policy guidance would be allowed to obligate and expend SABG funds for EIS/HIV if they chose to do so.

Footnotes:

NOT FINAL

Planning Tables

Table 5a SABG Primary Prevention Planned Expenditures

Planning Period Start Date: 10/1/2018 Planning Period End Date: 9/30/2020

Strategy	IOM Target	FY 2018	FY 2019
		SA Block Grant Award	SA Block Grant Award
Information Dissemination	Universal	\$57,705	\$305,107
	Selective	\$6,500	
	Indicated		
	Unspecified		
	Total	\$64,205	\$305,107
Education	Universal	\$3,311,463	\$3,571,088
	Selective	\$43,500	\$29,000
	Indicated	\$16,500	\$16,500
	Unspecified		
	Total	\$3,371,463	\$3,616,588
Alternatives	Universal	\$16,500	\$46,940
	Selective	\$28,000	
	Indicated		
	Unspecified		
	Total	\$44,500	\$46,940
Problem Identification and Referral	Universal	\$71,105	\$46,940
	Selective	\$6,500	
	Indicated		
	Unspecified		
	Total	\$77,605	\$46,940

Community-Based Process	Universal	\$551,245	\$630,000
	Selective	\$6,500	
	Indicated		
	Unspecified		
	Total	\$557,745	\$630,000
Environmental	Universal	\$257,363	\$93,879
	Selective	\$9,750	
	Indicated		
	Unspecified		
	Total	\$267,113	\$93,879
Section 1926 Tobacco	Universal	\$287,331	\$242,868
	Selective		
	Indicated		
	Unspecified		
	Total	\$287,331	\$242,868
Other	Universal	\$29,500	
	Selective		
	Indicated		
	Unspecified		
	Total	\$29,500	\$0
Total Prevention Expenditures		\$4,699,462	\$4,982,322
Total SABG Award*		\$25,029,067	\$24,911,611
Planned Primary Prevention Percentage		18.78 %	20.00 %

*Total SABG Award is populated from Table 4 - SABG Planned Expenditures

Footnotes:

Planning Tables

Table 5b SABG Primary Prevention Planned Expenditures by IOM Category

Planning Period Start Date: 10/1/2018 Planning Period End Date: 9/30/2020

Activity	FY 2018 SA Block Grant Award	FY 2019 SA Block Grant Award
Universal Direct	\$4,116,555	\$3,571,088
Universal Indirect	\$465,657	\$1,365,734
Selective	\$100,750	\$29,000
Indicated	\$16,500	\$16,500
Column Total	\$4,699,462	\$4,982,322
Total SABG Award*	\$25,029,067	\$24,911,611
Planned Primary Prevention Percentage	18.78 %	20.00 %

*Total SABG Award is populated from Table 4 - SABG Planned Expenditures

Footnotes:

Planning Tables

Table 5c SABG Planned Primary Prevention Targeted Priorities

Planning Period Start Date: 10/1/2018 Planning Period End Date: 9/30/2020

Targeted Substances	
Alcohol	<input checked="" type="checkbox"/>
Tobacco	<input checked="" type="checkbox"/>
Marijuana	<input checked="" type="checkbox"/>
Prescription Drugs	<input checked="" type="checkbox"/>
Cocaine	<input type="checkbox"/>
Heroin	<input type="checkbox"/>
Inhalants	<input checked="" type="checkbox"/>
Methamphetamine	<input type="checkbox"/>
Synthetic Drugs (i.e. Bath salts, Spice, K2)	<input checked="" type="checkbox"/>
Targeted Populations	
Students in College	<input checked="" type="checkbox"/>
Military Families	<input type="checkbox"/>
LGBT	<input checked="" type="checkbox"/>
American Indians/Alaska Natives	<input checked="" type="checkbox"/>
African American	<input checked="" type="checkbox"/>
Hispanic	<input checked="" type="checkbox"/>
Homeless	<input type="checkbox"/>
Native Hawaiian/Other Pacific Islanders	<input checked="" type="checkbox"/>
Asian	<input checked="" type="checkbox"/>
Rural	<input checked="" type="checkbox"/>
Underserved Racial and Ethnic Minorities	<input checked="" type="checkbox"/>

Footnotes:

Louisiana serves all populations in Table 5C through its primary prevention programs and services. While all populations identified in Table 5C are reached, these populations are not intentionally targeted as primary prevention services are implemented universally. Demographic data is collected on all individuals served.

NOT FINAL

Planning Tables

Table 6 Categories for Expenditures for System Development/Non-Direct-Service Activities

SABG Planning Period Start Date: 10/1/2018 SABG Planning Period End Date: 9/30/2020

MHBG Planning Period Start Date: 10/01/2018 MHBG Planning Period End Date: 09/30/2020

Activity	FY 2018				FY 2019			
	A. MHBG	B. SABG Treatment	C. SABG Prevention	D. SABG Combined*	A. MHBG	B. SABG Treatment	C. SABG Prevention	D. SABG Combined*
1. Information Systems	\$123,628	\$30,000		\$184,943	\$67,802	\$112,517		\$184,943
2. Infrastructure Support	\$2,600	\$39,390			\$205,811	\$39,390		
3. Partnerships, community outreach, and needs assessment		\$140,886	\$651,134	\$130,630	\$75,997	\$146,291	\$435,450	\$154,535
4. Planning Council Activities (MHBG required, SABG optional)	\$151,300				\$167,300			
5. Quality Assurance and Improvement		\$138,873	\$173,471	\$5,000	\$309,751	\$383,553		\$25,000
6. Research and Evaluation			\$99,500			\$14,000	\$99,000	\$15,000
7. Training and Education	\$365,828	\$6,000	\$217,564	\$29,612	\$369,000	\$85,782	\$205,994	\$130,245
8. Total	\$643,356	\$355,149	\$1,141,669	\$350,185	\$1,195,661	\$781,533	\$740,444	\$509,723

*Combined refers to non-direct service/system development expenditures that support both treatment and prevention systems.

Footnotes:

SABG Prevention expenditures reflected in Table 6 come from administrative funds. These expenditures are not included in the amount in Table 4, Row (2) Primary Prevention.

NOT FINAL

Environmental Factors and Plan

22. State Behavioral Health Planning/Advisory Council and Input on the Mental Health/Substance Abuse Block Grant Application - Required MHBG

Narrative Question

Each state is required to establish and maintain a state Mental Health Planning/Advisory Council for adults with SMI or children with SED. To meet the needs of states that are integrating services supported by MHBG and SABG, SAMHSA is recommending that states expand their Mental Health Advisory Council to include substance misuse prevention, SUD treatment, and recovery representation, referred to here as a Behavioral Health Advisory/Planning Council (BHPC). SAMHSA encourages states to expand their required Council's comprehensive approach by designing and implementing regularly scheduled collaborations with an existing substance misuse prevention, SUD treatment, and recovery advisory council to ensure that the council reviews issues and services for persons with, or at risk, for substance misuse and SUDs. To assist with implementing a BHPC, SAMHSA has created **Best Practices for State Behavioral Health Planning Councils: The Road to Planning Council Integration**.⁷²

Planning Councils are required by statute to review state plans and implementation reports; and submit any recommended modifications to the state. Planning councils monitor, review, and evaluate, not less than once each year, the allocation and adequacy of mental health services within the state. They also serve as an advocate for individuals with behavioral health problems. SAMHSA requests that any recommendations for modifications to the application or comments to the implementation report that were received from the Planning Council be submitted to SAMHSA, regardless of whether the state has accepted the recommendations. The documentation, preferably a letter signed by the Chair of the Planning Council, should state that the Planning Council reviewed the application and implementation report and should be transmitted as attachments by the state.

⁷²<http://beta.samhsa.gov/grants/block-grants/resources>

Please respond to the following items:

1. How was the Council involved in the development and review of the state plan and report? Attach supporting documentation (e.g. meeting minutes, letters of support, etc...)
 - a) What mechanism does the state use to plan and implement substance misuse prevention, SUD treatment and recovery services?

The Louisiana Behavioral Health Advisory Council is instrumental in assisting in the development of priorities and direction for the Block Grant. Input is solicited from consumers, family members, providers, and state employees who are all members of the Council. Each year, an Intended Use Plan (IUP) that allocates Block Grant funds for the following state fiscal year is prepared by OBH Central Office and each Local Governing Entity (LGE), in partnership with their local Regional Advisory Council (RAC). This is an opportunity for each LGE to obtain input from the corresponding RAC to assist with decisions as to how Block Grant funds should be allocated. The IUPs are discussed during a RAC meeting attended by RAC members and the LGE Executive Director or appointed representative. Once input has been received from the RAC members and modifications are made by the LGE as needed, the IUPs are then submitted to OBH Central Office for review by OBH executive management. The Central Office and LGE IUPs are then submitted to two separate committees within the Louisiana Behavioral Health Advisory Council for review: the Programs and Services Committee and the Finance Committee. These two committees then report findings from the review process to all members of the Advisory Council. Discussions about the Block Grant as well as the entire behavioral health system are a part of all quarterly Council meetings, with an overview and updates about the current status, issues, etc. occurring during each meeting. The Assistant Secretary of the Office of Behavioral Health as well as representatives from the executive management team attend all quarterly meetings of the LBHAC. At the local level, local executive directors and/or administrators attend all RAC meetings. Their presence at these meetings provides ample opportunity for open dialogue between the administration and the advisory council members. It is during this time that information is shared, questions are asked and answered, and recommendations and suggestions are made.
 - b) Has the Council successfully integrated substance misuse prevention and treatment or co-occurring disorder issues, concerns, and activities into i ☒ Yes ☐ No
2. Is the membership representative of the service area population (e.g. ethnic, cultural, linguistics, rural, suburban, urban, older adults, families of young children)? ☒ Yes ☐ No
3. Please indicate the duties and responsibilities of the Council, including how it gathers meaningful input from people in recovery, families, and other important stakeholders, and how it has advocated for individuals with SMI or SED.

In addition to providing guidance for the Block Grant Application/State Behavioral Health Plan, the LBHAC also monitors, reviews, and evaluates the allocation and adequacy of behavioral health services within the state. The LBHAC serves as an advocate for adults with serious mental illness, children with serious emotional disturbance, other individuals with mental illness or emotional problems, and persons with substance use and addictive disorders. This includes continued efforts toward public education,

education of its members, and endeavors to reduce the stigma of mental illness and addictive disorders throughout the state. Council members are given opportunity to review the block grant application and implementation reports online and make comments prior to their submission.

Currently, the LBHAC includes seats for 40 members consisting of consumers of both mental health and addiction services, family members of adults with serious mental illness and substance abuse disorders, family members of children with emotional/behavioral disorders and substance abuse disorders, behavioral health advocates, representatives from regional advisory councils (RACs), and state agency employees. Additionally, the council has representatives of special populations, namely the following: representatives of the behavioral health needs of the elderly, members of a federally recognized tribe, the homeless, transitional youth, and the LGBTQI population.

The Council has been designed to have geographical representation of the ten local governing entities in the state, and includes members from diverse backgrounds and ethnicities. A representative from each RAC serves on the LBHAC. Improved communication has been a continuing initiative, and each RAC representative reports on regional activities at quarterly LBHAC meetings.

Does the state have any activities related to this section that you would like to highlight?

In 2017, the LBHAC began the process of developing a strategic plan with the technical assistance from Advocates for Human Potential (AHP). The Council was able to initiate a strategic planning process to outline its mission, vision, and priorities that were adopted at the May and August 2017 meetings. We continue to redefine our committee duties, specifically as they correspond to the priorities outlined in our strategic plan.

Please indicate areas of technical assistance needed related to this section.

N/A

Additionally, please complete the Behavioral Health Advisory Council Members and Behavioral Health Advisory Council Composition by Member Type forms.⁷³

⁷³There are strict state Council membership guidelines. States must demonstrate: (1) the involvement of people in recovery and their family members; (2) the ratio of parents of children with SED to other Council members is sufficient to provide adequate representation of that constituency in deliberations on the Council; and (3) no less than 50 percent of the members of the Council are individuals who are not state employees or providers of mental health services.

Footnotes:

The regular quarterly meeting of the Louisiana Behavioral Health Advisory Council was called to order at 9:34 a.m. August 7, 2017 at the East Baton Rouge Parish Main Library in Baton Rouge, Louisiana. Maryann Mason, council chairman and Secretary Melanie Roberts were present.

Ms. Mason welcomed members and guests, and those in attendance introduced themselves.

The minutes of the May 1, 2017 regular council meeting minutes were approved as distributed.

Chairman's Report

Ms. Mason thanked everyone for their attendance and participation in the strategic planning process.

Committee Reports

Executive Committee

Ms. Mason reported that three members, Heather Jones, Danielle Marshall, and Karen Webb had all missed the past two meetings. The committee had voted to retain all members, but because none of the three were in attendance at the meeting at the time the report was given, the report was referred back to the committee to report again after the recess for committee meetings.

Committee on Membership

Verna Dixon reported that her committee had reviewed several applications for membership recommended. Roy Sanches applied for the seat of a representative from Louisiana Association of Peer Support. Trevor Poiencot applied to be the RAC representative for South Central Louisiana Human Services Authority (SCLHSA). Cynthia Bennett and Yvonne Diaz applied for the seats for Medicaid and Department of Children and Family Services, respectively.

On behalf of the committee, Verna moved that the council elect Roy Sanches, Trevor Poiencot, Cynthia Bennett, and Yvonne Diaz as council members. The motion passed.

Committee on Planning

Ms. Mason reviewed the report from the Committee on Planning, which included an update on the strategic planning process. The committee recommended the adoption of the following value statement for the strategic plan:

Value Statement:

In pursuit of our mission, we believe the following value statements are essential and timeless:

- We trust our colleagues as valuable members of the team and pledge to treat one another with loyalty, respect, and dignity.
- We recognize the value of lived experience and the development of partnerships.
- We believe in prevention and early intervention.
- We promote an atmosphere that is respectful of recovery and wellness and strive for a behavioral healthcare system that is responsive and accountable to the individual's strengths and needs.
- We believe in data driven decisions based on quality measures.

The chairman put the question on the adoption of the recommended value statement and it was adopted by general consent.

The chair then reported that the committees would, during the recess for committee work, finalize the action steps to complete the strategic plan, and would report those steps following the recess, and that the completed strategic plan would then be placed before the council for adoption.

PROGRAM

Block Grant Update

Missy Graves, Office of Behavioral Health State Planner presented the recent Block Grant application and plan for Louisiana. She highlighted changes from the previous applications as well as priorities for the current plan. Members were provided opportunity to ask questions. Ms. Graves indicated that the full plan would be available online for review and public comment, and that links to the plan would be provided to council members as well.

Office of Behavioral Health Update

Karen Stubbs, Deputy Assistant Secretary, Office of Behavioral Health discussed the pieces of behavioral health legislation that passed during the past session. She also discussed mental health parity.

Regional Advisory Council Reports

The council received written reports from the RACs in the following areas: Acadiana Area, Central Louisiana, Florida Parishes, Imperial Calcasieu, Jefferson Parish, Metropolitan, Northeast Delta, and South-Central Louisiana. Reporting members reviewed briefly their written reports for the council. A verbal report was offered from Capital Area.

RECESS FOR COMMITTEE WORK

The council took a recess at 11:45 to conduct committee work.

BUSINESS MEETING CONTINUED

The chair called the council meeting back to order at 12:49 p.m.

Committee Reports - Updates

After the recess for committee work, the Executive Committee, Committee on Programs and Services, along with the Committee on Finance and the Committee on Advocacy reported on their ideas regarding council priorities.

Executive Committee

Ms. Mason reported that the committee recommends that the council remove Heather Jones and Danielle Marshal from membership, but, pending additional information, deferred making a recommendation on whether to remove Karen Webb.

On the committee's motion, Heather Jones and Danielle Marshall were removed from membership by general consent.

Committee on Youth Substance Use, Co-occurring, and Addictive Disorders

The chair announced the appointment of Mike Fleming as chair of this committee.

Approval of strategic plan

Ms. Mason reported that all the components of the strategic plan had been finalized, and with the exception of the action steps, had all been approved by the council. She then placed before the membership the question on the adoption of the completed Strategic Plan 2017 document with the inclusion of the action steps adopted by the several committees during the committee work recess. The Strategic Plan 2017 document was adopted.

Organization and State Agency Reports

The council received written reports from following advocacy organizations: Mental Health America, The Extra Mile, National Alliance on Mental Illness-Louisiana, Louisiana Department of Education, Office of Behavioral Health Mental Health Services, Office of Behavioral Health Prevention Services, Office of Behavioral Health Substance Use Disorders, Louisiana Housing Corporation, Office of Workforce Development - Louisiana Rehabilitation Services, and Medicaid. Reporting members reviewed briefly their written reports for the council.

Special Population Report

Reports were provided by the representatives of Native American tribes, the homeless, and the LGBTQI community.

Adjournment

The meeting adjourned at 1:50 p.m.

/s/ Melanie Roberts, Secretary

The regular quarterly meeting of the Louisiana Behavioral Health Advisory Council was called to order at 9:30 a.m. November 6, 2017 at the East Baton Rouge Parish Main Library in Baton Rouge, Louisiana. Maryann Mason, council chairman, and Melanie Roberts, secretary, were present.

Ms. Mason welcomed members and guests, and those in attendance introduced themselves.

The minutes of the August 7, 2017 regular council meeting minutes were approved as corrected.

Chairman's Report

Ms. Mason thanked everyone for their attendance and for their timely submissions of reports. She briefly outlined the status of the Block Grant application, since Missy Graves was not in attendance, due to jury duty. Ms. Mason reported that she is planning to attend the meetings of a new advisory committee on opioid addiction and that she will keep the council informed about the meetings and notifications. She reported that Jason Rich has been appointed as the new chair of the Advocacy Committee. She announced that Verna Dixon has retired from Louisiana Rehabilitation Services and is no longer a member of the council or the chair of the Membership Committee. Ms. Mason continues to participate in the HCR 55 work group as a representative of the LBHAC. She also reported that the SAMHSA technical assistance has come to a conclusion and the council will continue to develop the action steps for our strategic plan.

Committee Reports

Executive Committee

Ms. Roberts reported that Lacey Kendrick-Burk had missed two consecutive meetings and the committee had recommended that she be replaced on the LBHAC. However, after their meeting, Ms. Roberts received communication from Ms. Kendrick-Burk that included her resignation from the council.

Committee on Membership

Ms. Roberts reported that the membership committee had reviewed several applications for membership. Anthony Germade applied for a seat as the representative of NAMI Louisiana. Maydel Schexnayder-Chatelain applied for a seat as representative from Louisiana Rehabilitation Services. Toby Forbes applied to be the representative for Transitional youth. Melissa Frances applied to be a family member representative.

On behalf of the committee, Melanie moved that the council elect Anthony Germade, Maydel Schexnayder-Chatelain, Toby Forbes, and Melissa Francis as council members. The motion passed.

PROGRAM

Office of Behavioral Health Update

Dr. Janice Petersen, Deputy Assistant Secretary for Adult, Child, and Family Operations, Office of Behavioral Health discussed the upcoming SAMHSA site visit in January 2018. She also provided updated statistics on opioid use.

Mental Health Rehabilitation

Darrell Montgomery, representing Medicaid provided information about Mental Health Rehabilitation in regard to the latest legislative session's budget cuts. He offered to return to the council on a quarterly basis to provide updates on these services.

Regional Advisory Council Reports

The council received written reports from the RACs in the following areas: Acadiana Area, Capital Area, Central Louisiana, Florida Parishes, Imperial Calcasieu, Metropolitan, and Northeast Delta. Reporting members reviewed briefly their written reports for the council. An oral report was offered from Jefferson Parish.

RECESS FOR COMMITTEE WORK

The council recessed at 11:33 to conduct committee work and have lunch.

BUSINESS MEETING CONTINUED

The chair called the council meeting back to order at 1:00 p.m.

Committee Reports - Updates

After the recess for committee work, the Committee on Advocacy, Committee on Programs and Services, along with the Committee on Finance and the Committee on Membership reported on their ideas regarding council priorities.

Committee on Advocacy

Jason Rich, committee chairman reported that the committee will meet in November or early December to send out a request that all RACs identify their top 5 advocacy needs.

Committee on Membership

Steve Kauffman accepted the position of chair of this committee. He reported that there are two vacancies on the council, for an elderly representative and for a parent of a child with serious mental illness.

PROGRAM CONTINUED

***C'est Bon* Survey**

Charlene Gradney, Program Manager, and Ken Saucier, Director of Regional Services, Office of Behavioral Health presented an overview of the *C'est Bon* Surveys.

Organization and State Agency Reports

The council received written reports from following advocacy organizations: Mental Health America, The Extra Mile, National Alliance on Mental Illness-Louisiana, Louisiana Department of Education, Office of Behavioral Health Mental Health Services, Office of Behavioral Health Prevention Services, Office of Behavioral Health Substance Use Disorders, Louisiana Housing Corporation, Office of Workforce Development - Louisiana Rehabilitation Services, and Medicaid. Reporting members reviewed briefly their written reports for the council.

Special Population Report

Representatives of Native American tribes, the homeless, and the LGBTQI community provided written reports.

Adjournment

The meeting adjourned at 2:10 p.m.

/s/ Melanie Roberts, Secretary

The regular quarterly meeting of the Louisiana Behavioral Health Advisory Council was called to order at 9:30 a.m. February 5, 2018 at the East Baton Rouge Parish Main Library in Baton Rouge, Louisiana. Maryann Mason, council chairman, and Melanie Roberts, secretary, were present.

Ms. Mason welcomed members and guests, and those in attendance introduced themselves.

The minutes of the November 5, 2017 regular council meeting minutes were approved as distributed.

Chairman's Report

Ms. Mason thanked everyone for their attendance and offered a brief overview of the SAMHSA site visit.

Committee Reports

Executive Committee

Ms. Roberts reported that all members were in good standing.

Committee in Planning

Ms. Mason reported that the committee discussed and approved the proposed bylaw amendments for CLHSD, ImCal, and CAHSD RACs. The committee also gave written notice of LBHAC bylaw amendments for council consideration and action at the council's May meeting. This amendment will change the Council's rules related to terms of service.

PROGRAM

Assisted Outpatient Treatment

Joseph Seyler, Director of Louisiana's Mental Health Advocacy Service and Child Advocacy Program presented information about Assisted Outpatient Treatment.

Office of Behavioral Health Update

Dr. Janice Petersen, Deputy Assistant Secretary for Adult, Child, and Family Operations and Dr. James Hussey provided updates from the Office of Behavioral Health.

Block Grant Update

Missy Graves offered an update on the status of the mental health and substance abuse and prevention block grants.

Regional Advisory Council Reports

The council received written reports from the RACs in the following areas: Acadiana Area, Capital Area, Central Louisiana, Florida Parishes, Imperial Calcasieu, Metropolitan, and Northeast Delta. Reporting members reviewed briefly their written reports for the council. An oral report was offered from Jefferson Parish.

RECESS FOR COMMITTEE WORK

The council recessed at 12:00 p.m. to conduct committee work and have lunch.

BUSINESS MEETING CONTINUED

The chair called the council meeting back to order at 12:45 p.m.

Committee Reports - Updates

After the recess for committee work, the Committee on Advocacy, Committee on Programs and Services, along with the Committee on Membership reported on their activities.

Committee on Advocacy

Jason Rich, committee chairman reported that the committee will send out the survey questions to new committee members and the RAC chairs to get two to four strong impact statements for the legislative session.

Committee on Programs and Services

John McDaniel, committee chairman discussed how his committee looked at C'est Bon surveys and discussed the positive and negatives of their LGEs.

Committee on Membership

Steve Kauffman, committee chairman, moved on behalf of the committee that the council elect Tab Bounds to serve on the council as the representative from Office for Citizens with Developmental Disabilities.

The motion passed.

Organization and State Agency Reports

The council received written reports from following advocacy organizations: Mental Health America, The Extra Mile, National Alliance on Mental Illness – Louisiana, Louisiana Department of Education, Office of Behavioral Health Mental Health Services, Office of Behavioral Health Prevention Services, Office of Behavioral Health Substance Use Disorders, Office of Workforce Development – Louisiana Rehabilitation Services, and Medicaid. Reporting members reviewed briefly their written reports for the council.

Special Population Report

Representatives of Native American tribes, the homeless, and the LGBTQI community provided reports.

Public Comment

Janet Hayes from Healing Minds NOLA addressed the council.

Adjournment

The meeting adjourned at 2:10 p.m.

/s/ Melanie Roberts, Secretary

The regular quarterly meeting of the Louisiana Behavioral Health Advisory Council was called to order at 9:30 a.m. May 7, 2018 at the East Baton Rouge Parish Main Library in Baton Rouge, Louisiana. Maryann Mason, council chairman, and Melanie Roberts, secretary, were present.

Ms. Mason welcomed members and guests, and those in attendance introduced themselves.

PROGRAM

Transitions from Nursing Homes

Tara Leblanc, assistant secretary, Office of Aging and Adult Services (OAAS), discussed how the OAAS has been transitioning individuals with behavioral health needs from nursing homes to the community.

Ann Darling, Office of Behavioral Health (OBH), explained that OBH is working with OAAS to create transition teams to facilitate this program.

BUSINESS MEETING

Minutes Approval

The minutes of the February 5, 2018 regular council meeting minutes were approved as corrected.

Chairman's Report

Ms. Mason urged all council members to visit the Capitol during the current legislative session, particularly on Behavioral Health Day. She also stated that an understanding of the *C'est Bon* surveys as well as the guidelines for their data collection is beneficial to both the RACs and the LBHAC. She hopes to see more discussion about the survey results in the future.

Committee Reports

Executive Committee

Ms. Roberts reported that all members were in good standing and reminded council members that two consecutive meeting absences requires the executive committee to report its recommendation as to whether the member should be removed from membership, and for the council to act on the committee's recommendation.

Committee on Planning

Ms. Mason reported that there was no quorum at the committee meeting, and consequently no formal report was adopted. She explained that the members in attendance did assist in planning the program for the May meeting and urged council members to offer recommendations for presentations or information on particular topics.

Committee on Youth Substance Use, Co-occurring, and Addictive Disorders

Ms. Roberts reported that the committee has a vacancy in the office of committee chairman that has not yet been filled. Additionally, she reported that the committee recommended the speaker from I-CARE, who presented at this council meeting, and that the committee has established a goal of holding outcome-driven meetings.

PROGRAM (continued)

Office of Behavioral Health Update

Dr. Janice Petersen, *Deputy Assistant Secretary for Adult, Child, and Family Operations* and Lauren Gleason, *Chief of Staff* provided updates from the Office of Behavioral Health. Their discussions included proposed budget cuts, current pieces of legislation, the opioid initiative, National Prevention Week, and the STR grant.

BUSINESS MEETING (continued)

Bylaw Amendment

On the recommendation of the committee on planning, and having had previous notice as required in the council bylaws, the council adopted by a two-thirds vote the following amendment to the bylaws:

Amend ARTICLE III (MEMBERSHIP), Section 5 (Term of Service) by substituting the following in place of subsections (A.) and (B.),

Membership on the council shall be for a term of four years. An Individual member who has served two consecutive terms shall not be eligible to serve again until the lapse of one year. Organizational members shall not be limited in the number of consecutive terms they may serve.

and by re-designating subsection (C.) as Section 6. (Removal), and further re-numbering current Section 6 (Duties of Members) as Section 7.

PROGRAM (continued)

Block Grant Update

Missy Graves, OBH State Planner reported that the block grant application for federal FY 2018 has been approved. There has been an increase in Community Mental Health Services (CMHS) funds and the 10% set aside for first episode psychosis remains.

Intended Use Plan (IUP) templates will be sent out to the LGEs soon, with no anticipated major changes from last fiscal year. The deadline for IUP submission to OBH will be July 31, to allow time for RACs to review.

Ms. Graves also reminded council members about travel guidelines when attending quarterly LBHAC meetings.

Regional Advisory Council Reports

The council received written reports from the RACs in the following areas: Acadiana Area, Capital Area, Central Louisiana, Florida Parishes, Imperial Calcasieu, and Northwest. Reporting members reviewed briefly their written reports for the council. An oral report was offered from Jefferson Parish, Metropolitan, Northeast Delta.

I-Care Presentation

Mr. Gwynn Shamlin, director of the East Baton Rouge Parish School's I-CARE program, gave a presentation about how the I-CARE Program provides prevention education to students in public,

parochial, private, and charter schools in the areas of alcohol, tobacco, other drugs, violence, crisis response and management.

RECESS FOR COMMITTEE WORK

The council recessed at 11:30 a.m. to conduct committee work and have lunch.

BUSINESS MEETING (continued)

The chair called the council meeting back to order at 12:30 p.m.

Committee Reports - Updates

After the recess for committee work, the Committee on Membership and Committee on Programs and Services reported on their activities.

Committee on Membership

Steve Kauffman, committee chairman, moved on behalf of the committee that the council elect Mike Thornsby to serve on the council as the representative from Mental Health America Louisiana.

The motion passed.

Committee on Programs and Services

John McDaniel, committee chairman and Frank Wesley, Office of Behavioral Health discussed details of the *C'est Bon* surveys. Each council member was given a copy of the survey results from his or her LGE region. Council members were asked to look at the highest scores as well as the lowest scores on the reports.

On behalf of his committee, Mr. McDaniel moved the adoption of the following resolution:

RESOLVED, That in order to promote quality programs and services as well as positive working relationships between the LGEs and the RACs, the council directs that each RAC chair will connect with their LGE administration and inform them that they have been given their *C'est Bon* Survey for review. They will ask if administration would like to present the Survey at an upcoming RAC meeting and, as appropriate, invite them to share with the RAC how they utilize the reports. The RAC chair and/or representative will inform the LBHAC at the November 2018 meeting regarding the process and of recommendations for improvement.

The resolution was adopted.

Organization and State Agency Reports

The council received written reports from following advocacy organizations and State agencies: The Extra Mile, National Alliance on Mental Illness – Louisiana, Department of Children and Family Services, Louisiana Department of Education, Office of Behavioral Health Mental Health Services, Office of Behavioral Health Prevention Services, Office of Behavioral Health Substance Use Disorders, Office for Citizens with Developmental Disabilities, Office of Workforce Development – Louisiana Rehabilitation Services, and Louisiana Housing Corporation. Reporting members reviewed briefly their written reports for the council.

Special Population Reports

Representatives of Native American tribes, the homeless, Louisiana Association of Peer Support, and the LGBTQI community provided reports.

Public Comment

Reverend Alexis Anderson informed the council about the My Louisiana Equality and Equity Summit that will be held in Baton Rouge, at the Main Library, June 8-10, 2018.

Adjournment

The meeting adjourned at 1:36 p.m.

/s/ Melanie Roberts, Secretary

Environmental Factors and Plan

Behavioral Health Advisory Council Members

Start Year: 2019 End Year: 2020

Name	Type of Membership	Agency or Organization Represented	Address,Phone, and Fax	Email(if available)
Maria Bell	Parents of children with SED	LA Federation of Families for Children's Mental Health	5627 Superior Dr. Suite A-2 Baton Rouge LA, 70816 PH: 225-293-3508	mbell@laffcmh.org
David Bennett	Others (Not State employees or providers)	NLHSD	725 Jordan St. Shreveport LA, 71101 PH: 318-453-7630	
Linda Boudreaux	Others (Not State employees or providers)	The Extra Mile	525 S. Buchanan St Lafayette LA, 70501 PH: 337-354-0038	
Tab Bounds	State Employees	LDH, Office of Citizens with Dev. Disabilities	628 N. 4th Street Baton Rouge LA, 70802 PH: 225-342-0095	tab.bounds@la.gov
Kristi Bourgeois	Others (Not State employees or providers)	CAHSD- Raegional Advisory Council	4363 Rougon Road Port Allen LA, 70767 PH: 225-336-0000	KBourgeois@uplifted.org
Leslie Brougham Freeman	State Employees	LDH, Office of Behavioral Health	628 N. 4th St Baton Rouge LA, 70802	Leslie.BroughamFreeman@LA.GOV
Ronard Darensburg	Individuals in Recovery (to include adults with SMI who are receiving, or have received, mental health services)	MHSD		
Yvonne Diaz	State Employees	Louisiana Department of Children and Family Services - Office of Community Services	627 N. 4th Street Baton Rouge LA, 70802 PH: 225-392-9928	Yvonne.Domingue.DCFS@LA.GOV
Melissa Francis	Family Members of Individuals in Recovery (to include family members of adults with SMI)		1419 Frenchmen New Orleans LA, PH: 504-875-5934	Mrancis@gmail.com
Anthony Germade	Individuals in Recovery (to include adults with SMI who are receiving, or have received, mental health services)	National Alliance on Mental Illness - Louisiana	P.O. Box 40517 Baton Rouge LA, 70816 PH: 225-291-6262	AGermade@namilouisiana.org
Charlene Gradney	State Employees	LDH, Office of Behavioral Health		charlene.gradney@la.gov
Lonnie Granier	Providers		1125 N. Tonti St. New Orleans LA, 70119 PH: 504-418-0172	lgranier@ohlinc.org
Missy Graves	State Employees	LA DHH - OFFICE OF BEHAVIORAL HEALTH	628 N. 4th Street Baton Rouge LA, 70802 PH: 225-342-8553	Missy.Graves@LA.Gov

Anthony Lowery	Individuals in Recovery (to include adults with SMI who are receiving, or have received, mental health services)		331 Wright Ave Gretna LA, 70056 PH: 504-812-0635	Lowe3948@Att.net
Vi Eve Martin	Others (Not State employees or providers)	ImCal Human Services Authority	917 Aberdeen Dr. Lake Charles LA, 70605 PH: 337-540-6740	vkohrs@suddenlink.net
Mike Martyn	Providers	Jefferson Parish Human Services Authority - RAC Representative		
Maryann Mason	Individuals in Recovery (to include adults with SMI who are receiving, or have received, mental health services)		5353 Essen Lane, Ste 300 Baton Rouge LA, 70809 PH: 267-716-8147	Maryann.DonovanMason@anthem.com
Mona Maxwell	Federally Recognized Tribe Representatives		PO Box 14 Jena LA, 71342 PH: 318-419-8432	
John McDaniel	Others (Not State employees or providers)	Central Louisiana Human Services District	1244 Barrister Street Alexandria LA, 71301 PH: 318-792-0233	
Linda McMahon	Family Members of Individuals in Recovery (to include family members of adults with SMI)		4207 Pecan Dr Alexandria LA, 71302 PH: 318-487-4200	
Jason Rich	Individuals in Recovery (to include adults with SMI who are receiving, or have received, mental health services)	FPHSA	940 Cheveil St Mandeville LA, 70448 PH: 985-705-0730	
Roy Sanches	Individuals in Recovery (to include adults with SMI who are receiving, or have received, mental health services)	Louisiana Association of Peer Support	PO 1475 Iowa LA, 70647 PH: 936-443-5375	RSanches@yahoo.com
Penny Seneca	Parents of children with SED		1200 Briar Marsh rd Ragly LA, 70657 PH: 337-802-1561	
Maydel Shexnayder-Chatelain	State Employees		3651 Cedarcrest Baton Rouge LA, 70816 PH: 225-295-8952	
Christopher Stone	Individuals in Recovery (to include adults with SMI who are receiving, or have received, mental health services)		111 Alexander Rd, Apt. 16 West Monroe LA, 71291 PH: 318-388-6088	stn_chrstphr@yahoo.com
Nicole Sweazy	State Employees	LA Housing Corporation (LHC)	2415 Quail Drive Baton Rouge LA, 70808 PH: 225-763-8773	
Michelle Thomas	State Employees	Louisiana Department of Public Safety and Corrections - Office of Juvenile Justice	660 N. Foster Drive Baton Rouge LA, 70806 PH: 225-922-1300	Michelle.Thomas@LA.Gov
Mike Thornsby	Individuals in Recovery (to include adults with SMI who are receiving, or have received, mental health services)	Mental Health America of Louisiana	5700 Florida Blvd Baton Rouge LA, 70806 PH: 225-978-2177	vickiermhal@gmail.com

Billy Varner	Individuals in Recovery (to include adults with SMI who are receiving, or have received, mental health services)		6414 Cypress Point Monroe LA, 71203 PH: 318-366-0377	
Karen Webb	State Employees	LDH, Office of Public Health	1450 Poydras St., Rm 2032 New Orleans LA, 70112 PH: 504-568-3504	
Clarence Williams	Others (Not State employees or providers)		PO Box 812 West Monroe LA, 71294 PH: 318-562-1601	
Hilda Wiltz	Others (Not State employees or providers)	AAHSD	P.O. Box 762 Rayne LA, 70578 PH: 337-234-3272	
Quinetta Womack	State Employees	LDH, Office of Behavioral Health	628 N. 4th St Baton Rouge LA, 70802	
Janice Zube	State Employees	LA Department of Education	1201 N. 3rd Street, 4th Floor Baton Rouge LA, 70802 PH: 225-219-4205	janice.zube@la.gov

Footnotes:

We have attached a spreadsheet listing all members, their organization, and their contact information. Unfortunately, we could not properly input all information for each member, as the BGAS system has been a bit erratic for over a week now. We hope this will suffice.

First Name	Last Name	Type of Membership	Agency/ Org. Represented	Address	Email
Maria	Bell	Family Member of Child or Youth with Behavioral Health Problems	Louisiana Federation of Families for Children's Mental Health	5627 Superior Dr. Suite A-2 Baton Rouge, LA 70816 225-293-3508 225-293-3510 (Fax)	MBell@LAFFCMH.org
David	Bennett	Other (not state employee or provider)	NLHSD- Regional Advisory Council	725 Jordan St. Shreveport, LA 71101 318-453-7630 (cell) 318-221-1995 (fax)	DBennett@LaEasterSeals.com
Linda	Boudreaux	Other (not state employee or provider)	The Extra Mile	525 S. Buchanan St. Lafayette, LA 70501 337-354-0038	LindaBTEM@Bellsouth.net
Tab	Bounds	State Employee	DHH, Office for Citizens with Developmental Disabilities (OCDD)	Office for Citizens with Developmental Disabilities 628 N. 4th Street POB 3117 Baton Rouge, LA 70821-3117 225-342-0095 225-342-8823 (Fax)	Tab.Bounds@LA.Gov
Kristl	Bourgeois	Other (not state employee or provider)	CAHSD- Regional Advisory Council	4363 Rougon Road Port Allen, LA 70767 225-336-0000	KBourgeois@uplifted.org
Leslie	Brougham Freeman	State Employee	DHH, Office of Behavioral Health (Prevention Specialist)	Office of Behavioral Health 628 N. 4th Street P.O. Box 3868 Baton Rouge, LA 70821	Leslie.BroughamFreeman@LA.Gov
Ronard	Darensburg	Individual in Recovery from mental illness and/or addictions)	MHSD- Regional Advisory Council		
Yvonne	Diaz	State Employee	Louisiana Department of Children and Family Services (DCFS)	Office of Community Services 627 N. 4th Street POB 3318 Baton Rouge, LA 70821 225-392-9928	YvonneDomingue@DCFS.La.Gov
Melissa	Francis	Family Member of Adult with Serious Mental Illness or in Recovery	Family Member	1419 Frenchmen New Orleans, LA 504-875-5934	Mfrancis@gmail.com
Anthony	Germade	Individual in Recovery from mental illness and/or addictions)	National Alliance on Mental Illness - Louisiana	P.O. Box 40517 Baton Rouge, LA 70816 225-291-6262 (phone) 225-291-6244 (Fax)	AGermade@namilouisiana.org
Charlene	Gradney	State Employee	Louisiana Department of Health and Hospitals, Office of Behavioral Health (OBH)	Office of Behavioral Health 628 N. 4th Street P.O. Box 4049 Baton Rouge, LA 70821-4049 (work) 225-342-1984 (Fax) Charlene.Gradney@LA.Gov	
Lonnie	Granier	Provider	Substance Abuse Treatment	1125 N. Tonti St. New Orleans, LA 70119 504-418-0172	lgranier@ohlinc.org
Missy	Graves	State Employee	Office of Behavioral Health	Office of Behavioral Health 628 N. 4th Street P.O. Box 4049 Baton Rouge, LA 70821-4049 225-342-8553 225-324-1984 (Fax)	Missy.Graves@LA.Gov
Anthony	Lowery	Individual in Recovery (from mental illness and/or addictions)	AD Advocate	331 Wright Ave Gretna, LA 70056 504-812-0635	Lowe3948@Att.net
Vi Eve	Martin	Other (not state employee or provider)	Im Cal- Regional Advisory Council	917 Aberdeen Dr. Lake Charles, LA 70605 337-540-6740 Cell 337-721-3907 Fax	ykohrs@suddenlink.net
Mike	Martyn	Provider	JPHSA- Regional Advisory Council		
Maryann	Mason	Individual in Recovery (from mental illness and/or addictions)	AD Advocate	5353 Essen Ln, Ste 300 Baton Rouge, LA 70809 267-716-8147 (cell) 877-443-1278 (fax)	Maryann.DonovanMason@anthem.com

Mona	Maxwell	Other (not state employee or provider)	Federally Recognized Indian Tribe	P.O. Box 14 Jena, LA 71342 318-419-8432	MMAXJBC@yahoo.com
John	McDaniel	Other (not state employee or provider)	CLHSD- Regional Advisory Council	1244 Barrister Street Alexandria, LA 71301 318-792-0233	JTMCD5R@gmail.com
Linda	McMahon	Family Member of Adult with Serious Mental Illness or in Recovery	Family Member	4207 Pecan Drive Alexandria, LA 71302 318-487-4200	LDrewMcM@Suddenlink.net
Jason	Rich	Individual in Recovery (from mental illness and/or addictions)	FPHSA- Regional Advisory Council	940 Cheveall Street Mandeville, LA 70448 985-705-0730 225-210-3058	JRich@LouisianaHealthConnect.com
Roy	Sanches	Individual in Recovery (from mental illness and/or addictions)	Louisiana Association of Peer Support	PO 1475 Iowa, LA 70647 936-443-5375	RSanches@yahoo.com
Penny	Seneca	Family Member of Child or Youth with	Parent	1200 Briar Marsh Road Ragly, LA 70657 337-802-1561	PennySeneca@gmail.com
Maydel	Shexnayder-Chatelain	State Employee	Louisiana Workforce Commission, Louisiana Rehabilitation Services (LRS)	La Rehabilitation Services 3651 Cedarcrest Baton Rouge, LA 70816 225-295-8952 225-295-8966 (Fax)	MScexnayder@LWC.LA.Gov
Christopher	Stone	Individual in Recovery (from mental illness and/or addictions)	Lesbian, Gay, Bisexual, Transgender, Questioning, and Intersex (LGBTQI)	111 Alexander Rd., Apt. 16 West Monroe, LA 71291 318-388-6088 (w) 318-366-9888(cell) 318-388-3850 (fax)	stn_chrstphr@yahoo.com
Nicole	Sweazy	State Employee	Louisiana Housing Corporation (LHC)	LA Housing Corporation 2415 Quail Drive Baton Rouge, LA 70808 225-763-8773 225-763-8749 (Fax)	NSweazy@LHC.LA.Gov
Michelle	Thomas	State Employee	Louisiana Department of Public Safety and Corrections, Office of Juvenile Justice (OJJ)	Dep't of Public Safety & Corrections 660 N. Foster Drive Baton Rouge, LA 70806 225-922-1300 225-291-9349 (Fax) Michelle.Thomas@LA.Gov	
Mike	Thornsbury	Individual in Recovery from mental illness and/or addictions)	Mental Health America of Louisiana	5700 Florida Blvd; Suite 901 Baton Rouge, LA 70806 vickiermhal@gmail.com 225 978-2177 (phone)	
Billy	Varner	Individual in Recovery (from mental illness and/or addictions)	AD Advocate	6414 Cypress Point Monroe, LA 71203 318-366-0377 (cell)	BVarner1516@gmail.com
Karen	Webb	State Employee	DHH, Office of Public Health (OPH)	Bureau of Family Health 1450 Poydras St., Rm 2032 New Orleans, LA 70112 504-568-3504 504-568-3503 (Fax) Karen.Webb@LA.Gov	
Clarence	Williams	Other (not state employee or provider)	NDHSA- Regional Advisory Council	PO Box 812 West Monroe, LA 71294 318 -562-1601	clccts@outlook.com
Hilda	Wiltz	Other (not state employee or provider)	AAHSD - Regional Advisory Council	P.O. Box 762 Rayne, LA 70578 337-234-3272 Phone 337-234-3274 Fax 337-224-5741 Cell	HWiltz@live.com
Quinetta	Womack	State Employee	DHH, Office of Behavioral Health (Substance Abuse Treatment Specialist)	Office of Behavioral Health 628 N. 4th Street P.O. Box 3868 Baton Rouge, LA 70821	Quinetta.Womack@LA.Gov

Janice	Zube	State Employee	Louisiana Department of Education (LDE)	La Department of Education 1201 N. 3rd Street, 4th Floor Baton Rouge, LA 70802 225-219-4205 225-342-1871 (Fax)	Janice.Zube@LA.Gov
Vacant			DHH, Bureau of Health Services Financing (Medicaid)	Bureau of Health Services Financing 628 N. 4th Street, 6th Floor Baton Rouge, LA 70821-9030 225-219-0942 225-389-8125 (Fax)	
Vacant			SCLHSA- Regional Advisory Council		
Vacant			Parent		
Vacant			Elderly		
Vacant			Homeless Population		
Vacant			Transitional Youth		

NOT FINAL

Environmental Factors and Plan

Behavioral Health Council Composition by Member Type

Start Year: 2019 End Year: 2020

Type of Membership	Number	Percentage
Total Membership	39	
Individuals in Recovery* (to include adults with SMI who are receiving, or have received, mental health services)	9	
Family Members of Individuals in Recovery* (to include family members of adults with SMI)	2	
Parents of children with SED*	2	
Vacancies (Individuals and Family Members)	5	
Others (Not State employees or providers)	7	
Total Individuals in Recovery, Family Members & Others	25	64.10%
State Employees	11	
Providers	2	
Vacancies	1	
Total State Employees & Providers	14	35.90%
Individuals/Family Members from Diverse Racial, Ethnic, and LGBTQ Populations	7	
Providers from Diverse Racial, Ethnic, and LGBTQ Populations	0	
Total Individuals and Providers from Diverse Racial, Ethnic, and LGBTQ Populations	7	
Persons in recovery from or providing treatment for or advocating for substance abuse services	9	
Federally Recognized Tribe Representatives	1	
Youth/adolescent representative (or member from an organization serving young people)	0	

* States are encouraged to select these representatives from state Family/Consumer organizations.

Indicate how the Planning Council was involved in the review of the application. Did the Planning Council make any recommendations to modify the application?

Vacant seats on the Louisiana Behavioral Health Advisory Council include: Medicaid, SCLHSA- Regional Advisory Council, Parent, Elderly, Homeless population, and Transitional youth.

Footnotes:

Environmental Factors and Plan

23. Syringe Services (SSP)

Narrative Question:

The Substance Abuse Prevention and Treatment Block Grant (SABG) restriction^{1,2} on the use of federal funds for programs distributing sterile needles or syringes (referred to as syringe services programs (SSP)) was modified by the **Consolidated Appropriations Act**, 2016 (P.L. 114-113) signed by President Obama on December 18, 2015³.

Section 520. Notwithstanding any other provisions of this Act, no funds appropriated in this Act shall be used to purchase sterile needles or syringes for the hypodermic injection of any illegal drug: Provided, that such limitation does not apply to the use of funds for elements of a program other than making such purchases if the relevant State or local health department, in consultation with the Centers for Disease Control and Prevention, determines that the State or local jurisdiction, as applicable, is experiencing, or is at risk for, a significant increase in hepatitis infections or an HIV outbreak due to injection drug use, and such program is operating in accordance with State and local law.

A state experiencing, or at risk for, a significant increase in hepatitis infections or an HIV outbreak due to injection drug use, (as determined by CDC), may propose to use SABG to fund elements of a SSP other than to purchase sterile needles or syringes. However, directing FY 2016 SABG funds to SSPs will require a modification of the 2016-2017 SABG Behavioral Assessment and Plan (Plan). States interested in directing SABG funds to SSPs must provide the information requested below and receive approval on the modification from the State Project Officer. Please note that the term used in the SABG statute and regulation, *intravenous drug user* (IVDU) is being replaced for the purposes of this discussion by the term now used by the federal government, *persons who inject drugs* (PWID).

States may consider making SABG funds available to either one or more entities to establish elements of a SSP or to establish a relationship with an existing SSP. States should keep in mind the related PWID SABG authorizing legislation and implementing regulation requirements when modifying the Plan, specifically, requirements to provide outreach to PWID, SUD treatment and recovery services for PWID, and to routinely collaborate with other healthcare providers, which may include HIV/STD clinics, public health providers, emergency departments, and mental health centers⁴. SAMHSA funds cannot be supplanted, in other words, used to fund an existing SSP so that state or other non-federal funds can then be used for another program.

In the first half of calendar year 2016 the federal government released three guidance documents regarding SSPs⁵: These documents can be found on the Hiv.gov website: <https://www.hiv.gov/federal-response/policies-issues/syringe-services-programs> ,

1. **Department of Health and Human Services Implementation Guidance to Support Certain Components of Syringe Services Programs, 2016** from The US Department of Health and Human Services, Office of HIV/AIDS and Infectious Disease Policy <https://www.hiv.gov/sites/default/files/hhs-ssp-guidance.pdf> ,
2. **Centers for Disease Control and Prevention (CDC) Program Guidance for Implementing Certain Components of Syringe Services Programs, 2016** The Centers for Disease Control and Prevention, National Center for HIV/AIDS, Viral Hepatitis, STD and TB Prevention, Division of Hepatitis Prevention <http://www.cdc.gov/hiv/pdf/risk/cdc-hiv-syringe-exchange-services.pdf>,
3. **The Substance Abuse and Mental Health Services Administration (SAMHSA)-specific Guidance for States Requesting Use of Substance Abuse Prevention and Treatment Block Grant Funds to Implement SSPs** <http://www.samhsa.gov/sites/default/files/grants/ssp-guidance-state-block-grants.pdf> ,

Please refer to the guidance documents above when requesting a modification to the state's 2016-2017 Behavioral Health Assessment and Plan.

Please follow the steps listed below to modify the Plan:

- Request a Determination of Need from the CDC
- Modify the 2016-2017 Plan to expend FFY 2016 and/or FFY 2017* funds and support an existing SSP or establish a new SSP
- Include proposed protocols, timeline for implementation, and overall budget

- Submit planned expenditures and agency information on **Table A** listed below
- Obtain State Project Officer Approval
- Collect **all** SSP information on **Table B** listed below to be reported in the FFY 2019 SABG report due December 1, 2018

End Notes

¹ Section 1923 (b) of Title XIX, Part B, Subpart II of the PHS Act (42 U.S.C. ? 300x-23(b)) and 45 CFR ? 96.126(e) requires entities that receive SABG funds to provide substance use disorder (SUD) treatment services to PWID to also conduct outreach activities to encourage such persons to undergo SUD treatment. Any state or jurisdiction that plans to re-obligate FY 2016 SABG funds previously made available such entities for the purposes of providing substance use disorder treatment services to PWID and outreach to such persons may submit an amendment to its plan to SAMHSA for the purpose of incorporating elements of a SSP in one or more such entities insofar as the plan amendment is applicable to the FY 2016 SABG funds **only** and is consistent with guidance issued by SAMHSA.

²Section 1931(a)(1)(F) of Title XIX, Part B, Subpart II of the Public Health Service (PHS) Act (42 U.S.C.? 300x-31(a)(1)(F)) and 45 CFR ? 96.135(a)(6) explicitly prohibits the use of SABG funds to provide persons who inject drugs (PWID) with hypodermic needles or syringes so that such persons may inject illegal drugs unless the Surgeon General of the United States determines that a demonstration needle exchange program would be effective in reducing injection drug use and the risk of HIV transmission to others. On February 23, 2011, the Secretary of the U.S. Department of Health and Human Services published a notice in the Federal Register (76 FR 10038) indicating that the Surgeon General of the United States had made a determination that syringe services programs, when part of a comprehensive HIV prevention strategy, play a critical role in preventing HIV among PWID, facilitate entry into SUD treatment and primary care, and do not increase the illicit use of drugs.

³ Division H Departments of Labor, Health and Human Services and Education and Related Agencies, Title V General Provisions, Section 520 of the Consolidated Appropriations Act, 2016 (P.L. 114- 113)

⁴ Section 1924(a) of Title XIX, Part B, Subpart II of the PHS Act (42 U.S.C. ? 300x-24(a)) and 45 CFR ? 96.127 requires entities that receives SABG funds to routinely make available, directly or through other public or nonprofit private entities, tuberculosis services as described in section 1924(b)(2) of the PHS Act to each person receiving SUD treatment and recovery services.

Section 1924(b) of Title XIX, Part B, Subpart II of the PHS Act (42 U.S.C. ? 300x-24(b)) and 45 CFR 96.128 requires ?designated states? as defined in Section 1924(b)(2) of the PHS Act to set- aside SABG funds to carry out 1 or more projects to make available early intervention services for HIV as defined in section 1924(b)(7)(B) at the sites at which persons are receiving SUD treatment and recovery services.

Section 1928(a) of Title XXI, Part B, Subpart II of the PHS Act (42 U.S.C. 300x-28(c)) and 45 CFR 96.132(c) requires states to ensure that substance abuse prevention and SUD treatment and recovery services providers coordinate such services with the provision of other services including, but not limited to, health services.

⁵**Department of Health and Human Services Implementation Guidance to Support Certain Components of Syringe Services Programs, 2016** describes a SSP as a comprehensive prevention program for PWID that includes the provision of sterile needles, syringes and other drug preparation equipment and disposal services, and some or all of the following services:

- Comprehensive HIV risk reduction counseling related to sexual and injection and/or prescription drug misuse;
- HIV, viral hepatitis, sexually transmitted diseases (STD), and tuberculosis (TB) screening;
- Provision of naloxone (Narcan?) to reverse opiate overdoses;
- Referral and linkage to HIV, viral hepatitis, STD, and TB prevention care and treatment services;
- Referral and linkage to hepatitis A virus and hepatitis B virus vaccinations; and
- Referral to SUD treatment and recovery services, primary medical care and mental health services.

Centers for Disease Control and Prevention (CDC) Program Guidance for Implementing Certain Components of Syringe Services Programs, 2016 includes a description of the elements of a SSP that can be supported with federal funds.

- Personnel (e.g., program staff, as well as staff for planning, monitoring, evaluation, and quality assurance);
- Supplies, exclusive of needles/syringes and devices solely used in the preparation of substances for illicit drug injection, e.g., cookers;
- Testing kits for HCV and HIV;
- Syringe disposal services (e.g., contract or other arrangement for disposal of bio- hazardous material);

- Navigation services to ensure linkage to HIV and viral hepatitis prevention, treatment and care services, including antiretroviral therapy for HCV and HIV, pre-exposure prophylaxis, post-exposure prophylaxis, prevention of mother to child transmission and partner services; HAV and HBV vaccination, substance use disorder treatment, recovery support services and medical and mental health services;
- Provision of naloxone to reverse opioid overdoses
- Educational materials, including information about safer injection practices, overdose prevention and reversing a opioid overdose with naloxone, HIV and viral hepatitis prevention, treatment and care services, and mental health and substance use disorder treatment including medication-assisted treatment and recovery support services;
- Condoms to reduce sexual risk of sexual transmission of HIV, viral hepatitis, and other STDs;
- Communication and outreach activities; and
- Planning and non-research evaluation activities.

Footnotes:

NOT FINAL

Environmental Factors and Plan

Syringe Services (SSP) Program Information-Table A

Syringe Services Program SSP Agency Name	Main Address of SSP	Dollar Amount of SABG funds used for SSP	SUD Treatment Provider	Number Of Locations (include mobile if any)	Narcan Provided
No Data Available					

Footnotes:

NOT FINAL

Environmental Factors and Plan

Syringe Services (SSP) Program Information-Table B

[Please enter total number of individuals served]

Syringe Service Program Name	# of Unique Individuals Served		HIV Testing	Treatment for Substance Use Conditions	Treatment for Physical Health	STD Testing	Hep C
	0	ONSITE Testing	0	0	0	0	0
		Referral to testing	0	0	0	0	0

Footnotes:

NOT FINAL

Environmental Factors and Plan

24. Public Comment on the State Plan - Required

Narrative Question

Title XIX, Subpart III, section 1941 of the PHS Act (42 U.S.C. § 300x-51) requires, as a condition of the funding agreement for the grant, states will provide an opportunity for the public to comment on the state block grant plan. States should make the plan public in such a manner as to facilitate comment from any person (including federal, tribal, or other public agencies) both during the development of the plan (including any revisions) and after the submission of the plan to SAMHSA.

Please respond to the following items:

1. Did the state take any of the following steps to make the public aware of the plan and allow for public comment?
- a) Public meetings or hearings? ☒ Yes ☐ No
- b) Posting of the plan on the web for public comment? ☒ Yes ☐ No
- If yes, provide URL:
<http://ldh.la.gov/index.cfm/page/100>
- c) Other (e.g. public service announcements, print media) ☐ Yes ☒ No

Footnotes: