

SCHOOL OF PUBLIC HEALTH AND TROPICAL MEDICINE

Department of Health Policy and Management

January 2023

1115 Waiver (SUD) Evaluation Annual Report (DY5)

This report contains a summary of activity on the 1115 Waiver (SUD) Evaluation project from January 2022 – December 2022.

PROJECT ACTIVITIES

During the period defined in this report, Tulane continued regular monthly meetings with its research team and quarterly meetings with LDH to ensure consistent project coordination. The research team continued their work on the three sub-analyses: Cost, Quantitative, and Qualitative. Tulane also collaborated with LDH on revisions to the Interim Evaluation Report based on guidance from CMS over a series of weekly meetings held throughout the second half of the year.

January – March 2022

The January Tulane research team internal meeting and the first quarterly meeting with LDH scheduled for January 11th were both cancelled because there were no new agenda items to discuss.

The February Tulane research team internal meeting scheduled for February 8th was cancelled, and the standing meeting was rescheduled at a time that does not conflict with teaching schedules.

During the March Tulane research team internal meeting held on May 14th, each lead researcher provided an update on their analysis and shared what information, or elements were still in process. The following item was discussed:

- The lead quantitative researcher is working towards generating a template for collecting the next DY data set from LDH and ULM, to standardize the inputs.

As of March 31st, the following items have been received from LDH:

- 1115 SUD Demonstration Waiver Administrative Cost Report – CY21 – December
- Metrics #26 and #27 Monthly Data 2017-2020
- 1115 SUD Demonstration Waiver Administrative Cost Report – CY22 – January
- 1115 SUD Demonstration Waiver Administrative Cost Report – CY22 – February

April – June 2022

The April Tulane research team internal meeting scheduled for April 11th and the second quarterly meeting with LDH scheduled for April 12th were both cancelled as there were no new agenda items to discuss. Progress on the three sub-analyses continued.

During the May Tulane research team internal meeting held on May 9th, each lead researcher provided an update on their analysis and shared what information, or elements were still in process. The following item was discussed:

- The lead quantitative researcher is finalizing a template for collecting the next demonstration year data set from LDH and ULM, to standardize the inputs.

Other topics also discussed were:

- The deadline for the Year 4 Results Report was established and the researchers aimed to complete the individual analyses by the end of August.
- LDH has initiated the process of renewing the 1115 Waiver SUD evaluation for another 5-year period.
- Exploring data storage options at the University level.

The June Tulane research team internal meeting scheduled for June 13th was cancelled as there were no new agenda items to discuss. Progress on the three sub-analyses continued.

As of June 30th, the following items were received from LDH:

- 1115 SUD Demonstration Waiver Administrative Cost Report – CY22 – March
- 1115 SUD Demonstration Waiver Administrative Cost Report – CY22 – April
- 1115 SUD Demonstration Waiver Administrative Cost Report – CY22 – May

July – September 2022

The July Tulane research team internal meeting scheduled for July 11th and the third quarterly meeting with LDH scheduled for July 12th were both cancelled as there were no new agenda items to discuss. Progress on the three sub-analyses continued.

During the August Tulane research team internal meeting held on August 22nd, each lead researcher provided an update on their analysis and shared what information, or elements were still needed. The following items were discussed:

- The Cost Analysis results are up to date but pending inputs from the Quantitative Analysis to finalize.
- The lead quantitative researcher is awaiting the 2021 annual data to be updated in the template provided to LDH.

A meeting with LDH was held on August 23rd to discuss revising the Interim Evaluation Report per guidance from CMS. The following topics were discussed:

- LDH received feedback from CMS on the Interim Evaluation Report and a revised version was requested before October 12th.

- LDH requested that Tulane submit their revisions by September 16th for input from LDH
- Feedback from CMS clarified that the comments were recommendations, and not required if not applicable. They would like to see a redlined copy of the changes and where comments were addressed.
- LDH scheduled weekly standing meetings to monitor progress on the revisions.

The second Interim Evaluation Report Revision meeting was held on August 30th. The following topics were discussed:

- All parties agreed to postpone addressing the summative report suggestions as this report may not be required if a continuation of the waiver is granted.
- LDH identified an issue with the database tables for Metrics 13 and 14. The tables had not been updated by the vendor since August 2021. There was no timeline available for when this would be corrected.
- LDH will send the DY 4 metric data in monthly format this week.

The third Interim Evaluation Report Revision meeting was held on September 6th. The following topics were discussed:

- Impacts of COVID surges on accessed care.
- Source of DATA-certified physicians totals for Metrics 13 and 14.
- Specialized Behavioral Health fee schedule was used as minimum by the managed care facilities. There is not a standardized fee schedule.

During the September Tulane research team internal meeting held on September 12th, each lead researcher provided an update on their analysis and shared what information, or elements were still needed. The following items were discussed:

- The Cost Analysis results were up to date, but still required inputs from the Quantitative Analysis.
- The lead quantitative researcher is awaiting the 2021 annual data to be updated in the template provided to LDH.

Also discussed was the deadline for DY4 Results Report. Researchers were unable to complete their analyses by the end of August as originally planned. The results report was put on hold until all data was received, and the revisions to the Interim Evaluation Report finished.

The fourth Interim Evaluation Report Revision meeting was held on September 27th. The following topics were discussed:

- Revisions made to the comments and methods sections since the last meeting.
- Tulane will send a final draft for LDH review on September 29th.

As of September 30th, the following items have been received from LDH:

- 1115 SUD Demonstration Waiver Administrative Cost Report – CY22 – June

- 1115 SUD Demonstration Waiver Administrative Cost Report – CY22 – July
- CMS comments on Louisiana Interim Evaluation Report
- 2021 Metric Data in monthly format
- 1115 SUD Demonstration Waiver Administrative Cost Report – CY22 – August

October-December 2022

The fifth Interim Evaluation Report Revision meeting was held on October 4th. The following topics were discussed:

- LDH was in the process of assembling comments from their team on the latest draft, and planning to send them to Tulane by Thursday, October 6th.
- The Tulane lead researcher planned to send the final revisions by October 10th.

The October Tulane research team internal meeting scheduled for October 10th was cancelled as there were no new agenda items to discuss, and work on the Interim Evaluation Report Revision was concluding.

The sixth Interim Evaluation Report Revision meeting was held on October 11th. The following topic was discussed:

- The Tulane lead researcher had submitted the final, red-lined version of the Interim Evaluation Report with revisions incorporated to LDH. He also sent a CMS Reviewer Comments Table.

The November Tulane research team internal meeting scheduled for November 14th was cancelled as there were no new agenda items to discuss. Work on the DY 4 Results Report continued.

The December Tulane research team internal meeting scheduled for December 12th was cancelled as there were no new agenda items to discuss. Work on the DY 4 Results Report continued.

As of December 31st, the following items were received from LDH:

- 1115 SUD Demonstration Waiver Administrative Cost Report – CY22 – September
- 1115 SUD Demonstration Waiver Administrative Cost Report – CY22 – October
- 1115 SUD Demonstration Waiver Administrative Cost Report – CY22 – November

Status of analysis by topic – December 31, 2022

Quantitative Analyses (Lead Researcher: Dr. Kevin Callison): The Quantitative Analyses through 2021 were completed for all metrics. The results will be presented in the Demonstration Year 4 Results Report.

Cost Analyses Report (Lead researcher: Dr. Charles Stoecker): The Cost Analyses through 2021 have been completed and results will be presented in the Demonstration Year 4 Results Report. Care Coordination (Lead researcher: Dr. Janna Wisniewski): The Care Coordination Analyses through 2021 have been completed and results will be presented in the Demonstration Year 4 Results Report.

DELIVERABLE STATUS

Quarterly and Annual Reports: Quarterly reports have been submitted on deadline and have summarized the evaluation feedback process with LDH and CMS. Quarterly reports for DY5 Q1, DY5 Q2, and DY5 Q3 have been invoiced to date.

Final Interim Evaluation Report: The final version of the Interim Evaluation Report was submitted to LDH on 10/11. The report was revised according to feedback from CMS and LDH.

Data reports: The Demonstration Year 4 Data Analyses Reports are in the final stages of composition. The submission of these reports was postponed due to the delay in receipt of the Year 4 data set, and the time commitment required to work on the Interim Evaluation Report revisions.

USE OF FUNDS

Funds have been used for this project to cover faculty and staff time to coordinate activity within Tulane and with LDH, and to develop reports and associated deliverables.

Respectfully submitted,



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