

Performance Measure #	Performance Measures	Frequency	Discovery Activity	Incentive-Based Measure	1915c/1915b3 Measure	1915i Measure
LOC1	Number and percent of new enrollees who meet the level of care requirements prior to receipt of services	Quarterly	100% review; SMO data system		X	X
LOC2	Number and percent of participants whose level of care determination forms/instruments were completed timely as required by the state	Quarterly	Representative sample; onsite record review		X	X
LOC3	Number and percent of participants whose level of care determination forms/instruments were completed correctly	Quarterly	Representative sample; onsite record review		X	X
LOC4	Number and percent of level of care determinations made by a qualified evaluator	Quarterly	Representative sample; onsite record review		X	X
QP1	Number and percent of providers initially meeting licensing, training, and/or certification requirements prior to furnishing waiver services	Quarterly	100% review; SMO data system		X	X
QP2	Number and percent of providers continuously meeting licensing and/or certification requirements	Quarterly	100% review; SMO data system		X	X
QP3	Number and percent of non-licensed direct care staff of providers that meet State requirements	Quarterly	100% review; provider agency report		X	X
QP4	Number and percent of providers meeting ongoing training requirements	Quarterly	100% review; SMO data system		X	X
POC1	Number and percent of participants whose plan of care reflects supports and services necessary to address the participant's goals	Quarterly	Representative sample; onsite record review		X	X
POC2	Number and percent of participants whose services plans include supports and services consistent with assessed needs, including risks	Quarterly	Representative sample; onsite record review		X	X
POC3	Number and percent of participants who participated in the plan of care development, as documented by the participant/authorized representative's signature on the plan of care	Quarterly	Representative sample; onsite record review			X

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POC3C	Number and/or percent of children/youth who participated in the plan of care development, as documented by the child/youth's and parents/caregiver's signature on the plan of care	Quarterly	Representative sample; onsite record review		X	
POC4	Number and percent of participants whose plans of care were updated timely, as specified in the waiver application	Quarterly	100% review; SMO data system		X	X
POC5	Number and percent of participants whose plan of care was updated when the participant's needs changed	Quarterly	Representative sample; onsite record review		X	X
POC6	Number and percent of participants who received services in the type, amount, duration, and frequency specified in the plan of care	Quarterly	Representative sample; onsite record review		X	X
POC7	Number and percent of participants given a choice among service providers, as documented by the participant/authorized representative's signature on the State-approved form	Quarterly	Representative sample; onsite record review		X	X
POC8	Number and percent of participants who received information on available HCBS, as documented by the participant/authorized representative's signature on the State-approved form	Quarterly	Representative sample; onsite record review		X	X
HW1	Number and percent of abuse, neglect, exploitation, death investigations that were completed within the required timeframes	Quarterly	100% review; SMO data system		X	X
HW2	Number and percent of critical incidents that were investigated within the established timeframe	Quarterly	100% review; SMO data system		X	X
HW3	Number and percent of participants who received information about how to report critical incidents, as documented by the participant/authorized representative's signature on the State-approved form	Quarterly	Representative sample; onsite record review		X	X
HW4	Number and percent of providers that have received	Quarterly	100% review;		X	X

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	training in de-escalation techniques without the use of restraints or seclusion		provider agency report			
HW5	Number and percent of participants who received coordination and support with accessing health care services identified in their plan of care	Quarterly	Representative sample; onsite record review		X	X
FA1	Number and percent of paid claims that contained the appropriate billing unit, procedure code, and modifier	Quarterly	100% review; SMO data system		X	X
FA2	Number and percent of paid claims that contained appropriate documentation to support payment	Quarterly	Representative sample; onsite record review		X	X
FA3	Number and percent of claims that paid in accordance with the approved rate contained in the waiver application	Quarterly	100% review; SMO data system		X	X
HEDIS1	Antidepressant Medication Management	Annually	Per HEDIS technical specifications	X		
HEDIS2	Follow-Up After Hospitalization for Mental Illness	Annually	Per HEDIS technical specifications	X		
HEDIS3	Initiation and Engagement of Alcohol and Other Drug Dependence	Annually	Per HEDIS technical specifications	X		
HEDIS4	Follow-Up Care for Children Prescribed ADHD Medication	Quarterly	Per HEDIS technical specifications	X		
HEDIS5	Adherence to Antipsychotic Medications for Individuals with Schizophrenia	Quarterly	Per HEDIS technical specifications	X		
TJC1	Percent of patients who identified with alcohol or drug use disorder who received a prescription for an FDA approved medication for alcohol or drug use disorder or	Quarterly	Per The Joint Commission technical	X		

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	a referral for addictions treatment		specifications			
TJC2	Percent of patients who screened positive for unhealthy alcohol use or who received a diagnosis of alcohol or drug disorder during their inpatient stay, who are contacted between 7 and 30 days after hospital discharge and follow-up information regarding their alcohol or drug use status post discharge is collected	Quarterly	Per The Joint Commission technical specifications	X		

\*Performance measures, including reporting frequencies and discovery activities, are subject to change as per CMS and/or OBH.