1115 Waiver (SUD) Evaluation Quarterly Report (DY3, Q2)

Project Activities
During the period defined in this report, Tulane continued regular monthly meetings with its research team and quarterly meetings with LDH to ensure consistent project coordination. Tulane continued to work with LDH to finalize the multi-year evaluation contract, which was completed during this period.

April
The April Tulane internal meeting was held on April 13th. Each lead researcher shared what information or elements were still needed to complete the analyses. The following preliminary list was compiled:
- Format or guidelines for the Analysis Results Reports

The following additional topics were discussed:
- Status of Baseline and Year 1 data analysis results
  - All baseline results and partially year 1 results will be available
- STC 23 Mid-point Assessment
- IRB application submission status
- Status of SUD contract execution

The third quarterly meeting with LDH and Tulane was held on April 13th, following the Tulane internal meeting. The needs and goals defined in the internal meeting were discussed with the LDH team and the following outcomes were documented:
- LDH has requested guidelines from CMS for the analysis results reports, but does not have any information to share at this meeting
- STC 23 Mid-point assessment
  - Tulane’s internal subgroup will set up a meeting with key LDH team members after their meeting with CMS on April 22nd

During the meeting, the following updates were provided by LDH:
- MCO Improvement Projects have been suspended by CMS until further notice
o This was a project in place for about a year and was having good results but was suspended a month ago due to Covid-19 response.
  o Candace can provide additional information as needed

- CMS returned the monitoring protocol with revisions and LDH is working on implementing those revisions before returning to CMS. They will keep us updated on this process.
  o One of the major revisions requested by LDH was to shift the reporting timeline to calendar year quarters rather than demonstration year quarters.

**May**
The May Tulane internal meeting was held on May 11th. The following topics were discussed:
- Status of Baseline and Year 1 data analysis results
  o Baseline analysis completed on 4/30
  o Analysis of Year 1 results is ongoing
- STC 23 Mid-point Assessment
  o Kick-off meeting with LDH was held on 4/27
  o Internal Tulane team will meet on 5/13
- IRB application submission status
- Status of SUD contract execution
  o Received Certification of Approval from LDH, fully executed contract from LSU is pending

**June**
The Tulane team met internally on June 26th to review materials provided by LDH. The goal of this meeting was to gain clarity surrounding the methodology of how each metric is measured within the evaluation versus the CMS technical specifications. The results of the document review will be discussed at the next Tulane and LDH meeting on 7/13.

As of June 30th, the following items have been received from LDH:
- Monthly Administrative Costs are being received on the third Monday of every month
- Reports submitted to CMS: DY2Q3, DY2Annual, and DY3Q1
- Section 1115 Substance Use Disorder (SUD) Service Utilization Metrics # 7-12
- SUD Implementation Plan Attachment A

**Status of analysis by topic – June 30th, 2020**
**Quantitative Analyses** (Lead Researcher: Dr. Kevin Callison)
- The baseline data analyses have been completed
- Three quarters of the year 1 data analyses have been completed

**Cost Analyses Report** (Lead researcher: Dr. Charles Stoecker):
- The baseline and year 1 data analyses have been completed
- Monthly administrative costs will continue to be received on the 3rd Monday of every month

**Care Coordination** (Lead researcher: Dr. Janna Wisniewski):
- Survey Results Report has been completed for both baseline and year 1

**DELIVERABLE STATUS**

**Quarterly and Annual Reports:** Quarterly reports have been submitted on deadline and have summarized the evaluation feedback process with LDH and CMS.

**Data reports:** The Baseline and Year 1 Data Analysis Reports were submitted on July 1st, 2020.

**STC 23:** The Mid-point assessment Interim Report was submitted on July 1st, 2020.

**USE OF FUNDS**

Funds have been used for this project to cover faculty and staff time to develop the initial SUD evaluation plan, define the work timetables once the evaluation plan was approved; coordinate activity with within Tulane and with LDH, and to develop reports and associated deliverables.

Respectfully submitted,

Mark L. Diana, PhD
Associate Professor & Chair
Drs. W.C. Tsai and P.T. Kung Professor in Health Systems Management
504.988.5359 (V)
mdiana@tulane.edu