

REQUEST FOR PROPOSALS

RESIDENTIAL FACILITY STAFFING FOR
MENTAL HEALTH CLIENTS

SOUTHEAST LOUISIANA HOSPITAL
TRANSITIONAL HOUSING
OFFICE OF BEHAVIORAL HEALTH
DEPARTMENT OF HEALTH AND HOSPITALS

RFP # 305PUR-DHHRFP-SELA-OBH

Proposal Due Date/Time: February 11, 2011 / 4:00 pm CST

Release Date: January 11, 2011

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Glossary

ADL: Activities of Daily Living

DHH: Department of Health and Hospitals

IADL: Instrumental Activities of Daily Living

Must: Denotes a mandatory requirement

OBH: Office of Behavioral Health

RSD: Residential Services Director

Redacted Proposal: The removal of confidential and/or proprietary information from one copy of the proposal for public records purposes.

SELH: Southeast Louisiana Hospital

Shall: Denotes a mandatory requirement

Should, May, Can: Denotes a preference, but not a mandatory requirement

Will: Denotes a mandatory requirement

I. GENERAL INFORMATION

A. Background

1. The mission of the Department of Health and Hospitals (DHH) is to protect and promote health and to ensure access to medical, preventive, and rehabilitative services for all citizens of the State of Louisiana. The Department of Health and Hospitals is dedicated to fulfilling its mission through direct provision of quality services, the development and stimulation of services of others, and the utilization of available resources in the most effective manner.
2. DHH is comprised of Medical Vendor Administration (Medicaid), Office for Citizens with Developmental Disabilities, Office of Behavioral Health, Office of Aging and Adult Services, and the Office of Public Health. Under the general supervision of the Secretary, these principal offices perform the primary functions and duties assigned to DHH.
3. DHH, in addition to encompassing the program offices, has an administrative office known as the Office of the Secretary, a financial office known as the Office of Management and Finance, and various bureaus and boards. The Office of the Secretary is responsible for establishing policy and administering operations, programs, and affairs.
4. Southeast Louisiana Hospital (SELH) began operations in 1952. It is owned and operated by the State of Louisiana. Though historically SELH has primarily been an inpatient psychiatric hospital, it has evolved to reflect more current best practices and the desire to offer treatment and support in community type settings. In 1998, SELH was awarded funding through a grant with the U.S. Department of Housing and Urban Development (HUD) to open and operate two transitional housing programs for persons who experience chronic mental illness and who are designated homeless under the HUD definition of homelessness. Continuation of grant funding and the continuing operation of transitional housing both serve as the basis for this RFP.

B. Purpose of RFP

1. The purpose of this RFP is to solicit proposals from qualified proposers to provide supervisory and direct care personnel for the 24 hour per day, 365 days per year operation of two transitional houses. SELH will contract with one provider to provide those services.
2. A contract is necessary to secure services and assure contractor compliance with standards set by DHH, HUD, SELH, and to follow through with stated directives and operational guidelines as presented via e-mail, memos, phone calls and/or face to face meetings by the SELH Residential Services Director. The care and supervision of the clients and of program expectations for

services are outlined in the RFP. The primary role of the selected proposer will be to provide staff to perform the services described herein.

C. Invitation to Propose

DHH, through its Office of Behavioral Health and Southeast Louisiana Hospital, is inviting qualified proposers to submit proposals for services which will provide 24 hour staff coverage, 7 days per week, as well as supervision of those services and management of the aforementioned group homes, in accordance with the specifications set forth herein.

D. RFP Coordinator

1. Requests for copies of the RFP and written questions or inquiries must be directed to the RFP coordinator listed below:

Ken Portal
Acting COO
Office of Behavioral Health/Southeast Louisiana Hospital
Department of Health and Hospitals
P.O. Box 3850
Mandeville, LA 70470
Telephone Number: (985) 626- 6551
Fax Number (985) 626-6658
Email: ken.portal@la.gov

2. This RFP is available in pdf at the following weblinks:
<http://www.dhh.louisiana.gov/publications.asp?ID=1&CID=25> and
<http://wwwprd.doa.louisiana.gov/OSP/LaPAC/bidlist.asp?department=4>
3. All communications relating to this RFP must be directed to the DHH RFP contact person named above. All communications between Proposers and other DHH staff members concerning this RFP shall be strictly prohibited. Failure to comply with these requirements shall result in proposal disqualification.

E. Proposer Inquiries

1. The Department will consider written inquiries regarding the requirements of the RFP or Scope of Services to be provided before the date specified in the Schedule of Events. To be considered, written inquiries and requests for clarification of the content of this RFP must be received at the above address or via the above fax number or email address by the date specified in the Schedule of Events. Any and all questions directed to the RFP coordinator will be deemed to require an official response, and a copy of all questions and answers will be posted by the date specified in the Schedule of Events to both of the following web links:
<http://www.dhh.louisiana.gov/publications.asp?ID=1&CID=25> and
<http://wwwprd.doa.louisiana.gov/OSP/LaPAC/bidlist.asp?department=4>

2. Action taken as a result of verbal discussion shall not be binding on the Department. Only written communication and clarification from the RFP Coordinator shall be considered binding.

F. Pre-Proposal Conference

Not required for this RFP.

G. Schedule of Events

DHH reserves the right to deviate from this Schedule of Events

Schedule of Events	
Public Notice of RFP	January 11, 2011
Deadline for Receipt of Written Questions	January 18, 2011 4:00 pm CST
Response to Written Questions	January 25, 2011
Deadline for Receipt of Written Proposals	February 11, 2011 4:00 pm CST
Proposal Evaluation Begins	February 12, 2011
Contract Award Announced	February 24, 2011
Contract Negotiations Begin	February 24, 2011
Contract Begins	April 1, 2011

H. RFP Addenda

In the event it becomes necessary to revise any portion of the RFP for any reason, the Department shall post addenda, supplements, and/or amendments to all potential proposers known to have received the RFP. Additionally, all such supplements shall be posted at the following web addresses:

<http://www.dhh.louisiana.gov/publications.asp?ID=1&CID=25> and
<http://wwwprd.doa.louisiana.gov/OSP/LaPAC/bidlist.asp?department=4>

II. SCOPE OF WORK

A. Project Overview

The Contractor will provide structured in-home activities to instruct resident clients on independent living skills in order to prepare them for independent living. These services will include, but are not limited to, instruction in Activities of Daily Living (ADL's), home safety, personal management, memory/orientation, money management, transportation, social skills, leisure and recreation, job placement, health, and safety.

The target result of this contract will be for each client resident's score to significantly increase in 3 of the 5 areas listed on the Independent Living Skills

(ILS) chart. Ideally, client functioning will increase to a level of self-determination by obtaining employment, if able to work, securing entitlement benefits, and completing the program within a 2 year timeframe.

The Contractor selected for this project will be an organization that should have at least 3 years of experience in providing services to clients diagnosed with a mental illness, but preferably five, prior to proposal receipt date

Two transitional homes, located on the SELH campus, will be utilized for transitional housing. One home will be for 6 adult males and the other will be for 6 adult females, for a maximum total of 12 clients served at any one time. SELH shall provide the homes, maintenance on the homes (not including housekeeping), and all utilities to the home (excluding cable and internet but including a house phone).

B. Deliverables

Required services shall include the provision of qualified, trained staff 24 hours per day, 365 days per year (366 during leap year) for the supervision of the residents of two group homes in compliance with all applicable standards and operations as directed by the SELH RSD in compliance with HUD and DHH/Bureau of Health Standards/ARC home standards. . Coverage shall include any facility evacuations SELH may experience, both in place on the SELH campus and away, including possible evacuations to East Louisiana State Hospital in Jackson, LA.

The Contractor shall provide the following personnel:

1. A total of 4 Residential Assistants, 40 hours per week for each position (2 individuals assigned per home who are scheduled to fill each 8 hour shift with 1 assistant per home per shift, excluding Home Manager's assigned shift), Mondays through Fridays.
2. 4 Part-Time Residential Assistants, 24 hours per week for each position (2 per home to fill each 12 hour weekend shift with 1 assistant per home per shift);
3. 2 Service Coordinators/Case Managers, 40 hours per week for each position (1 for each home) to provide case management, in-home structured activities, and employment support services;
4. 2 Residential Supervisors/Home Managers, 40 hours per week for each position (1 per home to ensure staff coverage 24 hours per day, year round and to provide general day to day oversight and supervision of the home and house staff). These positions will also provide coverage of an assigned shift and assure coverage of all shifts.

The Contractor shall provide staff with the following minimum qualifications:

Residential Assistants:

- (a) High school diploma or G.E.D.

(b) One year (prior to proposal receipt date) of relevant experience providing mental health services, counseling, or social services to persons with mental illness,

OR

(c) A Bachelor of Science in Sociology, Psychology, or Human Service Counseling,

Plus: Over 18 years of age

Current valid driver's license,

Availability to work a variety of shifts, including nights, weekends and holidays.

Residential Assistants shall, at a minimum, provide the following services:

- Assist clients with ADL's
- Provide skills training as specified on individual care plans
- Assist in the planning and preparation of meals
- Assure that meals are prepared according to posted menus
- Assist in completion of household chores
- Escort clients to planned leisure activities
- Assure safety of the home
- Transport residents to medical appointments, family visits, employment sites, and to allow clients to address other personal matters

Service Coordinators/Case Managers:

(a) High school diploma or G.E.D.

(b) Two years (prior to proposal receipt date) of professional level experience providing mental health services, counseling, case management, or social services to persons with mental illness,

OR

(c) A Bachelor of Science in Sociology, Psychology, or Human Service Counseling,

Plus: Over 18 years of age,

Ability to formulate individualized care plans and assure skills training provided on a daily basis is in accordance with individualized plan,

Ability to transport residents to medical and family visits, and to employment services,

Current valid driver's license,

Ability to assist residents in securing job placement.

Service Coordinators/Case Managers shall, at a minimum, provide the following services:

- Formulate individualized care plans and assure skills training on a daily basis in accordance with those plans
- Coordinate appointments with Home Managers

- Transport residents to medical appointments, family visits, employment sites, and to allow clients to address other personal matters
- Assist residents with job placement
- Assist residents with managing their personal financial matters, including securing permanent community housing when necessary
- Assist with discharge readiness and placement
- Be available by cell phone as needed
- Be available to occasionally work any other shifts as needed, including weekends and holidays, in order to assure coverage
- Accept other assigned duties as direct care staff as necessary

Residential Supervisors/Home Managers:

- (a) High school diploma or G.E.D.
- (b) Two years (prior to proposal receipt date) of professional level experience providing Mental Health services, counseling, or social services to persons with mental illness,
- OR
- (c) A Bachelor of Science in Sociology, Psychology, or Human Service Counseling,

Plus: Over 18 years of age,
 A willingness to work primarily during the evenings or weekdays,
 Availability for on call assignment with advance notice,
 Availability to work any other shifts as needed, including weekends and holidays in order to assure coverage,
 Current valid driver's license

Residential Supervisors/Home Managers (one for each transitional home) shall, at a minimum, provide the following services:

- Provide direct care services for forty (40) hours per week.
- Chart service delivery in accordance with standards of SELH and the Louisiana Department of Health and Hospital , Bureau of Health Standards, Adult Residential Care minimum standards
- Be available to work any other shifts as needed, including weekends and holidays in order to assure coverage.
- Provide ongoing daily training and structured activities teaching independent living skills in the home. Schedule, escort, and provide transportation to leisure, recreational and other community events, activities, and resources when necessary.
- Schedule the Residential Assistants provided by the contractor to ensure coverage for the program and delivery of services 24 hours per day, year round.
- Supervise and monitor services provided by Residential Assistants and Case Managers.
- Schedule fire drills and residents' meetings according to licensing and State Fire Marshall standards, and complete required documentation.

- Maintain all required in home documents (i.e. menus, log books, incident reports, travel and mileage logs.)
- Transport residents to medical appointments, family visits, employment sites, and to allow clients to address other personal matters
- Submit all invoices for payment by the 15th day of the following month as designated for reimbursement.
- Provide necessary training as outlined in DHH standards.
- Assure home safety and cleanliness (monitor fire extinguishers, inspect medication areas, report home repair needs, oversee vehicle maintenance and upkeep)

Overall Contractor Responsibilities.

The Contractor shall provide the following services.

1. Prior to each employee providing services under the contract, the Contractor shall provide the SELH RSD with a personnel record which will include at a minimum, an employment application on an approved form, documentation of a criminal history check, high school diploma or GED, results of a P.P.D. test for tuberculosis, results of drug screening, at least one but preferably three references, and a valid driver's license.
2. Keep the SELH RSD informed of employee issues in order for SELH to make recommendations regarding employment/termination from this program with reasonable cause. SELH reserves the right to restrict use under this contract of any individual as it deems necessary and in the best interest of SELH.
3. Maintain the personnel record which will include documentation of orientation and training, performance evaluations (at least annually), and any disciplinary action), and provide these records to SELH upon request.
4. Provide SELH's RSD with a current organization chart identifying each employee, lines of authority, and shift assignments.
5. Inform SELH RSD of any unusual occurrences and changes regarding staffing. Critical incidents shall be reported immediately.
6. Staff assigned to work beyond their normal 40 hour work week, in order to cover absences/call-ins, must have prior approval from the SELH RSD.
7. Vacant positions must be filled within 60 days of notification of vacancy. Contractor must provide a plan of action to the SELH RSD for coverage during the period of vacancy within 2 days of notification of pending vacancy.
8. Assure that all staff attend the designated defensive driving training, as well as assure that all staff are aware of and familiar with all policies including the reporting of alleged abuse and critical incidents.
9. Assure that all staff complete annual training regarding policies and procedures as related to emergency and evacuation procedures, resident's rights, resident care services (ADLs and IADLs), infection control, blood borne pathogens, signs and symptoms of mental illness, and any specialized training to meet residents' needs. Contractor's employees shall sign a statement certifying that such training has occurred, and the Contractor shall provide the SELH RSD with a copy of the signed statement.

10. Provide SELH RSD with a monthly calendar of scheduled events and activities, including on- and off- grounds activities.
11. Assure that all policies and procedures are being followed as outlined by DHH, HUD, and SELH.
12. Assure clients are engaged in active treatment and that treatment plans are being followed. Provide documentation to SELH RSD as requested.
13. SELH RSD shall be informed of all incidences regarding clients, staff, or facility. Completed incident report forms shall be submitted within 24 hours to the SELH RSD. Minimum examples would be clients not returning from outings or being otherwise missing, client or staff allegations or accidents, unrest at the homes, maintenance problems, etc., or any minor problems which SELH should be made aware of.
14. Contractor shall provide the SELH RSD with the name and contact information of a qualified professional at an administrative level who will act as liaison with SELH. This individual will be available to facilitate the contract agreement through problem solving and handling of emergencies during normal business hours, after hours, including nights, weekends, and holidays. Said on-call representative must respond to calls within 30 minutes of receipt.
15. Contractor shall ensure upkeep of any State provided, or leased, vehicle assigned to this program. This will include washing, oil changes, inspection stickers, and maintenance. Fueling will be the responsibility of the Contractor.
16. Contractor shall submit invoices in a timely manner on a monthly basis. Invoiced items shall be consistent with approved budgetary lines. Invoice payments shall be on a cost reimbursement basis. All claimed costs must be documented and said documentation shall be submitted as part of the invoice submission.
17. Services provided by Contractor shall meet all required standards of DHH, HUD, SELH, and any other pertinent agencies.
18. Contractor shall assign an individual to serve as the Contract Program Director/Project Sponsor and propose the number of work hours this individual will be budgeted for in order to address administrative issues.

The duties of this individual shall be, at a minimum, as follows:

- (a) Assure house operations are functioning within appropriate regulatory standards
- (b) Assure all operational documentation is in place according to above standards
- (c) Assure individual client budgets are maintained and that spending is appropriate as stated in the approved plan of care
- (d) Assure staff are properly trained in accordance with all relevant requirements and standards, and within specified timeframes
- (e) Assure all house documents are accurately recorded and maintained (i.e. fire drills, resident meetings, etc.)
- (f) Assure all invoices, logs, and other dated reports and documents are submitted to the SELH RSD in a timely manner

- (g) Assure living quarters are kept in good repair (make sure all maintenance requests are submitted in a timely manner to the SELH RSD)
 - (h) Assure program operations are fully staffed at all times (maintain communications with SELH RSD regarding staffing and any changes)
 - (i) Assure that each client's personal well being, social, and psychological needs are being met
 - (j) Maintain open communication with the SELH RSD to relay specifics regarding client activities and extraordinary events in the houses as they pertain to programmatic activities of all clients, including medical, social, psychological, and financial issues
 - (k) Complete monthly checks/audits of the homes and their logs, records, etc. to assure accuracy and prevent discrepancies
 - (l) Assure pre-employment processes are being completed properly, and ensure all personnel files are complete, current, and in compliance with all relevant requirements and standards
 - (m) Be available after normal hours to address problems when necessary or when they are not able to be resolved by other contracted staff (may serve as the contractor's liaison and on-call person)
 - (n) Submit requests to the SELH RSD for approval of each and every pending placement, admission, and discharge of clients to and from the program, and shall submit such requests to the SELH RSD prior to discussions with clients.
19. Contractor shall agree to serve as representative payee when necessary for client to receive entitlement benefits.

C. Liquidated Damages

1. In the event the Contractor fails to meet the performance standards specified within the contract, the liquidated damages defined below may be assessed. If assessed, the liquidated damages will be used to reduce the Department's payments to the Contractor or if the liquidated damages exceed amounts due from the Department, the Contractor will be required to make cash payments for the amount in excess.
 - a. Late submission of any required report - \$50 per working day, per report.
 - b. Failure to fill vacant contractually required key staff positions within 60 days - \$500 per working day from 61st day of vacancy until filled with an employee approved by the Department.
 - c. Failure to maintain all client files and perform all file updates according to the requirements in the contract, as evidenced in client files when reviewed during monitoring site visit - \$100 per client.
 - d. Late submission of invoices beginning 10 business days after the stated due date - \$50 per working day per invoice.
2. The decision to impose liquidated damages shall be at the discretion of SELH or DHH with consideration given of all of the following factors:
 - a. The duration of the violation;

- b. Whether the violation (or one that is substantially similar) has previously occurred;
- c. The Contractor's history of compliance;
- d. The severity of the violation and whether it imposes an immediate threat to the health or safety of the consumers;
- e. The "good faith" exercised by the Contractor in attempting to stay in compliance.

D. Fraud and Abuse

1. The Contractor shall have internal controls and policies and procedures in place that are designed to prevent, detect, and report known or suspected fraud and abuse activities.
2. Such policies and procedures must be in accordance with state and federal regulations. Contractor shall have adequate staffing and resources to investigate unusual incidents and develop and implement corrective action plans to assist the Contractor in preventing and detecting potential fraud and abuse activities.

E. Technical Requirements

The Contractor must maintain hardware and software compatible with current DHH requirements which are as follows:

- IBM compatible PC,
- Pentium 4, Celeron or equivalent processor (or compatible successors),
- 2 Gig of RAM memory,
- Enough spare USB ports to accommodate thumb drives, etc.
- 10 Gig free hard drive space (suggest 80 Gig hard drive for the system);
- Ethernet LAN interface for laptop and desktop PCs
- Color monitor;
- Printer compatible with hardware and software required;
- High speed internet with email;
- CD ROM;
- Windows XP, SP3 or later version of operating system (minimum);
- Windows Internet Explorer 7.0 (or later)
- Microsoft Office 2003 or later;
- Appropriate firewalls for internet security.
- Compliant with industry-standard physical and procedural safeguards for confidential information (NIST 800-53A, ISO 17788, etc.).

F. Subcontracting

The Contractor shall not contract with any other party for furnishing any of the work and professional services required by the contract without the express prior written approval of the Department. The Contractor shall not substitute any subcontractor without the prior written approval of the Department. For subcontractor(s), before commencing work, the Contractor will provide letters of

agreement, contracts or other forms of commitment which demonstrates that all requirements pertaining to the contractor will be satisfied by all subcontractors through the following:

- a. The subcontractor(s) will provide a written commitment to accept all contract provisions.
- b. The subcontractor(s) will provide a written commitment to adhere to an established system of accounting and financial controls adequate to permit the effective administration of the contract.

G. Insurance Requirements

Insurance shall be placed with insurers with an A.M. Best's rating of no less than A-: VI. This rating requirement shall be waived for Worker's Compensation coverage only.

1. Contractor's Insurance

The Contractor shall not commence work under this contract until it has obtained all insurance required herein. Certificates of Insurance, fully executed by officers of the Insurance Company shall be filed with the Department for approval. The Contractor shall not allow any subcontractor to commence work on subcontract until all similar insurance required for the subcontractor has been obtained and approved. If so requested, the Contractor shall also submit copies of insurance policies for inspection and approval of the Department before work is commenced. Said policies shall not be canceled, permitted to expire, or be changed without thirty (30) days notice in advance to the Department and consented to by the Department in writing and the policies shall so provide.

2. Compensation Insurance

Before any work is commenced, the Contractor shall obtain and maintain during the life of the contract, Workers' Compensation Insurance for all of the Contractor's employees employed to provide services under the contract. In case any work is sublet, the Contractor shall require the subcontractor similarly to provide Workers' Compensation Insurance for all the latter's employees, unless such employees are covered by the protection afforded by the Contractor. In case any class of employees engaged in work under the contract at the site of the project is not protected under the Workers' Compensation Statute, the Contractor shall provide for any such employees, and shall further provide or cause any and all subcontractors to provide Employer's Liability Insurance for the protection of such employees not protected by the Workers' Compensation Statute.

3. Commercial General Liability Insurance

The Contractor shall maintain during the life of the contract such Commercial General Liability Insurance which shall protect Contractor, the Department, and any subcontractor during the performance of work covered by the contract from claims or damages for personal injury, including accidental

death, as well as for claims for property damages, which may arise from operations under the contract, whether such operations be by the Contractor or by a subcontractor, or by anyone directly or indirectly employed by either of them, or in such a manner as to impose liability to the Department. Such insurance shall name the Department as additional insured for claims arising from or as the result of the operations of the Contractor or its subcontractors. In the absence of specific regulations, the amount of coverage shall be as follows: Commercial General Liability Insurance, including bodily injury, property damage and contractual liability, with combined single limits of \$1,000,000.

4. Insurance Covering Special Hazards

Special hazards as determined by the Department shall be covered by rider or riders in the Commercial General Liability Insurance Policy or policies herein elsewhere required to be furnished by the Contractor, or by separate policies of insurance in the amounts as defined in any Special Conditions of the contract included therewith.

5. Licensed and Non-Licensed Motor Vehicles

The Contractor shall maintain during the life of the contract, Automobile Liability Insurance in an amount not less than combined single limits of \$1,000,000 per occurrence for bodily injury/property damage. Such insurance shall cover the use of any non-licensed motor vehicles engaged in operations within the terms of the contract on the site of the work to be performed thereunder, unless such coverage is included in insurance elsewhere specified.

6. Subcontractor's Insurance

The Contractor shall require that any and all subcontractors, which are not protected under the Contractor's own insurance policies, take and maintain insurance of the same nature and in the same amounts as required of the Contractor.

H. Contact Personnel

All work performed by the contract will be monitored by the contract monitor:

Joan C. Spraggins, MMT, MT-BC or her designee or successor
Residential Services Director
Office of Behavioral Health/Southeast Louisiana Hospital
Department of Health and Hospitals
P.O. Box 3850
Mandeville, LA 70470
Phone: (985) 626-6366
Email: Joan.Spraggins@LA.Gov

I. Term of Contract

The contract shall commence on or near the date approximated in the Schedule of Events. The initial term of this contract is for a total period of 15 months split up into two segments with each one representing a full or partial fiscal year (April 1, 2011 – June 30, 2011; and July 1, 2011 – June 30, 2012) After this initial evaluation period DHH reserves the right to either renew or not renew with or without cause. Subsequent renewals, if exercised, shall be for periods July 1, 2012 – June 30, 2013 and July 1, 2013 – March 31, 2014). In no case shall the contract exceed 36 months. The continuation of the contract is contingent upon the appropriation of funds by the legislature to fulfill the requirements of the contract.

J. Payment

The Contractor shall submit deliverables in accordance with established timelines and shall submit itemized invoices monthly or as defined in the contract terms. Payment of invoices is subject to approval of Joan C. Spraggins, Residential Services Director or her successor or designee.

III. PROPOSALS

A. General Information

This section outlines the provisions which govern determination of compliance of each proposer's response to the RFP. The Department shall determine, at its sole discretion, whether or not the requirements have been reasonably met. Omissions of required information shall be grounds for rejection of the proposal by the Department.

Proposals should address how the proposer intends to assume complete responsibility for timely performance of all contractual responsibilities in accordance with federal and state laws, regulations, policies, and procedures.

B. Contact After Solicitation Deadline

After the date for receipt of proposals, no proposer-initiated contact relative to the solicitation will be allowed between the proposers and DHH until an award is made.

C. Rejection and Cancellation

Issuance of this solicitation does not constitute a commitment by DHH to award a contract or contracts. The Department reserves the right to reject all proposals received in response to this solicitation.

In accordance with the provisions of R.S. 39:2182, in awarding contracts after August 15, 2010, any public entity is authorized to reject a proposal or bid from, or not award the contract to, a business in which any individual with an ownership interest of five percent or more, has been convicted of, or has entered a plea of guilty or nolo contendere to any state felony or equivalent federal felony crime committed in the solicitation or execution of a contract or bid awarded

under the laws governing public contracts under the provisions of Chapter 10 of Title 38 of the Louisiana Revised Statutes of 1950, professional, personal, consulting, and social services procurement under the provisions of Chapter 16 of this Title, or the Louisiana Procurement Code under the provisions of Chapter 17 of this Title.

D. Award Without Discussion

The Secretary of DHH reserves the right to make an award without presentations by proposers or further discussion of proposals received.

E. Assignments

Any assignment, pledge, joint venture, hypothecation of right or responsibility to any person, firm or corporation should be fully explained and detailed in the proposal. Information as to the experience and qualifications of proposed subcontractors or joint ventures should be included in the proposal. In addition, written commitments from any subcontractors or joint ventures should be included as part of the proposal.

F. Proposal Cost

The proposer assumes sole responsibility for any and all costs associated with the preparation and reproduction of any proposal submitted in response to this RFP, and shall not include this cost or any portion thereof in the proposed contract price

G. Errors and Omissions

The State reserves the right to make corrections due to minor errors identified in proposals by State or the proposer. The State, at its option, has the right to request clarification or additional information from the proposer.

H. Ownership of Proposal

All proposals become the property of the Department and will not be returned to the proposer. The Department retains the right to use any and all ideas or adaptations of ideas contained in any proposal received in response to this solicitation. Selection or rejection of the offer will not affect this right. Once a contract is awarded, all proposals will become subject to the Louisiana Public Records Act.

I. Proposal Submission

1. All proposals must be received by the due date and time indicated on the Schedule of Events. Proposals received after the due date and time will not be considered. It is the sole responsibility of each proposer to assure that its proposal is delivered at the specified location prior to the deadline. Proposals which, for any reason, are not so delivered will not be considered.
2. Proposer shall submit one (1) original hard copy and should also submit one (1) electronic copy and eight (8) hard copies of each proposal. No facsimile or emailed proposals will be accepted. The cost proposal and financial

statements should be submitted separately from the technical proposal; however, for mailing purposes, all packages may be shipped in one container.

3. Proposals must be submitted via U.S. mail, courier or hand delivered to:

If courier mail or hand delivered, deliver to:

Mary Gonzalez
Department of Health and Hospitals
Division of Contracts and Procurement Support
628 N. 4th Street, 5th Floor
Baton Rouge, LA 70802

If delivered via US Mail:

Mary Gonzalez
Department of Health and Hospitals
Division of Contracts and Procurement Support
P.O. Box 1526
Baton Rouge, LA 70821-1526

J. Proprietary and/or Confidential Information

1. The designation of certain information as trade secrets and/or privileged or confidential proprietary information shall only apply to the technical portion of the proposal. The cost proposal will not be considered confidential under any circumstances. Any proposal copyrighted or marked as confidential or proprietary in its entirety may be rejected without further consideration or recourse.
2. For the purposes of this RFP, the provisions of the Louisiana Public Records Act (La. R.S. 44.1 et. seq.) will be in effect. Pursuant to this Act, all proceedings, records, contracts, and other public documents relating to this RFP shall be open to public inspection. Proposers are reminded that while trade secrets and other proprietary information submitted in conjunction with this RFP may not be subject to public disclosure, protections must be claimed by the proposer at the time of submission of its Technical Proposal. Proposers should refer to the Louisiana Public Records Act for further clarification.
3. The proposer must clearly designate the part of the proposal that contains a trade secret and/or privileged or confidential proprietary information as "confidential" in order to claim protection, if any, from disclosure. The proposer shall mark the cover sheet of the proposal with the following legend, specifying the specific section(s) of the proposal sought to be restricted in accordance with the conditions of the legend:

"The data contained in pages _____ of the proposal have been submitted in confidence and contain trade secrets and/or privileged or confidential

information and such data shall only be disclosed for evaluation purposes, provided that if a contract is awarded to this proposer as a result of or in connection with the submission of this proposal, the State of Louisiana shall have the right to use or disclose the data therein to the extent provided in the contract. This restriction does not limit the State of Louisiana's right to use or disclose data obtained from any source, including the proposer, without restrictions."

4. Further, to protect such data, each page containing such data shall be specifically identified and marked "CONFIDENTIAL".
5. Proposers must be prepared to defend the reasons why the material should be held confidential. If a competing proposer or other person seeks review or copies of another proposer's confidential data, DHH will notify the owner of the asserted data of the request. If the owner of the asserted data does not want the information disclosed, it must take legal action as necessary to restrain DHH from releasing information DHH believes to be public record.
6. **If the proposal contains confidential information, a redacted copy of the proposal must be submitted.** If a redacted copy is not submitted, DHH may consider the entire proposal to be public record. When submitting the redacted copy, it should be clearly marked on the cover as - "REDACTED COPY". The redacted copy should also state which sections or information has been removed."
7. Any proposal marked as confidential or proprietary in its entirety may be rejected without further consideration or recourse.

K. Proposal Format

1. An Item-by-item response to the Request for Proposals is requested.
2. There is no intent to limit the content of the proposals, and proposers may include any additional information deemed pertinent. Emphasis should be on simple, straightforward and concise statements of the proposer's ability to satisfy the requirements of the RFP.

L. Requested Proposal Outline:

- Introduction/Administrative Data
- Work Plan/Project Execution
- Relevant Corporate Experience
- Personnel Qualifications
- Additional Information
- Corporate Financial Condition
- Cost and Pricing Analysis

M. Proposal Content

1. Proposals should include information that will assist the Department in determining the level of quality and timeliness that may be expected. The agency shall determine, at its sole discretion, whether or not the RFP provisions have been reasonably met. The proposal should describe the background and capabilities of the proposer, give details on how the services will be provided, and shall include a breakdown of proposed costs. Work samples may be included as part of the proposal.
2. Proposals should address how the proposer intends to assume complete responsibility for timely performance of all contractual responsibilities in accordance with federal and state laws, regulations, policies, and procedures.
3. Proposals should define proposer's functional approach in providing services and identify the tasks necessary to meet the RFP requirements of the provision of services, as outlined in Section II.
4. Introduction/Administrative Data
 - a. The introductory section should contain summary information about the proposer's organization. This section should state proposer's knowledge and understanding of the needs and objectives of DHH/Office of Behavioral Health/Southeast Louisiana Hospital as related to the scope of this RFP. It should further cite its ability to satisfy provisions of the Request for Proposal.
 - b. This introductory section should include a description of how the proposer's organizational components communicate and work together in both an administrative and functional capacity from the top down. This section should contain a brief summary setting out the proposer's management philosophy including, but not limited to, the role of Quality Control, Professional Practices, Supervision, Distribution of Work and Communication Systems. This section should include an organizational chart displaying the proposer's overall structure.
 - c. This section should also include the following information:
 - i. Location of Active Office with Full Time Personnel, include all office locations (address) with full time personnel.
 - ii. Name and address of principal officer;
 - iii. Name and address for purpose of issuing checks and/or drafts;
 - iv. For corporations, a statement listing name(s) and address(es) of principal owners who hold five percent interest or more in the corporation.
 - v. If out-of-state proposer, give name and address of local representative; if none, so state;
 - vi. If any of the proposer's personnel named is a current or former Louisiana state employee, indicate the Agency where employed, position, title, termination date, and social security number;

- vii. If the proposer was engaged by DHH within the past twenty-four (24) months, indicate the contract number and/or any other information available to identify the engagement; if not, so state; and
- viii. Proposer's state and federal tax identification numbers.

d. The following information **must be included** in the proposal:

- i. Certification Statement: The proposer must sign and submit the attached Certification Statement (See Attachment I).
- ii. Proposer shall guarantee that there will be no conflict or violation of the Ethics Code if it is awarded the contract. Ethics issues are interpreted by the Louisiana Board of Ethics.
- iii. Proposer shall guarantee that the entire proposal will be valid for a period of 120 days after the submission date
- iv. Proposer shall guarantee that the proposal submitted shall become a contractual obligation and valid if a contract is awarded.

5. Work Plan/Project Execution

The proposer should articulate an understanding of and ability to effectively implement services as outlined within Section II of the RFP. In this section the proposer should state the approach it intends to use in achieving each objective of the project as outlined, including a project work plan and schedule for implementation. In particular, the proposer should:

- a. Provide a written discussion of the work plan addressing process flow, time frames for each component; how findings will be addressed in the process; and the ability to maintain the scheduled work plan schedule (I.e. drawing on firm resources, training, etc.);
- b. Provide a strategic overview including all elements to be provided;
- c. Break down into logical tasks and time frames all work to be performed, accompanied by an assessment of relative difficulty for each task;
- d. Identify critical tasks;
- e. Estimate time involved in completion of tasks;
- f. Identify all assumptions or constraints on tasks;
- g. Contain a summary, at the activity level, to show completion schedules relative to deliverables;
- h. Include charts and graphs which reflect the work plan in detail;
- i. Describe the approach to project Management and Quality Assurance;

- j. Discuss what flexibility exists within the work plan to address unanticipated problems which might develop during the contract period;
- k. Document procedures to protect the confidentiality of records including records in databases that may be transmitted electronically via e-mail or the internet, as well as those in DHH databases;
- l. Identify how day to day communications will be maintained with the SELH RSD, and other emergency availability;
- m. Demonstrate an ability to hire staff with the necessary experience and skill set that will enable them to effectively meet the needs of consumers served.
- n. Describe approach and strategy for project oversight and management.
- o. Articulate the need for, and the ability to implement, a plan for continuous quality improvement; this includes (but is not limited to) reviewing the quality of services provided and staff productivity.
- p. Demonstrate an understanding of and ability to implement data collection as needed.
- q. Explain processes that will be implemented in order to complete all tasks and phases of the project in a timely manner, as outlined within Section II.
- r. Articulate the ability to develop and implement an All Hazards Response plan in the event of an emergency event.
- s. Refer to specific documents and reports that can be produced as a result of completing tasks, to achieve the requested deliverables.
- t. Identify all assumptions or constraints on tasks.
- u. Discuss what flexibility exists within the work plan to address unanticipated problems which might develop during the contract period.
- v. If the proposer intends to subcontract for portions of the work, the proposer should include specific designations of the tasks to be performed by the subcontractor.
- w. As an appendix to its proposal proposers should provide copies of policies and procedures manuals applicable to this contract, inclusive of organizational standards or ethical standards. This appendix should also include a copy of proposer's All Hazards Response Plan Failure to provide

this information will result in fewer points being awarded during the evaluation phase.

6. Relevant Corporate Experience

- a. The proposal should indicate the firm has a record of prior successful experience in the design and implementation of the services sought through this RFP. Proposers should include statements specifying the extent of responsibility on prior projects and a description of the projects scope and similarity to the projects outlined in this RFP. All experience under this section should be in sufficient detail to allow an adequate evaluation by the Department. The proposer should have, within the last 24 months completed a similar type project. Proposers should give at least two customer references for projects completed in at least the last 24 months. References should include the name, email address and telephone number of each contact person.
- b. In this section, a statement of the proposer's involvement in litigation that could affect this work should be included. If no such litigation exists, proposer should so state.

7. Personnel Qualifications

- a. The purpose of this section is to evaluate the relevant experience, resources, and qualifications of the proposed staff to be assigned to this project. The experience of proposer's personnel in implementing similar services to those to be provided under this RFP will be evaluated. The adequacy of personnel for the proposed project team will be evaluated on the basis of project tasks assigned, allocation of staff, professional skill mix, and level of involvement of personnel.
- b. Proposers should state job responsibilities, workload and lines of supervision. An organizational chart identifying individuals and their job titles and major job duties should be included. The organizational chart should show lines of responsibility and authority.
- c. Job descriptions, including the percentage of time allocated to the project and the number of personnel should be included and should indicate minimum education, training, experience, special skills and other qualifications for each staff position as well as specific job duties identified in the proposal. Job descriptions should indicate if the position will be filled by a sub-contractor.
- d. Key personnel and the percentage of time directly assigned to the project should be identified.
- e. Résumés of all known personnel should be included. Resumes of proposed personnel should include, but not be limited to:

- Experience with proposer,
 - Previous experience in projects of similar scope and size.
 - Educational background, certifications, licenses, special skills, etc.
- f. If subcontractor personnel will be used, the proposer should clearly identify these persons, if known, and provide the same information requested for the proposer's personnel.
8. Corporate Financial Condition
- a. The organization's financial solvency will be evaluated. The proposer's ability to demonstrate adequate financial resources for performance of the contract or the ability to obtain such resources as required during performance under this contract will be considered.
- b. Proposal should include for each of the last three (3) years, copies of financial statements, preferably audited, including at least a balance sheet and profit and loss statement, or other appropriate documentation which would demonstrate to the Department the proposer's financial resources sufficient to conduct the project.
9. Cost and Pricing Analysis
- a. Proposer shall specify costs for performance of tasks. Proposal shall include all anticipated costs of successful implementation of all deliverables outlined. An item by item breakdown of costs shall be included in the proposal.
- b. Proposers shall submit the breakdown in a similar format to the attached sample cost template form (See Attachment IV) for each State Fiscal Year (July 1 to June 30) of the contract to demonstrate how cost was determined. **Costs for Year 1 will be for only 3 months** (April 1, 2011 – June 30, 2011. **Costs for Year 4 will be for 9 months**_(July 1, 2013 – March 31, 2014.

N. Evaluation Criteria

The following criteria will be used to evaluate proposals:

1. Evaluations will be conducted by a Proposal Review Committee.
2. Evaluations of the financial statements will be conducted by a member of the DHH Fiscal Division.
3. Scoring will be based on a possible total of 100 and the proposal with the highest total score will be recommended for award.
4. Cost Evaluation:

- a. The proposer with the lowest total cost for all months of the initial contract term shall receive 25 points. Other proposers shall receive points for cost based upon the following formula:

$$CPS = (LPC/PC) * 25$$

CPS = Cost Proposal Score

LPC = Lowest Proposal Cost of all proposers

PC = Individual Proposal Cost

- b. The assignment of the 25 points based on the above formula will be calculated by a member of the DHH Contracts Office staff.
- c. Additionally, a maximum of 5 points may be awarded for the cost criteria based on evaluation of reasonableness of cost based on economies of scale, adequate budget detail, and justification that all cost is consistent with the purpose, objectives, and deliverables of the RFP.
- d. The DHH Deputy Undersecretary may provide assistance with the evaluation of the additional 5 points.

5. Evaluation Criteria and Assigned Weights:

Evaluation Criteria	Assigned Weight
Introduction/Administrative Data	5
Work Plan/Project Execution	25
Relevant Corporate Experience	10
Qualification of Personnel	25
Corporate Financial Condition	5
Cost and Pricing Analysis	30
Total	100

O. On-Site Presentations/Demonstrations

Not required for this RFP

P. Announcement of Award

The Department will award the contract to the proposer with the highest graded proposal and deemed to be in the best interest of the Department. All proposers will be notified of the contract award. The Department will notify the successful proposer and proceed to negotiate contract terms.

IV. CONTRACTUAL INFORMATION

- A. The contract between DHH and the Contractor shall include the standard DHH contract form (CF-1 – Attachment II) including a negotiated scope of work, the RFP and its amendments and addenda, and the Contractor’s proposal. The

attached CF-1 contains basic information and general terms and conditions of the contract to be awarded.

B. Mutual Obligations and Responsibilities: The state requires that the mutual obligations and responsibilities of DHH and the successful proposer be recorded in a written contract. While final wording will be resolved at contract time, the intent of the provisions will not be altered and will include all provisions as specified in the attached CF-1.

C. Performance Bond-For all contractors (for profit or not for profit) awarded contracts through the RFP; the Department shall require the contractor, within 10 days of signing the contract, to procure, submit, and maintain a Performance Bond in the amount of 10% of the annual contract amount.

OR

Retainage-As an alternative to a performance bond or letter of credit requirement above, the Department, at the request of the contractor and acceptance by the Department, may secure a retainage of 10% from all billings under the contract as surety for performance. On successful completion of contract deliverables, the retainage amount may be released on an annual basis.

D. In addition, to terms of the CF-1 and supplements, the following will be incorporated into the contract awarded through this RFP:

1. Personnel Assignments: The Contractor's key personnel assigned to this contract may not be replaced without the written consent of the Department. Such consent shall not be unreasonably withheld or delayed provided an equally qualified replacement is offered. Key personnel for these purposes will be determined during contract negotiation.

2. Force Majeure: The contractor and the Department are excused from performance under contract for any period they may be prevented from performance by an Act of God, strike, war, civil disturbance, epidemic or court order.

3. Order of Precedence: The contract shall, to the extent possible, be construed to give effect to all provisions contained therein; however, where provisions conflict, the intent of the parties shall be determined by giving a first priority to provisions of the contract excluding the RFP and the proposal; second priority to the provisions of the RFP; and third priority to the provisions of the proposal.

4. Entire Agreement: This contract, together with the RFP and addenda issued thereto by the Department, the proposal submitted by the contractor in response to the Department's RFP, and any exhibits specifically incorporated herein by reference constitute the entire agreement between the parties with respect o the subject matter.

5. Board Resolution/Signature Authority: The contractor, if a corporation, shall secure and attach to the contract a formal Board Resolution indicating the signatory to the contract is a corporate representative and authorized to sign said contract.
6. Warranty to Comply with State and Federal Regulations: The contractor shall warrant that it shall comply with all state and federal regulations as they exist at the time of the contract or as subsequently amended.
7. Warranty of Removal of Conflict of Interest: The contractor shall warrant that it, its officers, and employees have no interest and shall not acquire any interest, direct or indirect, which conflicts in any manner or degree with the performance of services hereunder. The contractor shall periodically inquire of its officers and employees concerning such conflicts, and shall inform the Department promptly of any potential conflict. The contractor shall warrant that it shall remove any conflict of interest prior to signing the contract.
8. If the contractor is a corporation, the following requirement must be met prior to execution of the contract:
 - a. If a for-profit corporation whose stock is not publicly traded-the contractor must file a Disclosure of Ownership form with the Louisiana Secretary of State.
 - b. If the contractor is a corporation not incorporated under the laws of the State of Louisiana-the contractor must obtain a Certificate of Authority pursuant to R.S. 12:301-302 from the Louisiana Secretary of State.
 - c. The contractor must provide written assurance to the agency from contractor's legal counsel that the contractor is not prohibited by its articles of incorporation, bylaws or the laws under which it is incorporated from performing the services required under the contract.

Attachments:

- I. Certification Statement
- II. DHH Standard Contract Form (CF-1)
- III. HIPAA
- IV. Sample Cost Breakdown Template

The undersigned hereby acknowledges she/he has read and understands all requirements and specifications of the Request for Proposals (RFP), including attachments.

OFFICIAL CONTACT. The State requests that the Proposer designate one person to receive all documents and the method in which the documents are best delivered. Identify the Contact name and fill in the information below: (Print Clearly)

Date	
Official Contact Name	
Email Address	
Fax Number with Area Code	
Telephone Number	
Street Address	
City, State, and Zip	

Proposer certifies that the above information is true and grants permission to the Department to contact the above named person or otherwise verify the information I have provided.

By its submission of this proposal and authorized signature below, proposer certifies that:

1. The information contained in its response to this RFP is accurate;
2. Proposer accepts the procedures, evaluation criteria, contract terms and conditions, and all other administrative requirements set forth in this RFP.
3. Proposer accepts the procedures, evaluation criteria, mandatory contract terms and conditions, and all other administrative requirements set forth in this RFP.
4. Proposer's quote is valid for at least 120 days from the date of proposal's signature below;
5. Proposer understands that if selected as the successful Proposer, he/she will have 10 business days from the date of delivery of initial contract in which to complete contract negotiations, if any, and execute the final contract document. The Department has the option to waive this deadline if actions or inactions by the Department cause the delay.
6. Proposer certifies, by signing and submitting a proposal for \$25,000 or more, that their company, any subcontractors, or principals are not suspended or debarred by the General Services Administration (GSA) in accordance with the requirements in OMB Circular A-133. (A list of parties who have been suspended or debarred can be viewed via the internet at www.epls.gov)

Authorized Signature: _____

Typed or Printed Name: _____

Title: _____

**CFMS:
DHH:
AGENCY #**

Attachment II
DHH - CF - 1

**CONTRACT BETWEEN STATE OF LOUISIANA
DEPARTMENT OF HEALTH AND HOSPITALS**

AND

FOR

Personal Services Professional Services Consulting Services Social Services

1) Contractor (Legal Name if Corporation)	5) Federal Employer Tax ID# or Social Security # (11 digits)
2) Street Address	6) Parish(es) Served
City and State	Zip Code
3) Telephone Number	7) License or Certification #
4) Mailing Address (if different)	8) Contractor Status Subrecipient: <input type="checkbox"/> Yes <input type="checkbox"/> No Corporation: <input type="checkbox"/> Yes <input type="checkbox"/> No For Profit: <input type="checkbox"/> Yes <input type="checkbox"/> No Publicly Traded: <input type="checkbox"/> Yes <input type="checkbox"/> No
City and State	Zip Code
	8a) CFDA#(Federal Grant #)

9) **Brief Description Of Services To Be Provided:**

Include description of work to be performed and objectives to be met; description of reports or other deliverables and dates to be received (when applicable). In a consulting service, a resume of key contract personnel performing duties under the terms of the contract and amount of effort each will provide under terms of contract should be attached.

10) Effective Date	11) Termination Date
---------------------------	-----------------------------

12) This contract may be terminated by either party upon giving thirty (30) days advance written notice to the other party with or without cause but in no case shall continue beyond the specified termination date.

13) **Maximum Contract Amount**

14) **Terms of Payment**

If progress and/or completion of services are provided to the satisfaction of the initiating Office/Facility, payments are to be made as follows: (stipulate rate or standard of payment, billing intervals, invoicing provisions, etc.). Contractor obligated to submit final invoices to Agency within fifteen (15) days after termination of contract.

PAYMENT WILL BE MADE ONLY UPON APPROVAL OF:	Name	
	Title	Phone Number

15) **Special or Additional Provisions which are incorporated herein, if any (IF NECESSARY, ATTACH SEPARATE SHEET AND REFERENCE):**

During the performance of this agreement, the Contractor hereby agrees to the following terms and conditions:

1. Contractor hereby agrees to adhere as applicable to the mandates dictated by Titles VI and VII of the Civil Rights Act of 1964, as amended; the Vietnam Era Veterans' Readjustment Assistance Act of 1974; Americans with Disabilities Act of 1990 as amended; the Rehabilitation Act of 1973 as amended; Sec. 202 of Executive Order 11246 as amended, and all applicable requirements imposed by or pursuant to the regulations of the U. S. Department of Health and Human Services. Contractor agrees not to discriminate in the rendering of services to and/or employment of individuals because of race, color, religion, sex, age, national origin, handicap, political beliefs, disabled veteran, veteran status, or any other non-merit factor.
2. Contractor shall abide by the laws and regulations concerning confidentiality which safeguard information and the patient/client confidentiality. Information obtained shall not be used in any manner except as necessary for the proper discharge of Contractor's obligations. (The Contractor shall establish, subject to review and approval of the Department, confidentiality rules and facility access procedures.)
3. The State Legislative Auditor, Office of the Governor, Division of Administration, and Department Auditors or those designated by the Department shall have the option of auditing all accounts pertaining to this contract during the contract and for a three year period following final payment. Contractor grants to the State of Louisiana, through the Office of the Legislative Auditor, Department of Health and Hospitals, and Inspector General's Office, Federal Government and/or other such officially designated body the right to inspect and review all books and records pertaining to services rendered under this contract, and further agrees to guidelines for fiscal administration as may be promulgated by the Department. Records will be made available during normal working hours.

Contractor shall comply with federal and state laws and/or DHH Policy requiring an audit of the Contractor's operation as a whole or of specific program activities. Audit reports shall be sent within thirty (30) days after the completion of the audit, but no later than six (6) months after the end of the audit period. If an audit is performed within the contract period, for any period, four (4) copies of the audit report shall be sent to the Department of Health and Hospitals, Attention: **Division of Fiscal Management, P.O. Box 91117, Baton Rouge, LA 70821-3797** and one (1) copy of the audit shall be sent to the **originating DHH Office.**

4. Contractor agrees to retain all books, records and other documents relevant to the contract and funds expended thereunder for at least four (4) years after final payment or as prescribed in 45 CFR 74:53 (b) whichever is longer. Contractor shall make available to the Department such records within thirty (30) days of the Department's written request and shall deliver such records to the Department's central office in Baton Rouge, Louisiana, all without expense to the Department. Contractor shall allow the Department to inspect, audit or copy records at the contractor's site, without expense to the Department.
5. Contractor shall not assign any interest in this contract and shall not transfer any interest in the same (whether by assignment or novation), without written consent of the Department thereto, provided, however, that claims for money due or to become due to Contractor from the Department under this contract may be assigned to a bank, trust company or other financial institution without advanced approval. Notice of any such assignment or transfer shall be promptly furnished to the Department and the Division of Administration, Office of Contractual Review.
6. Contractor hereby agrees that the responsibility for payment of taxes from the funds received under this contract shall be Contractor's. The contractor assumes responsibility for its personnel providing services hereunder and shall make all deductions for withholding taxes, and contributions for unemployment compensation funds, and shall maintain, at Contractor's expense, all necessary insurance for its employees, including but not limited to automobile insurance, workers' compensation and general liability insurance.

7. Contractor shall obtain and maintain during the contract term all necessary insurance including automobile insurance, workers' compensation insurance, and general liability insurance. The required insurances shall protect the Contractor, the Department of Health and Hospitals, and the State of Louisiana from all claims related to Contractor's performance of this contract. Certificates of Insurance shall be filed with the Department for approval. Said policies shall not be canceled, permitted to expire, or be changed without thirty (30) days advance written notice to the Department. Commercial General Liability Insurance shall provide protection during the performance of work covered by the contract from claims or damages for personal injury, including accidental death, as well as claims for property damages, with combined single limits prescribed by the Department.
8. In cases where travel and related expenses are required to be identified separate from the fee for services, such costs shall be in accordance with State Travel Regulations. The contract contains a maximum compensation which shall be inclusive of all charges including fees and travel expenses.
9. No funds provided herein shall be used to urge any elector to vote for or against any candidate or proposition on an election ballot nor shall such funds be used to lobby for or against any proposition or matter having the effect of law being considered by the legislature or any local governing authority. This provision shall not prevent the normal dissemination of factual information relative to a proposition or any election ballot or a proposition or matter having the effect of law being considered by the legislature or any local governing authority. Contracts with individuals shall be exempt from this provision.
10. Should contractor become an employee of the classified or unclassified service of the State of Louisiana during the effective period of the contract, Contractor must notify his/her appointing authority of any existing contract with State of Louisiana and notify the contracting office of any additional state employment. This is applicable only to contracts with individuals.
11. All non-third party software and source code, records, reports, documents and other material delivered or transmitted to Contractor by State shall remain the property of State, and shall be returned by Contractor to State, at Contractor's expense, at termination or expiration of this contract. All non-third party software and source code, records, reports, documents, or other material related to this contract and/or obtained or prepared by Contractor in connection with the performance of the services contracted for herein shall become the property of State, and shall be returned by Contractor to State, at Contractor's expense, at termination or expiration of this contract.
12. Contractor shall not enter into any subcontract for work or services contemplated under this contract without obtaining prior written approval of the Department. Any subcontracts approved by the Department shall be subject to conditions and provisions as the Department may deem necessary; provided, however, that notwithstanding the foregoing, unless otherwise provided in this contract, such prior written approval shall not be required for the purchase by the contractor of supplies and services which are incidental but necessary for the performance of the work required under this contract. No subcontract shall relieve the Contractor of the responsibility for the performance of contractual obligations described herein.
13. No person and no entity providing services pursuant to this contract on behalf of contractor or any subcontractor is prohibited from providing such services by the provisions of R.S. 1113 as amended in the 2008 Regular Session of the Louisiana Legislature.
14. No claim for services furnished or requested for reimbursement by Contractor, not provided for in this contract, shall be allowed by the Department. In the event the Department determines that certain costs which have been reimbursed to Contractor pursuant to this or previous contracts are not allowable, the Department shall have the right to set off and withhold said amounts from any amount due the Contractor under this contract for costs that are allowable.
15. This contract is subject to and conditioned upon the availability and appropriation of Federal and/or State funds; and no liability or obligation for payment will develop between the parties until the

contract has been approved by required authorities of the Department; and, if contract exceeds \$20,000, the Director of the Office of Contractual Review, Division of Administration in accordance with La. R.S. 39:1502.

16. The continuation of this contract is contingent upon the appropriation of funds from the legislature to fulfill the requirements of the contract. If the Legislature fails to appropriate sufficient monies to provide for the continuation of the contract, or if such appropriation is reduced by the veto of the Governor or by any means provided in the appropriations act to prevent the total appropriation for the year from exceeding revenues for that year, or for any other lawful purpose, and the effect of such reduction is to provide insufficient monies for the continuation of the contract, the contract shall terminate on the date of the beginning of the first fiscal year for which funds are not appropriated.
17. Any alteration, variation, modification, or waiver of provisions of this contract shall be valid only when reduced to writing, as an amendment duly signed, and approved by required authorities of the Department; and, if contract exceeds \$20,000, approved by the Director of the Office of Contractual Review, Division of Administration. Budget revisions approved by both parties in cost reimbursement contracts do not require an amendment if the revision only involves the realignment of monies between originally approved cost categories.
18. Any contract disputes will be interpreted under applicable Louisiana laws and regulations in Louisiana administrative tribunals or district courts as appropriate.
19. Contractor will warrant all materials, products and/or services produced hereunder will not infringe upon or violate any patent, copyright, trade secret, or other proprietary right of any third party. In the event of any such claim by any third party against DHH, the Department shall promptly notify Contractor in writing and Contractor shall defend such claim in DHH's name, but at Contractor's expense and shall indemnify and hold harmless DHH against any loss, expense or liability arising out of such claim, whether or not such claim is successful. This provision is not applicable to contracts with physicians, psychiatrists, psychologists or other allied health providers solely for medical services.
20. Any equipment purchased under this contract remains the property of the Contractor for the period of this contract and future continuing contracts for the provision of the same services. Contractor must submit vendor invoice with reimbursement request. For the purpose of this contract, equipment is defined as any tangible, durable property having a useful life of at least (1) year and acquisition cost of \$1000.00 or more. The contractor has the responsibility to submit to the Contract Monitor an inventory list of DHH equipment items when acquired under the contract and any additions to the listing as they occur. Contractor will submit an updated, complete inventory list on a quarterly basis to the Contract Monitor. Contractor agrees that upon termination of contracted services, the equipment purchased under this contract reverts to the Department. Contractor agrees to deliver any such equipment to the Department within 30 days of termination of services.
21. Contractor agrees to protect, indemnify and hold harmless the State of Louisiana, DHH, from all claims for damages, costs, expenses and attorney fees arising in contract or tort from this contract or from any acts or omissions of Contractor's agents, employees, officers or clients, including premises liability and including any claim based on any theory of strict liability. This provision does not apply to actions or omissions for which LA R.S. 40:1299.39 provides malpractice coverage to the contractor, nor claims related to treatment and performance of evaluations of persons when such persons cause harm to third parties (R.S. 13:5108.1(E)). Further it does not apply to premises liability when the services are being performed on premises owned and operated by DHH.
22. Any provision of this contract is severable if that provision is in violation of the laws of the State of Louisiana or the United States, or becomes inoperative due to changes in State and Federal law, or applicable State or Federal regulations.

ATTACHMENT III

(Rev. 1/04)

HIPAA Business Associate Addendum:

This Business Associate Addendum is hereby made a part of this contract in its entirety as Attachment ___ to the contract.

1. The U. S. Department of Health and Human Services has issued final regulations, pursuant to the Health Insurance Portability and Accountability Act of 1996 ("HIPAA"), governing the privacy of individually identifiable health information. See 45 CFR Parts 160 and 164 (the "HIPAA Privacy Rule"). The Department of Health and Hospitals, ("DHH"), as a "Covered Entity" as defined by HIPAA, is a provider of health care, a health plan, or otherwise has possession, custody or control of health care information or records.
2. "*Protected health information*" ("PHI") means individually identifiable health information including all information, data, documentation and records, including but not limited to demographic, medical and financial information that relates to the past, present, or future physical or mental health or condition of an individual; the provision of health care to an individual or payment for health care provided to an individual; and that identifies the individual or which DHH believes could be used to identify the individual.
 "*Electronic protected health information*" means PHI that is transmitted by electronic media or maintained in electronic media.
 "*Security incident*" means the attempted or successful unauthorized access, use, disclosure, modification, or destruction of information or interference with system operations in an information system.
3. Contractor is considered a Business Associate of DHH, as contractor either: (A) performs certain functions on behalf of or for DHH involving the use or disclosure of protected individually identifiable health information by DHH to contractor, or the creation or receipt of PHI by contractor on behalf of DHH; or (B) provides legal, actuarial, accounting, consulting, data aggregation, management, administrative, accreditation, financial or social services for DHH involving the disclosure of PHI.
4. Contractor agrees that all PHI obtained as a result of this contractual agreement shall be kept confidential by contractor, its agents, employees, successors and assigns as required by HIPAA law and regulations and by this contract and addendum.
5. Contractor agrees to use or disclose PHI solely (A) for meeting its obligations under this contract, or (B) as required by law, rule or regulation or as otherwise permitted under this contract or the HIPAA Privacy Rule.
6. Contractor agrees that at termination of the contract, or upon request of DHH, whichever occurs first, contractor will return or destroy (at the option of DHH) all PHI received or created by contractor that contractor still maintains in any form and retain no copies of such information; or if such return or destruction is not feasible, contractor will extend the confidentiality protections of the contract to the information and limit further uses and disclosure to those purposes that make the return or destruction of the information infeasible.
7. Contractor will ensure that its agents, employees, subcontractors or others to whom it provides PHI received by or created by contractor on behalf of DHH agree to the same restrictions and conditions that apply to contractor with respect to such information. Contractor also agrees to take all reasonable steps to ensure that its employees', agents' or subcontractors' actions or omissions do not cause contractor to breach the terms of this Addendum. Contractor will use all appropriate safeguards to prevent the use or disclosure of PHI other than pursuant to the terms and conditions of this contract and Addendum.
8. Contractor shall, within 3 days of becoming aware of any use or disclosure of PHI, other than as permitted by this contract and Addendum, report such disclosure in writing to the person(s) named in section 14 (Terms of Payment), page 1 of the CF-1.

9. Contractor shall make available such information in its possession which is required for DHH to provide an accounting of disclosures in accordance with 45 CFR 164.528. In the event that a request for accounting is made directly to contractor, contractor shall forward such request to DHH within two (2) days of such receipt. Contractor shall implement an appropriate record keeping process to enable it to comply with the requirements of this provision. Contractor shall maintain data on all disclosures of PHI for which accounting is required by 45 CFR 164.528 for at least six (6) years after the date of the last such disclosure.
10. Contractor shall make PHI available to DHH upon request in accordance with 45 CFR 164.524.
11. Contractor shall make PHI available to DHH upon request for amendment and shall incorporate any amendments to PHI in accordance with 45 CFR 164.526.
12. Contractor shall make its internal practices, books, and records relating to the use and disclosure of PHI received from or created or received by contractor on behalf of DHH available to the Secretary of the U. S. DHHS for purposes of determining DHH's compliance with the HIPAA Privacy Rule.
13. Compliance with Security Regulations:

In addition to the other provisions of this Addendum, if Contractor creates, receives, maintains, or transmits electronic PHI on DHH's behalf, Contractor shall, no later than April 20, 2005:

 - (A) Implement administrative, physical, and technical safeguards that reasonably and appropriately protect the confidentiality, integrity, and availability of the electronic protected health information that it creates, receives, maintains, or transmits on behalf of DHH;
 - (B) Ensure that any agent, including a subcontractor, to whom it provides such information agrees to implement reasonable and appropriate safeguards to protect it; and
 - (C) Report to DHH any security incident of which it becomes aware.
14. Contractor agrees to indemnify and hold DHH harmless from and against all liability and costs, including attorneys' fees, created by a breach of this Addendum by contractor, its agents, employees or subcontractors, without regard to any limitation or exclusion of damages provision otherwise set forth in the contract.
15. Notwithstanding any other provision of the contract, DHH shall have the right to terminate the contract immediately if DHH determines that contractor has violated any material term of this Addendum.

Attachment IV
Sample Cost Template

Note: Proposers should use this sample template to prepare a cost breakdown for each year of the contract **(NOTE THIS IS A PARTIAL YEAR)**

Year 1 4/1/11 -6/30/11 may need to change begin date	Hourly Rate	Total
Administrative Staff Salaries: (list by position)		
Direct Labor Staff Salaries: (list by position)		
Residential Assistants		
Service Coordinators/Case Managers		
Residential Supervisors/Home Managers		
Contracted Staff Salaries: (list by position)		
Related Benefits:		
Operating Costs:		
Worker's Comp Insurance		
Vehicle Maintenance		
Liability Insurance		
Cell Phones		
Cable TV		
Supplies:		
Office Supplies		
Fuel for Vehicles		
Food for Clients		
Cleaning/Household		
Other		
Professional Services (list):		
Other Costs (list):		

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Year 2 7/1/11 -6/30/12	Hourly Rate	Total
Administrative Staff Salaries: (list by position)		
Direct Labor Staff Salaries: (list by position)		
Residential Assistants		
Service Coordinators/Case Managers		
Residential Supervisors/Home Managers		
Contracted Staff Salaries: (list by position)		
Related Benefits:		
Operating Costs:		
Worker's Comp Insurance		
Vehicle Maintenance		
Liability Insurance		
Cell Phones		
Cable TV		
Supplies:		
Office Supplies		
Fuel for Vehicles		
Food for Clients		
Cleaning/Household		
Other		
Professional Services (list):		
Other Costs (list):		

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Year 3 7/1/12 -6/30/13	Hourly Rate	Total
Administrative Staff Salaries: (list by position)		
Direct Labor Staff Salaries: (list by position)		
Residential Assistants		
Service Coordinators/Case Managers		
Residential Supervisors/Home Managers		
Contracted Staff Salaries: (list by position)		
Related Benefits:		
Operating Costs:		
Worker's Comp Insurance		
Vehicle Maintenance		
Liability Insurance		
Cell Phones		
Cable TV		
Supplies:		
Office Supplies		
Fuel for Vehicles		
Food for Clients		
Cleaning/Household		
Other		
Professional Services (list):		
Other Costs (list):		

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Sample Cost Template

Note: Proposers should use this sample template to prepare a cost breakdown for each year of the contract

Year 4 7/1/13 -3/30/14	Hourly Rate	Total
Administrative Staff Salaries: (list by position)		
Direct Labor Staff Salaries: (list by position)		
Residential Assistants		
Service Coordinators/Case Managers		
Residential Supervisors/Home Managers		
Contracted Staff Salaries: (list by position)		
Related Benefits:		
Operating Costs:		
Worker's Comp Insurance		
Vehicle Maintenance		
Liability Insurance		
Cell Phones		
Cable TV		
Supplies:		
Office Supplies		
Fuel for Vehicles		
Food for Clients		
Cleaning/Household		
Other		
Professional Services (list):		
Other Costs (list):		