

United Healthcare[®] Community Plan

UnitedHealthcare[®] Community Plan *Medical Policy*

Articular Cartilage Defect Repairs (for Louisiana Only)

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Instructions for Use

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Application

This Medical Policy only applies to the state of Louisiana.

Coverage Rationale

ACT and Microfracture

<u>Autologous Cehondrocyte Ttransplantation (ACT)</u> <u>Autologous Chondrocyte Transplantation</u> (<u>ACT</u>) is proven and medically necessary for treating individuals with <u>a single</u> symptomatic full-thickness articular cartilage <u>defectsdefect</u> when <u>all of</u><u>all</u> the following criteria are met:

- Each individual The lesion is:
- Greater Individual younger than or equal to age 55
 - •<u>o</u> Defect is greater than 2 squared centimeters cm
 - <u>A result of Defect is caused by</u> acute or repetitive trauma
 - Single or multiple full thickness (Outerbridge Classification of grade III or IV) Individual has defect in the articular cartilage <u>defect</u> of the femoral condyle (medial, lateral, or trochlea) and/or patella
- Knee is stable with intact menisci and ligaments
- Normal joint space and alignment confirmed by X-ray
- No active inflammatory or other arthritis, clinically and by X-ray
- Failed non-surgical conservative management (e.g., physical therapy, braces, and/or nonsteroidal anti-inflammatory drugs)

- InadequateIndividual has had an inadequate response to a prior arthroscopic or other surgical repair procedure (e.g., debridement, microfracture, drilling/abrasion arthroplasty, or osteochondral allograft/autograft) Osteochondral Allograft/Autograft)
- Individual is less than 55 years of age.
- Individual has failed to respond to conservative treatment such as physical therapy, braces, and/or nonsteroidal anti-inflammatory drugs (NSAIDs)

ACT is unproven and not medically necessary for treating individuals with the following indications due to insufficient evidence of efficacy:

- **Treatment of joints** Cartilage defects in locations other than the femoral condyle of the knee
- Growth plates have not closed
- History of partial Partial-thickness defects
- History of multiple defects
- History of defects of the patella
- Osteochondritis dissecans (OCD)
- Malignancy Previous history of cancer in the bone bones, cartilage, fat fat, or muscle of the treated limb
- Active infection in the affected knee
- Instability Treatment of cartilage damage associated with generalized osteoarthritis
- Joint instability of the knee
- **History of Previous** total meniscectomy
- Repeat ACT
- Active inflammatory degenerative, rheumatoid or osteoarthritis
- As initialInflammatory diseases of the joint

Osteochondral Autograft and Allograft transplantation is proven and medically necessary for treating cartilage defects of the knee when all of the following criteria are met:

- Considered unsuitable candidate for total knee replacement
- Individual must be capable and willing to participate in post-operative physical rehabilitation program
- Individual who has achieved mature skeletal growth with documented closure of growth plates
- Minimal to absent degenerative changes in surrounding articular cartilage (<u>Outerbridge</u> <u>Crade II or less</u>)
- Normal alignment or first line of correctable varus or valgus deformities
- Persistent symptoms of debilitating knee pain limiting ambulation that have not been relieved by conservative medical treatment (including physical therapy and/or bracing techniques) and/or prior surgical therapytreatment
- MicrofractureSymptomatic focal full-thickness articular cartilage defect

Microfracture repair to treat full and partial thickness chondral defects of the knee is proven and medically necessary when all of<u>all</u> the following criteria are memet:

- Symptomatic focal cartilage defects (<2-4 cm²) of the weight-bearing Ffemoral Ceondyles, femoral condyles, tibial plateau, trochlea, and patella
- <u>Defect has been</u> (identified by <u>Magnetic</u> magnetic resonance imaging <u>(*(MRI)</u>, arthrogram, or arthroscopy)
- Outerbridge Grade 3-4 cartilage lesions

Measure less than or equal to 4 square centimeters

Microfracture repair of the knee is unproven and not medically necessary with any of the following indications:

Misalignment of the knee

- Osteoarthritis
- Systemic immune-mediated disease, disease-induced arthritis, or cartilage disease
- Unwilling or unable to participate in post-operative physical rehabilitation program

Osteochondral Autograft and Allograft Transplantation

Osteochondral Autograft and Allograft transplantation is proven and medically necessary for treating individuals with cartilage defects of the knee. For medical necessity clinical coverage criteria for Osteochondral Autograft and Allograft Transplantation, refer to the InterQual[®] CP: Procedures:

Arthroscopy or Arthroscopically Assisted Surgery, Knee

- Arthroscopy or Arthroscopically Assisted Surgery, Knee (Pediatric)
- Arthrotomy, Knee

Click here to view the InterQual[®] criteria.

Osteochondral Autograft and Allograft transplantation is unproven and not medically for all other indications than those listed above.

Focal Articular Cartilage Repair

Focal Aarticular cartilage repair is unproven and not medically necessary for treating individuals with any of the following due to insufficient evidence of efficacy:

- Osteochondral Autograft and Allograft transplantation for all other indications other than those listed above
 Use of minced Minced articular cartilage repair (whether synthetic, A allograftAllograft or AautograftAutograft) for treating osteochondral defects of the knee
- Use of Xenograft implantation into the articular surface of any joint
- Use of cryopreserved Cryopreserved viable Osteochondral Allograft Osteochondral Allograft products (e.g., Cartiform)
- Microfracture repair of the knee with any of the following indications:
 - o Misalignment of the knee
 - o Osteoarthritis
 - o Systemic immune-mediated disease, disease-induced arthritis, or cartilage disease
 - o Unwilling or unable to participate in post-operative physicalfollow-rehabilitation programprotocol

Definitions

<u>Allografts: Grafts of bone and cartilage harvested from a cadaver joint (may be fresh or cryopreserved), which is then implanted in the defect (AAOS, 2011).</u>

Allograft: The transplant of an organ, tissue, or cells from one individual to another individual of the same species who is not an identical twin (National Cancer Institute, 2017).

Allografts: Grafts of bone and cartilage harvested from a cadaver joint (may be fresh or cryopreserved), which is then implanted in the defect (AAOS, 2011).

Allograft Discs (e.g., Cartiform, ProChondrix CR): Wafer-thin <u>Aa</u>llografts where the bony portion of the <u>Aa</u>llograft is reduced. The discs contain hyaline cartilage with chondrocytes, growth factors, and extracellular matrix proteins. The graft is often used in conjunction with marrow stimulation purportedly allowing the host mesenchymal stem cells to infiltrate the graft from the underlying bone marrow after stimulation to provide dense extracellular matrix intended to enhance biomechanical stability and promote chondrogenesis (Hayes, 2018; updated 2021).

Autografts: Grafts of bone and cartilage harvested from either the patient's non-weight bearing surfaces (or surfaces that bear less weight), which is then implanted in the defect. Autografting is typically used to repair smaller defects. Tissue transplanted from one part of the body to another in the same individual (AAOS, 2011).

Autologous Chondrocyte Transplantation (ACT): Also referred to as autologous chondrocyte implantation (ACI), is a form of tissue engineering that creates a graft from a patient's own cartilage cells to repair defects in the articular cartilage. For first-generation ACI, the process involves removal, expansion (culture), and reimplantation of the patient's own chondrocytes under a piece of periosteal membrane that is excised from the tibia of the patient and sutured over the site of knee injury. With ACT, a region of healthy articular cartilage is identified and biopsied through arthroscopy. The tissue is sent to a facility licensed by the U.S. Food and Drug Administration (FDA) where it is minced and enzymatically digested, and the chondrocytes are separated. (separated by filtration (Camp et al., 2014).

Focal Defect: A defect of the articular cartilage due to any inflammation, injury, or trauma causing partial or full thickness cartilage defect in a well-defined focal area.

Femoral Condyles: Large flared prominences on the distal end of the femur, identified as lateral and medical Ffemoral Ceondyles. They are covered with a thick layer of hyaline cartilage and articulate with the patella and the tibia at the knee joint.

Juvenile Cartilage Allograft Tissue Implantation (e.g., DeNovo® NT Natural Tissue Graft): A tissue based articular cartilage graft that is processed from healthy donors less than 13 years of age and greater than 6 lbs. in weight. Donors are sourced through appropriate Organ and Tissue Procurement Organizations (OTPOs) (Hayes, 2018; updated 2021).

Matrix-Induced Autologous Chondrocyte Implantation (MACI) Procedure: MACI is a multistage procedure using autologous cultured chondrocytes on porcine collagen membrane. The procedure involves 2 surgeries. During an initial arthroscopic surgery, a biopsy of healthy cartilage is obtained. The cartilage sample is then sent to a laboratory where chondrocytes are isolated from the biopsy and expanded in vitro for a period of weeks. After an appropriate concentration of chondrocytes has been achieved, the chondrocytes are seeded onto a 3-dimensional matrix. Then, during a second surgical procedure (with arthroscopic or mini-arthrotomy approach), surgeons conduct a debridement of the damaged cartilage site and glue the seeded matrix to fill the entirety of the defect (Hayes, 2020).

<u>Minced Cartilage Repair: This procedure uses minced pieces of cartilage seeded over a</u> <u>scaffold which allows for even distribution of the chondrocytes to expand within the</u> <u>defect providing structural and mechanical protection (McCormick et al., 2008).</u>

Microfracture: Microfracture utilizes the body's healing potential and stem cells found in bone marrow to initiate cartilage growth. Cartilage is first debrided, and the

calcified layer of bone is removed. Then the surgeon makes $\underline{M} = \underline{M} = \underline{M}$

Mineed Cartilage Repair: This procedure uses mineed pieces of cartilage seeded over a scaffold which allows for even distribution of the chondrocytes to expand within the defect providing structural and mechanical protection (McCormick et al., 2008).

Mosacicplasty<u>Mosaicplasty</u>: A technique of creating an osteochondral autograft by harvesting and transplanting multiple small cylindrical osteochondral plugs from the less weight-bearing periphery of the patellofemoral area and inserting them into drilled tunnels in the defective section of cartilage (International Cartilage Regeneration and Joint Preservation Society, 2018).

Osteochondral Allograft (OCA): Involves transplantation of a piece of articular cartilage and attached subchondral bone from a cadaver donor to a damaged region of the articular surface of a joint. The goal of this procedure is to provide viable chondrocytes and supporting bone that will be sufficient to maintain the cartilage matrix and thereby relieve pain and reduce further damage to the articular surface of the joint (International Cartilage Regeneration and Joint Preservation Society, 2018).

Osteochondral Autograft Transfer System (OATS): This procedure is similar to mosaicplasty; however, it involves the use of a larger, single plug that usually fills an entire defect (e.g., those associated with anterior cruciate ligament (ACL) tears) (AAOS, 2011).

Osteochondral Autologous Transplant (OAT): Involves the placement of viable hyaline cartilage grafts obtained from the individual into a cartilage defect. The grafts are harvested from a non-weight bearing region of the joint during an open or arthroscopic procedure and then transplanted into a cartilage defect to restore the articular surface of the bone (AAOS, 2011).

Osteochondral Autograft Transfer System (OATS): This procedure is similar to mosaicplasty; however, it involves the use of a larger, single plug that usually fills an entire defect (e.g., those associated with anterior cruciate ligament (ACL) tears) (AAOS, 2011).

Outerbridge Classification of Articular Lesions by Severity: Cartilage injuries are described and classified based on the location of injury, size of the injury, and the depth of the injury. Grade I-II are often termed mild to moderate and grades III-IV severe.

Xenograft: Craft of tissue taken from a donor of one species and grafted into a recipient of another species.

| Grade | Modified Outerbridge Classification System |
|-------|---|
| 0 | Normal cartilage |
| I | Softening and swelling |
| II | Partial-thickness defect with fissures on the surface that do not reach subchondral bone or exceed 1.5 cm in diameter |

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| Grade | Modified Outerbridge Classification System |
|-------|---|
| III | Fissuring to the level of subchondral bone in an area with a diameter more than 1.5 cm $$ |
| IV | Exposed subchondral bone head |

Source: Campbell's Operative Orthopaedics, 2007

Xenograft: Graft of tissue taken from a donor of one species and grafted into a recipient of another species.

Applicable Codes

The following list(s) of procedure and/or diagnosis codes is provided for reference purposes only and may not be all inclusive. Listing of a code in this policy does not imply that the service described by the code is a covered or non-covered health service. Benefit coverage for health services is determined by **the member specific benefit plan document** federal, state, or contractual requirements and applicable laws that may require coverage for a specific service. The inclusion of a code does not imply any right to reimbursement or guarantee claim payment. Other Policies and Guidelines may apply.

| CPT Code | Description |
|----------------|---|
| *0737 T | Xenograft implantation into the articular surface |
| 27412 | Autologous chondrocyte implantation, knee |
| 27415 | Osteochondral allograft, knee, open |
| 27416 | Osteochondral autograft(s), knee, open (e.g., mosaicplasty) (includes harvesting of autograft[s]) |
| 28446 | Open osteochondral autograft, talus (includes obtaining graft[s]) |
| 29866 | Arthroscopy, knee, surgical; osteochondral autograft(s) (e.g., mosaicplasty) (includes harvesting of the autograft[s]) |
| 29867 | Arthroscopy, knee, surgical; osteochondral allograft (e.g., mosaicplasty) |
| 29879 | Arthroscopy, knee, surgical; abrasion arthroplasty (includes chondroplasty where necessary) or multiple drilling or microfracture |
| | CPT [®] is a registered trademark of the American Medical Association |

Codes labeled with an asterisk (*) are not on the Louisiana Medicaid Fee Schedule and therefore may not be covered by the state of Louisiana Medicaid Program.

| HCPCS Code | Description |
|------------|---|
| J7330 | Autologous cultured chondrocytes, implant |
| S2112 | Arthroscopy, knee, surgical for harvesting of cartilage (chondrocyte cells) |

Description of Services

Damaged articular cartilage typically fails todoes not heal on its own and can be associated with pain, loss of function and disability, and may lead to debilitating osteoarthritis over time. These manifestations can severely impair an individual's activities of daily living (ADL) and adversely affect quality of life (QoL). Cartilage healing and repair are affected by factors such as age, the degree and depth of damage, associated joint instability, the underlying cause, previous meniscectomy, misalignment

and genetic factors. Only in limited situations can the damaged articular cartilage remodel and rebuild itself. Nonsurgical treatment options for damage to articular cartilage include weight loss, physical therapy, braces, orthotics, and pain management.

The knee joint is responsible for much of an individual's weight bearing capability because of its location at the end of two long bones, the femur and the tibia. Weight is distributed throughout the knee joint, and pressure is placed on the **Ffemoral Cfemoral** condyles, trochlea and patella during flexion and extension. Cartilage defects can be classified as chondral (cartilage loss) or osteochondral (OC) (cartilage plus bone loss) fractures. Chondral defects are categorized further into partial-thickness or full-thickness, the latter of which extends to the subchondral bone.

A focal articular cartilage lesion is an area of damage to cartilage and possibly the bone beneath it. When cartilage is damaged, over time it can deteriorate to the point where all of all the cartilage is worn away and the bone beneath is affected. This is known as a full thickness defect. Grafting a small amount of bone and cartilage is one way to treat severe or large areas of damage. The graft material can be taken from a person's own tissue (this is known as an autograft) or from donor tissue (Aallograft).

Though the different articular cartilage procedures differ in the used technologies and surgical techniques, they all share the aim to repair articular cartilage. Various methods of cartilage repair have been investigated to achieve symptomatic relief and repair and restoration of articular defects. Some of these include Autologous Chondrocyte Transplantation (ACT), Osteochondral Grafting, and Mmicrofracture (MFx).microfracture.

The autologous chondrocyte implantation (ACI) procedure, first introduced by Brittberg <u>et</u> <u>al. (2018), and coworkers</u>, has been the most widely used surgical procedure. This procedure aims to provide complete hyaline repair tissues for articular cartilage repair. <u>ACI Autologous chondrocyte implantation</u> is a cell-based therapy that involves transplantation of autogenous cells into articular cartilage defects.

Osteochondral autografting (OCG) is a surgical procedure used to repair full-thickness chondral defects involving a joint. Mosaicplasty and $\underline{Oosteochondral Aautograft Ttransfer}$ <u>Sosteochondral autograft transfer system</u> (OATS) are systems used to perform this procedure.

MFx <u>Microfracture (MFX)</u> is considered a first-line treatment for articular cartilage injury by many <u>orthopedists.orthopaedists</u>. The procedure is performed by removing all damaged articular cartilage then making a series of small holes in the subchondral plate with awls or picks. This leads to bleeding, clot formation, as well as the introduction of marrow derived stem cells to the site. These stem cells are thought to mediate a fibrocartilaginous repair of the defect.

Damaged articular cartilage typically fails to heal on its own and can be associated with pain, loss of function and disability, and may lead to debilitating osteoarthritis over time. These manifestations can severely impair an individual's activities of daily living and adversely affect quality of life. Cartilage healing and repair are affected by factors such as age, the degree and depth of damage, associated joint instability, the underlying cause, previous meniscectomy, misalignment and genetic factors. Only in limited situations can the damaged articular cartilage remodel and rebuild itself. Nonsurgical treatment options for damage to articular cartilage include weight loss, physical therapy, braces, orthotics, and pain management.

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Clinical Evidence

Autologous Chondrocyte Transplantation (ACT)

For individuals who have focal articular cartilage lesion(s) of the weight-bearing surface of the femoral condyles, trochlea, or patella who receive ACT, the evidence includes systematic reviews, randomized controlled trials (RCTs), and prospective observational studies. Relevant outcomes are symptoms, change in disease status, morbid events, functional outcomes, and quality of life (QoL). There is a large body of evidence on ACT for treating the treatment of focal articular cartilage lesions of the knee.

Hayes updated the Health Technology Assessment, in review of the literature for Matrix-Induced Autologous Chondrocyte Implantation (MACI®) for the repair of articular cartilage of the knee and concluded that there was sufficiently published evidence to evaluate this technology. A large, moderate-quality body of evidence suggests that MACI is associated with improved symptoms, function, QoL, and ability to perform everyday activities of daily living (ADL) for young, middle-aged, and typically nonobese adults with symptomatic articular cartilage defects of the knee. Evidence also suggests that benefits may be durable beyond follow-up periods of 5 years (Hayes, 2020; updated 2022).

In 2022, Dhillon and associates systematically reviewed RCTs randomized controlled trials comparing clinical outcomes of microfracture (MFx) to third-generation autologous chondrocyte implantation (ACI) for treating focal chondral defects (FCDs) of the knee joint. The primary outcomes measured were treatment failure rates, magnetic resonance imaging (MRI) results, International Cartilage Repair Society (ICRS) scores, and patientreported outcome scores (PROs). Results of the review demonstrated a lower failure rate for third-generation ACI (0%-1.8%) compared with MFx (2.5%-8.3%) at short-term follow-up for FCDs of the knee joint. In addition, the authors found superior PROs among individuals who received ACI in both function and pain at short-term follow-up. For the average follow-up of 3.8 years, the review uncovered a greater failure rate among individuals who underwent MFx than ACI. The findings show the clinical superiority of ACI over MFx and previously exhibited that at later postoperative periods of 5 to 10 years, the development of osteoarthritis and treatment failures were observed with MFx. Moreover, the review found increasing evidence that individuals undergoing ACI following failed MFx do not experience the same functional enhancements as those undergoing primary ACI. The authors recommend careful consideration before performing MFx for an FCD of the knee joint. The authors concluded that at short-term follow-up, third-generation ACI demonstrates a lower failure rate and more significant improvement in PROs compared with MFx for FCDs of the knee joint.

In 2022 Epanomeritakis and colleagues conducted a systematic review and meta-analysis for the use of autologous chondrocyte and mesenchymal stem cell implants for treating FCD focal chondral defects in human knee joints. A total of 963 articles were found, with seventeen studies having quantitative information on the degree of integration, either as a score or as the proportion of individuals achieving complete integration. The data extracted consisted of outcomes from six different clinical scoring systems. Across a total of two hundred individuals, 64% (95% CL [51%, 75%]) achieved complete integration with native cartilage, according to the meta-analysis. Additionally, a pooled improvement in the mean Magnetic Resonance Observation of Cartilage Repair Tissue (MOCART) integration score was seen during post-operative follow-up (standardized mean difference: 1.16; 95% CI [0.07, 2.24], p = 0.04). All studies showed an improvement in the clinical scores. Using a collagen-based scaffold was associated with better integration and

clinical outcomes. Findings showed that ACI is associated with superior quality integration and improves clinical outcomes, function, and pain.

In 2021, Migliorini and associates led a Bayesian network meta-analysis to compare surgical strategies for chondral defects in the knee at midterm follow-up. The surgical techniques explored were MFX, Osteochondral Autograft Transfer (OAT), AMIC, ACI-first generation, pACI, second generation (cACI), and third generation (mACI). The exploration uncovered thirty-six studies involving 2220 procedures with a median follow-up of 36 months. The results showed that AMIC had a higher Lysholm score (SMD 3.97; 95% CI -10.03 to 17.98) and Tegner score (SMD 2.10; 95% CI -3.22 to -0.98). No statistically significant heterogeneity was uncovered relating to these two endpoints (P > 0.1). Statistically, significant variation was found for the comparison IKDC; therefore, no further considerations were inferred. AMIC resulted in the lowest rate of failures (LOR -0.22; 95% CI -2.09 to 1.66) and the lowest rate of revisions (LOR 0.89; 95% CI -0.81 to 2.59). MFx displayed the lowest rate of hypertrophy (LOR -0.17; 95% CI -3.00 to 2.66), trailed by AMIC (LOR 0.21; 95% CI -1.42 to 1.84). No statistically significant inconsistency was found concerning these two endpoints (P > 0.1). The authors concluded that the AMIC procedure as management for FCD focal chondral defects of the knee performed better overall at approximately three years' follow-up.

Steinwachs et al. (2021) completed a systematic review and meta-analysis on autologous matrix-inducted chondrogenesis (AMIC ®) outcomes for grade III/IV chondral and osteochondral lesions of the knee treated with Chondro-Gide®. Using PRISMA guidelines (Preferred Reporting Items for Systematic Reviews and Meta-Analyses), articles with a follow-up of at least one year supplying clinical results of AMIC repair in the knee were included. Primary outcome measurements were taken using the modified Coleman Methodology Score (mCMS), which measured methodological quality; the Visual Analog Scale (VAS) compared to the pain in the meta-analysis, the Lysholm score, and International Knee Documentation Committee (IKDC) score showed differences in baseline and follow-up after 1 or 2 years and >3 years. A total of 375 individuals (twelve studies) were included in the review. The performance reported through the meta-analysis showed VAS: the random effects model shows a change from baseline to follow-up at years 1 to 2 of -4.02, significant at a 5% level with a 95% confidence interval (CI) of (-4.37; -3.67). After >3 years of follow-up, there was still a significant difference in mean VAS between baseline and follow-up of -4.75, CI (-4.98; -4.53). For Lysholm's score at years 1 to 2 vs. baseline, there is a highly significant improvement of 34.68, CI (32.68; 36.58). After >3 years, the model showed a highly meaningful change in mean IKDC vs. a baseline of 44.9, CI (40.76; 49.04). Comparison through the meta-analysis of the clinical outcomes between 1 and 2 years and after at least three years showed the following: The change in mean VAS of -0.31 (CI -0.37; -0.25) was highly significant (P < 0.0001), but the absolute value was much less than the change between baseline and follow-up at years 1 to 2. The Lysholm's score did not change significantly between follow-ups of 1 to 2 years and over three years. The IKDC score improved by a mean difference of 7.57 (P < 0.0001) between years 1 and 2 and after year 3. These analyses confirm the long-term stability of the clinical outcomes after the AMIC procedure in the knee. Even more, four long-term studies of at least four years of follow-up show the strength of the clinical parameters at 4 to 5 years compared to early post-operative values. No deterioration of any parameter could be found during the 5 to 7 years follow-up. The authors concluded that the AMIC procedure significantly improved the clinical status and functional scoring vs. pre-operative values.

Ibarra et al. (2021) directed an RCT to compare the structural, clinical, and safety outcomes at midterm follow-up on Matrix-assisted autologous chondrocyte

transplantation (MACT) with microfracture (MFx) for knee cartilage lesions. Individuals aged between 18 and 50 years, with 1 to 4 cm² International Cartilage Repair Society (ICRS) grade III to IV knee chondral lesions. All forty-eight individuals were randomized in a 1:1 ratio to the MACT and MFx treatment groups. A sequential prospective evaluation was performed using <u>magnetic resonance imaging</u> (MRI) T2 mapping, the MOCART score, second-look arthroscopic surgery, patient-reported outcome measures, the responder rate, adverse events, and treatment failure. The responder rate was based on achieving the minimal clinically significant difference between Knee Injury and Osteoarthritis Outcome Score (KOOS) pain and KOOS Sport/Recreation. Treatment failure was defined as a reoperation due to symptoms produced by the primary defect and the detachment or absence of >50% of the repaired tissue during revision surgery. Overall, 35 individuals (18 to the MACT and 17 to the MFx) with a mean chondral lesion size of $1.8 \pm 0.8 \text{ cm}^2$ (range, 1-4 cm^2) were followed up for an average of 6 years postoperatively (range, 4-9 years). MACT displayed better structural results than MFx at 1 to 6 years postoperatively. At the final follow-up, the MRI T2 mapping values of the repaired tissue were 37.7 ± 8.5 ms for MACT versus 46.4 \pm 8.5 ms for MFx (P = .003), while the MOCART scores were 59.4 \pm 17.3 and 42.4 \pm 16.3, respectively (P = .006). More than 50% defect filling was seen in 82% of individuals in the MACT group and 53% at six years in the MFx group. The second look at ICRS scores showed significant clinical improvements at six years postoperatively compared with their preoperative status. Significant differences favoring the MACT group were seen at six years on the Tegner scale (P = .010). The six-year responder rates were 53% and 77% for MFx and MACT, respectively. There were no reported treatment failures after MACT; the failure rate was 8.3% in the MFx group. Neither group had severe adverse events related to treatment. The trial showed that individuals who underwent MACT had better structural outcomes than those who underwent MFx at 1 to 6 years postoperatively. Both groups showed significant clinical improvements at the final follow-up compared with their preoperative status. MACT showed superiority for most KOOS subscales and the Tegner scale at six years. The MACT group also had a higher responder rate and lower failure rate at the final follow-up- (Level of evidence, 1).

Migliorini (2020) published a systematic review evaluating the clinical outcomes of Autologous Chondrocyte Implantation (ACI) and Mesenchymal Stem Cell (MSC) injections for the treatment of FCD focal chondral defects of the knee. Forty-three publications were included in the analysis of which eleven were RCTs and thirty-two were cohort studies, and pooled analyses were conducted in data from 3340 procedures. ACI procedures were analyzed as either first-generation periosteum-covered autologous chondrocyte implantation (ACI-P) first-generation (p-ACI) in which a periosteal patch is harvested from the proximal tibia is utilized, second-generation (c-ACI) in which a graft containing type I/III collagen membrane is utilized, or third generation (m-ACI), in which autologous chondrocytes are seeded and cultured on type I and III collagen membranes is utilized. Twelve studies reported on p-ACI procedure, eight studies reported on c-ACI procedures, and 13thirteen studies reported on m-ACI procedures. The authors conclude that ACI techniques are considered a concrete solution to treat FCD focal chondral defects of the knee, and significant improvements from first- to thirdgeneration techniques has been observed. This systematic review has some limitations. The majority of Many of the included studies are retrospective or prospective studies, relegating the review to the inherent limitations of this level of evidence.

<u>ECRI</u> reviewed literature for <u>Autologous Chondrocyte Implantation (ACI)</u>. They concluded that ACI is an established procedure to treat localized cartilage defects of the knee. The efficacy has been proven with multiple long-term studies showing superiority for ACI against other surgical procedures (ECRI, 2020).

In 2020 Barié and colleagues led a randomized clinical trial to show whether MACI or ACI-P provides superior long-term outcomes in patient satisfaction, clinical assessment, and MRI evaluation. Between 2004 and 2006, 21 individuals with cartilage defects at the femoral condyle were randomized to MACI or ACI-P groups. Measurement outcomes were conducted using the IKDC score, Lysholm and Gillquist, and Tegner Activity Scores. The 36-item Short Form Health Survey (SF-36) was used preoperatively (TO), 1 and 2 years postoperatively (T1, T2), and at the final follow-up, 8-11 years postoperative (T3). Sixteen individuals were assessed after surgery for an average of 9.6 years (76% followup rate). The Lysholm and Gillquist scores improved for both the MACI and ACI-P groups after surgery and remained elevated. In the ACI-P group, IKDC scores increased significantly at all postoperative evaluation time points. For the MACI group, IKDC scores increased at T1 and T3 compared to T0. In the majority of the participants (10/16; MACI, 5/9; ACI-P, 5/7), a complete defect filling was present at the final follow-up, as shown by the MOCART score, and one participant in the ACI-P group displayed hypertrophy of the repair tissue, which represents 6% of the whole study group and 14.3% of the ACI-P group. The long-term results suggest that first- and third-generation ACI methods are equally effective treatments for isolated full-thickness cartilage defects of the knee.

In 2019, Fossum and colleagues commanded a randomized trial to evaluate differences in the outcome for the treatment of ≥ 1 chondral or osteochondral defects of the distal femur and/or patella with AMIC as compared to collagen-covered autologous chondrocyte implantation (ACI-C). The Primary outcome was the change in KOOS at two years compared to the baseline. Secondary outcomes were the number of failures in each group at two years and the change in KOOS subscale, Lysholm, and pain VAS scores at two years compared to baseline. To assess the difference in score from baseline between groups, a 2-sample ttest with a significance level of P<.05. The ACI-C group included twenty-one individuals and twenty in the AMIC group for a total of 41 participants. The results at a 2-year follow-up showed that the clinical scores for both groups improved significantly from baseline. No significant differences between groups were seen in the change from baseline for KOOS (AMIC, 18.1; ACI-C, 10.3), any of the KOOS subscales, the Lysholm score (AMIC, 19.7; ACI-C, 17.0), or the VAS pain score (AMIC, 30.6; ACI-C, 19.6). Two individuals in the AMIC group had advanced to a total knee replacement by the 2-year follow-up compared to none in the ACI-C group. The authors concluded that the two treatments had similar clinical outcomes at a 2-year follow-up.

Hayes reviewed literature for Matrix-Induced Autologous Chondrocyte Implantation (MACI®) for repair of articular cartilage of the knee, and<u>knee and</u> concluded that there was sufficient published evidence to evaluate this technology. A large, moderate-quality body of evidence suggests that MACI is associated with improved symptoms, function, QOL, and ability to perform normal ADL for young and middle-aged and typically nonobese adults with symptomatic articular cartilage defects of the knee. Evidence also suggests that benefits may be durable beyond follow-up periods of 5 years. (Hayes, 2020).

<u>According to a Hayes review a large</u> body of overall low quality evidence suggests that second- and third generation ACI are promising and reasonably safe<u>safe</u> treatments for articular cartilage defects of the knee over short- and intermediate-term follow up. Despite its large size, this body of evidence does not provide definitive conclusions concerning the efficacy and safety of second- and third-generation ACI relative to other procedures, including microfracture, mosaicplasty, and first-generation ACI, and additional high-quality studies are needed to confirm results of the available studies and to evaluate the long-term efficacy and safety of second-generation ACI and of all the different scaffold materials that have been used for third-generation ACI (Hayes, 2018).

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A systematic review by Sacolick et al (2019) examined the patient-reported outcomes, complication rates, and failure rates of ACI autologous chondrocyte implantation and MACI matrix-induced autologous chondrocyte implantation for osteochondritis dissecans in adults. Nine clinical studies were assessed (type not specified), with 179 (>200 lesions) patients aged 18 to 49. Follow-up ranged from 6.5 months to 10 years. Results of patient reported outcomes showed that 85% of patients reported excellent or good outcomes. All patient-reported outcome measures used across the studies (International Knee Documentation Committee Form, Lysholm Knee Questionnaire, EuroQol Visual Analog Scale, Cincinnati Rating System, and the Tegner Activity Scale) reported statistically significant improvements from preoperative to final follow-up (p-values not reported). Of the studies that reported complication and failure rates for ACIautologous chondrocyte implantation/MACImatrix-induced autologous chondrocyte implantation, 23 (15.7%) of 146 patients reported complications, and the failure rate was 8.2%. Unplanned reoperations were necessary for 20.5% of patients. The study results showed that ACI autologous chondrocyte implantation/MACImatrix-induced autologous chondrocyte implantation had the best outcomes for active young males with small lesions. Older adults and less active individuals, as well as those with lesions >6 cm² cm² ,2, did not fare as well. A limitation of this review was its lack of randomized trials with controls to compare to ACIautologous chondrocyte implantation/MACImatrix-induced autologous chondrocyte implantation.

Brittenberg et al., (2018) conducted a 5-year follow-up of the SUMMIT (Superiority of MACI Implant Versus MFx Microfracture Treatment) clinical trial conducted at 14 study sites in Europe. Of the 144 patients randomized in the SUMMIT trial, 128 signed informed consent and continued observation in the Extension study: 65 MACI (90.3%) and 63 MFx microfracture (87.5%). The improvements in Knee injury and Osteoarthritis Outcome Score (KOOS) Pain and Function domains previously described were maintained over the 5-year follow-up. Five years after treatment, the improvement in MACI over MFx microfracture in the co-primary endpoint of KOOS pain and function was maintained and was clinically and statistically significant. Improvements in ADL activities of daily living remained statistically significantly better (in MACI patients, with QoL quality of life and other symptoms remaining numerically higher in MACI patients but losing statistical significance relative to the results of the SUMMIT 2-year analysis. Magnetic resonance imaging (MRI) evaluation of structural repair was performed in 120 patients at year 5. As in the 2-year SUMMIT (MACI00206) results, the MRI evaluation showed improvement in defect filling for both treatments; however, no statistically significant differences were noted between treatment groups. The authors concluded that symptomatic cartilage knee defects 3 3 cm² or larger treated with MACI were clinically and statistically significantly improved at 5 years compared with MFx microfracture treatment. No remarkable adverse events or safety issues were noted in this heterogeneous patient population.

In 2017, the National Institute for Health Research (NIHR) reported on a systematic review assessing the clinical effectiveness ACI in the knee. The NIHR review focused on reports from previous **earlier** systematic reviews including adults with symptomatic articular cartilage defects in the knee published between 2004 and 2014. Twelve systematic reviews including 19 studies (11 RCTs) were selected. Twelve systematic of interest was **MFx** microfracture and 4 trials were identified that compared second- and third – generation ACI with **MFx** microfracture. One of the trials shared selected results with the NIHR reviewers but no results have been published. In summary, both Matrix Autologous Chondrocyte Transplantation (MACI®) and ChondroCelect were more clinically effective than **MFx** microfracture for the outcomes of reductions in pain and improvements in function on

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the Knee injury and Osteoarthritis Outcome Score (KOOS) over 2 to 5 years. Limited longterm data were available on the failure rates of both ACI and <u>MFx microfracture</u> after 5 years; data were available from 6 observational studies. The conclusions regarding <u>about</u> follow-up after 5 years were primarily based on one of the observational studies judged to be the highest quality (Nawaz et al [2014]), \rightarrow For ACI, failure rates were lower in patients who had no previous knee repair and in people with minimal evidence of osteoarthritis. Larger defect size was not associated with poorer outcomes in these patients- (Mistry, 2017).

Devitt et al. (2017) conducted a systematic review of RCTs randomized controlled trials to provide supply updates on the most appropriate surgical procedures for knee cartilage defects. Two reviewers independently searched three databases for RCTs comparing at least two different treatment techniques for knee cartilage defects. Strict inclusion and exclusion criteria were used to identify studies with patients aged between 18 and 55 years with articular cartilage defects sized between one and 15cm. Risk of bias was performed using a Coleman Methodology Score. Data extracted included patient demographics, defect characteristics, clinical outcomes, and failure rates. Ten articles were included (861 patients). Eight studies compared MFx microfracture to other treatment; four to autologous chondrocyte implantation (ACI) or matrix-inducedmatrix induced ACI (MACI®); three to ostcochondral autologous transplantation (OAT); and one to BST. Two studies reported better results with OAT than with MFx microfracture and one reported similar results result. Two studies reported superior results with cartilage regenerative techniques than with MFx-microfracture, and two reported similar results comparable results. At 10 years significantly more failures occurred with MFx microfracture compared to OAT and with OAT compared to ACI. Larger lesions (>4.5cm²) treated with cartilage regenerative techniques (ACI/ MACI®) had better outcomes than with MFx microfracture. Based on the evidence from this systematic review, the authors concluded that no single treatment can be recommended for the treatment of knee cartilage defects, and this highlights the need for further RCTs, preferably patient-blinded, using an appropriate reference a proper reference treatment or a placebo procedure.

Ebert et al. (2017) conducted an RCT randomized controlled trial to investigate a 6-week return to full weight bearing after MACI matrix induced autologous chondrocyte implantation. A total of 37 knees (n = 35 patients) were randomly allocated distributed to either an 8-week return to full WB that the authors considered current best practice based on the existing literature (CR group; n = 19 knees) or an accelerated 6-week WB approach (AR group; n = 18 knees). Patients were evaluated preoperatively and at 1, 2, 3, 6, 12, and 24 months after surgery, using the Knee Injury and Osteoarthritis Outcome Score, 36-Item Short Form Health Survey, visual analog pain scale, 6-minute walk test, and active knee range of motion. Isokinetic dynamometry was used to assess peak knee extension and flexion strength and limb symmetry indices (LSIs) between the operated and non-operated limbs. The Magnetic resonance imaging (MRI) was undertaken to evaluate the quality and quantity of repair tissue as well as to calculate an MRI composite score. The results showed significant improvements observed in all subjective scores, active knee flexion and extension, 6-minute capacity, peak knee extensor torque in the operated limb, and knee extensor LSI, although no group differences existed. Although knee flexor LSIs were above 100% for both groups at 12 and 24 months after surgery, LSIs for knee extensor torque at 24 months were 93.7% and 87.5% for the AR and CR groups, respectively. The MRI composite score and pertinent graft parameters significantly improved over time, with some superior in the AR group at 24 months. All patients in the AR group (100%) demonstrated good to excellent infill at 24 months, compared with 83% of patients in the CR group. Two cases of graft failure were observed, both in the CR group. At 24 months, 83% of patients in the CR group and 88% in the AR group were satisfied with the results

of their MACI[®] surgery. The authors concluded that patients in the AR group who reduced the length of time spent ambulating on crutches produced comparable outcomes up to 24 months, without compromising graft integrity.

Schuette et al. (2017) completed a systematic review to investigate mid- to long-term clinical outcomes of Matrix-assisted autologous chondrocyte transplantation (MACT) in the patellofemoral (PF) and tibiofemoral (TF) joints. A systematic review was performed by searching PubMed, Embase, and the Cochrane Library to find studies evaluating minimum 5year clinical outcomes of patients undergoing MACT in the knee joint. Patients were evaluated based on treatment failure rates, magnetic resonance imaging, and subjective outcome scores. Study methodology was assessed using the Modified Coleman Methodology Score (mMCMS). The results included 10 studies and 587 patients (two level 1, one level 2, one levellevels 3, and six level 4 evidence) that met inclusion and exclusion criteria, for a total of 442 TF patients and 136 PF patients. Treatment failure occurred in 9.7% of all patients, including 4.7% of PF patients and 12.4% of TF patients. Weighted averages of subjective outcome scores, including Knee injury and Osteoarthritis Outcome Score, Short Form-36 Health Survey, and Tegner scores, improved from baseline to latest follow-up in both TF and PF patients. The mean MCMS was found to be 57.4, with a standard deviation of 18.5. The authors concluded that patients undergoing MACT in the knee show favorable mid- to long-term clinical outcomes, with a significantly higher treatment failure rate found in patients undergoing MACT in the TF joint compared with the PF joint. The authors identified some limitations to this study; level 1 to 4 evidence studies were included; although 587 patients were included in this review, not all patients were evaluated using the same outcome measures, and therefore sample sizes were limited for particular outcomes; Of the defects compared, there was a significant disparity in defect numbers between those in the TF group (442) and those in the PF group; variation in different scaffold types, and overlapping of patients in studies with no mention of this in the individual studies.

Hayes reviewed literature for Matrix Induced Autologous Chondrocyte Implantation (MACI[®]) for repair of articular cartilage of the knee, and concluded that there was sufficient published evidence to evaluate this technology, although the study abstracts present conflicting findings regarding use of the MACI® procedure for the treatment of chondral defects of the knee (Hayes 2019).

According to a Hayes review a large body of overall low-quality evidence suggests that second- and third-generation ACI are promising and reasonably safe treatments for articular cartilage defects of the knee over short- and intermediate-term follow up. Despite its large size, this body of evidence does not provide definitive conclusions concerning the efficacy and safety of second and third generation ACI relative to other procedures, including microfracture, mosaicplasty, and first-generation ACI, and additional high-quality studies are needed to confirm results of the available studies and to evaluate the long-term efficacy and safety of second-generation ACI and of all the different scaffold materials that have been used for third-generation ACI (Hayes 2018).

ECRI-also reviewed literature for MACI® for repair of knee cartilage defects in adults. They concluded that the available evidence is too limited in quantity and quality to determine whether MACI® works as well as or better than other ACIs for improving pain and functional status. Larger, blinded randomized controlled trials (RCTs) comparing MACI® with microfracture and other ACIs and reporting longer term outcomes (e.g., quality of life, pain) are needed to assess MACI's comparative safety and effectiveness (ECRI, 2018).

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Krill et al. (2018) conducted a literature review to evaluate the treatment of autologous chondrocyte implantation (ACI) for knee cartilage defects. The authors note that the most common locations for chondral lesions in the knee in athletes are the patellofemoral joint (37%), including the trochlea (24%) and patella (13%), followed by the femoral condyle (25%) and the tibial plateau (25%). "The goal of cartilage-restoration procedures is to reconstitute the native articular surface with mature and organized hyaline or hyaline-like cartilage". The application of chondrocytes within a matrix was created to improve cell delivery and allow for minimally invasive implantation in order to better replicate normal cartilage architecture, thus accelerating patient rehabilitation. The authors believe that ACI is an effective technique for the treatment of articular cartilage lesions in appropriately selected patients, and that ACI results are improve if the cartilage lesions are treated within 12 to 18 months after the initial onset of symptoms.

DiBartola et al. (2016) performed a systematic review of the use of <u>ACI</u> autologous chondrocyte implantation in the adolescent knee. PubMed, MEDLINE, SCOPUS, CINAHL, and Cochrane Collaboration Library databases were searched systematically. Outcome scores recorded included the International Knee Documentation Committee score, the <u>ICRS</u> <u>International Cartilage Repair Society</u> score, the Knee Injury and Osteoarthritis Outcome Score, the <u>-VASvisual analog scale</u>, the Bentley Functional Rating Score, the Modified Cincinnati Rating System, Tegner activity Lysholm scores, and return athletics. Outcome scores were compared among studies based on proportion of adolescents achieving specific outcome quartiles at a minimum 1-year follow-up. <u>All five included studies were case</u> <u>series</u>. The authors concluded that cartilage repair in adolescent knees using ACI provides success across different clinical outcomes measures. The only patient- or lesion-specific factor that influenced clinical outcome was the shorter duration of preoperative symptoms. The findings are limited by lack of comparison group.

Oussedik et al (2015) performed a systematic review of the treatment of articular cartilage lesions of the knee by <u>MFx microfracture</u> or ACI to <u>determine</u> <u>decide</u> the differences in patient outcomes after these procedures. These investigators searched PubMed/Medline, Embase, and The Cochrane Library databases in the period from January 10 through January 20, <u>2013</u> <u>2013</u>, and included 34 articles in this qualitative analysis. All studies showed improvement in outcome scores in comparison with baseline values, regardless of the treatment modality. The authors concluded that <u>MFx microfracture</u> appeared to be effective in smaller lesions and are usually associated with a greater proportion of fibrocartilage production, which may <u>have an effect on influence</u> durability and eventual failure. <u>ACI Autologous chondrocyte implantation</u> is an effective treatment that may result in a greater proportion of hyaline-like tissue at the repair site.

Devitt et al. (2017) conducted a systematic review of randomized controlled trials to provide updates on the most appropriate surgical procedures for knee cartilage defects. <u>Ebert et al.</u> (2015) Two reviewers independently searched three databases for RCTs comparing at least two different treatment techniques for knee cartilage defects. Strict inclusion and exclusion criteria were used to identify studies with patients aged between 18 and 55 years with articular cartilage defects sized between one and 15cm. Risk of bias was performed using a Coleman Methodology Score. Data extracted included patient demographics, defect characteristics, clinical outcomes, and failure rates. Ten articles were included (861 patients). Eight studies compared microfracture to other treatment; four to autologous chondrocyte implantation (ACI) or matrix induced ACI (MACI[®]); three to osteochondral autologous transplantation (OAT); and one to BST. Two studies reported better results with OAT than with microfracture and one reported similar results. Two studies reported superior results with cartilage regenerative techniques than with

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microfracture, and two reported similar results. At 10years significantly more failures occurred with microfracture compared to OAT and with OAT compared to ACI. Larger lesions (>4.5cm2) treated with cartilage regenerative techniques (ACI/ MACI®) had better outcomes than with microfracture. Based on the evidence from this systematic review, the authors concluded that no single treatment can be recommended for the treatment of knee cartilage defects, and this highlights the need for further RCTs, preferably patient blinded, using an appropriate reference treatment or a placebo procedure.

Ebert et al (2017) conducted a randomized controlled trial to investigate a 6-Week return to full weight bearing after matrix-induced autologous chondrocyte implantation. A total of 37 knees (n=35 patients) were randomly allocated to either an 8 week return to full WB that the authors considered current best practice based on the existing literature (CR group; n=19 knees) or an accelerated 6-week WB approach (AR group; n=18 knees).-Patients were evaluated preoperatively and at 1, 2, 3, 6, 12, and 24 months after surgery, using the Knee Injury and Osteoarthritis Outcome Score, 36 Item Short Form Health Survey, visual analog pain scale, 6 minute walk test, and active knee range of motion. Isokinetic dynamometry was used to assess peak knee extension and flexion strength and limb symmetry indices (LSIs) between the operated and non-operated limbs. Magnetic resonance imaging (MRI) was undertaken to evaluate the quality and quantity of repair tissue as well as to calculate an MRI composite score. The results showed significant improvements observed in all subjective scores, active knee flexion and extension, 6 minute capacity, peak knee extensor torque in the operated limb, and knee extensor LSI, although no group differences existed. Although knee flexor LSIs were above 100% for both groups at 12 and months after surgery, LSIs for knee extensor torgue at 24 months were 93.7% and 87.5% r the AR and CR groups, respectively. The MRI composite score and pertinent graft parameters significantly improved over time, with some superior in the AR group at 24 months. All patients in the AR group (100%) demonstrated good to excellent infill at 24 months, compared with 83% of patients in the CR group. Two cases of graft failure were observed, both in the CR group. At 24 months, 83% of patients in the CR group and 88% in the AR group were satisfied with the results of their MACI® surgery. The authors concluded that patients in the AR group who reduced the length of time spent ambulating on crutches produced comparable outcomes up to 24 months, without compromising graft integrity.

Ebert et al. (2017) conducted a prospective clinical and radiological evaluation of the first 31 patients (15 male, 16 female) who underwent MACI® via arthroscopic surgery to address symptomatic tibiofemoral chondral lesions. Clinical scores were administered preoperatively and at 3 and 6 months as well as 1, 2, and 5 years after surgery. These included the Knee injury and Osteoarthritis Outcome Score (KOOS), Lysholm knee scale (LKS), Tegner activity scale (TAS), visual analog scale for pain, Short Form-36 Health Survey (SF-36), active knee motion, and 6 minute walk test. Isokinetic dynamometry was used to assess peak knee extension and flexion strength and limb symmetry indices (LSIs) between the operated and non-operated limbs. High-resolution magnetic resonance imaging (MRI) was performed at 3 months and at 1, 2, and 5 years postoperatively to evaluate graft repair as well as calculate the MRI composite score. The results showed there was a significant improvement in all KOOS subscale scores, LKS and TAS scores, the SF 36 physical component score, pain frequency and severity, active knee flexion and extension, and 6-minute walk distance. Isokinetic knee extension strength significantly improved, and all knee extension and flexion LSIs were above 90% (apart from peak knee extension strength at 1 year). At 5 years, 93% of patients were satisfied with MACI® to relieve their pain, 90% were satisfied with improving their ability to undertake daily activities, and 80% were satisfied with the improvement in participating in sport. Graft infill and the MRI composite score significantly improved over time, with 90% of patients demonstrating good to excellent tissue infill at 5 years. There were 2 graft failures at

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5 years after surgery. The authors concluded that arthroscopically performed MACI® technique demonstrated good clinical and radiological outcomes up to 5 years, with high levels of patient satisfaction.

Schuette et al. (2017) completed a systematic review to investigate mid clinical outcomes of Matrix assisted autologous chondrocyte transplantation (MACT) in the patellofemoral (PF) and tibiofemoral (TF) joints. A systematic review was performed by searching PubMed, Embase, and the Cochrane Library to find studies evaluating minimum clinical outcomes of patients undergoing MACT in the knee inint Pationta evaluated based on treatment failure rates, magnetic reponance imaging, and subjective outcome scores. Study methodology was assessed using the Modified Coleman Methodology (MCMS). The results included 10 studies and 587 patients (two level 1000 one level 3, and six level 4 evidence) that met inclusion and exclusion criteria, for a 1 of 442 TF patients and 136 PF patients. Treatment failure patients, including 4.7% of PF patients and 12.4% of TF patients. Weighted averages subjective outcome scores, including Knee injury and Osteoarthritis Outcome Score, Form 36 Health Survey, and Tegner scores, improved from baseline to latest follow-up both TF and PF patients. The mean MCMS was found to be 57.4, with a standard 18.5. The authors concluded that patients undergoing MACT in the knee show favorable to long term clinical outcomes, with a significantly higher treatment failure rate found patients undergoing MACT in the TF joint compared with the PF joint. The authors identified some limitations to this study; level 1 to 4 evidence studies included: although 587 patients were included in this review, not all patients were evaluated using significant Of the defects was a disparity between those in the TF group (142) and those in the PF group; variation in different scaffold types, and overlapping of patients in studies with no mention of this in the individual studies.

Ebert et al. (2015) conducted a prospective clinical and radiologic evaluation of patellofemoral matrix-induced autologous chondrocyte implantation. They prospectively evaluated the clinical and radiologic outcome of MACI[®] in the patellofemoral joint. In 47 consecutive patients undergoing patellofemoral MACI®, clinical (Knee injury and Osteoarthritis Outcome Score, 36-Item Short Form Health Survey, visual analog scale for pain, 6 minute walk test, knee range of motion, and strength assessment) and magnetic resonance imaging (MRI) assessments were undertaken before and 3, 12, and 24 months after surgery. The MRI was performed to assess graft infill and determine an overall MRI composite score. Results were analyzed according to (1) the patient sample overall and (2) after stratification into 4 subgroups per implant location (patella or trochlea) as well as whether or not adjunct tibial tubercle transfer for patellofemoral malalignment was required. The overall patient sample, as well as each of the 4 procedural subgroups, demonstrated clinically and statistically significant improvements over time for all clinical scores. Graft infill and the MRI composite score also demonstratedproveddemonstrated statistically significant improvements over time, with no evidence of a main effect for procedure group or interaction between procedure group and time. At 24 months after surgery, 40.4% of patients exhibited complete graft infill comparable with the adjacent native cartilage, with a further 6.4% demonstrating a

hypertrophic graft. A further 31.9% of patients exhibited 50% to 100% tissue infill, and 17% demonstrated <50% tissue infill. Two patients (4.3%) demonstrated graft failure. At 24 months after surgery, 85% of patients were satisfied with the results of their MACI[®] surgery. The authors concluded that these results demonstrate<u>showdemonstrate</u> that MACI[®] provides improved clinical and radiologic outcomes to 24 months in patients undergoing treatment specifically for articular cartilage defects on the patella or trochlea, with

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and without concurrent realignment of the extensor mechanism if required. The authors identify a number of limitations to this study; there is currently no agreement on a gold standard PRO measure for the evaluation of cartilage repair surgery; employed the 6minute walk test as a basic measure of function, and while this test has been used in ACI patients it has not been validated; the MOCART scoring tool has not been validated against arthroscopic or histologic repair tissue findings. The findings are further limited by lack of comparison group.

Saris et al.(2014), conducted SUMMIT trial (Superiority of MACI implant versus Microfracture Treatment in patients with symptomatic articular cartilage defects in the knee), a Phase 3 two year, prospective, multicenter, randomized, open label, parallelgroup study that enrolled a total of 144 patients, ages 18 to 54 years, with at least one symptomatic Outerbridge Grade III or IV focal cartilage defect on the medial femoral condyle, lateral femoral condyle, and/or the trochlea co-primary efficacy endpoint was change from baseline to Week 104 for the subject's Knee injury and Osteoarthritis Outcome Score (KOOS) in 2 subscales: Pain and Function (Sports and Recreational Activities [SRA]). Patients from the two-year SUMMIT study also had the option<u>choice</u> to enroll in a three-year follow-up study (extension study). The majority of<u>Many of</u> the patients who completed the SUMMIT study also participated in a three-year extension study. The FDA concluded that the overall efficacy data support a long-term clinical benefit from the use of the MACI implant in patients with cartilage defects of the knee.

Zhang et al. (2014) conducted a study aimed to evaluate whether MACI® is a safe and efficacious cartilage repair treatment for patients with knee (patella or trochlear) cartilage lesions. The primary outcomes were the Knee Injury and Osteoarthritis Outcome Score (KOOS) domains and magnetic resonance imaging (MRI) results, compared between baseline and postoperative months 3, 6, 12, and 24. A total of 15fifteen15 patients (20 knees), with an average age of 33.9 years, had a mean defect size of 4.01 cm2. By 6-month follow-up, KOOS results demonstrated significant improvements in symptoms and kneerelated quality of life. MRI showed significant improvements in four individual graft scoring parameters at 24 months postoperatively. At 24 months, 90% of MACI® grafts had filled completely and 10% had good-to-excellent filling of the chondral defect. Most (95%) of the MACI[®] grafts were isointense and 5% were slightly hyperintense. Histologic evaluation at 15 and 24 months showed predominantly hyaline cartilage in newly generated tissue. There were no postoperative complications in any patients and no adverse events related to the MACI® operation. The authors concluded that thisThis 2-year study has confirmed that MACI® is safe and effective with the advantages of a simple technique and significant clinical improvements. Further functional and mechanistic studies with longer follow-up are needed to validate the efficacy and safety of MACI® in patients with articular cartilage injuries. This study is limited by low numbersmall number of participants and lack of randomization orand control.

Gomoll et al. (2014) conducted a multicenter case series <u>to show the repair of patellar</u> <u>cartilage defects with autologous chondrocyte implantation (ACI) can provide lasting</u> <u>improvements in pain and function. Patients were treated at 1 of 4 participating</u> <u>cartilage repair centers with ACI for cartilage defects in the patella; bipolar (patella + trochlea) defects were included as well. All patients were followed prospectively for <u>at least 4 years with multiple patient-reported outcome instruments, including the</u> <u>International Knee Documentation Committee, Short Form-12, modified Cincinnati Rating</u> <u>Scale, Western Ontario and McMaster Universities Osteoarthritis Index, and Knee Society</u> <u>scores. Treatment failure was defined as structural failure of the graft combined with</u> <u>pain requiring revision surgery. A total of 110 patients were available for analysis. As a group, they experienced both statistically significant and clinically important</u></u>

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<u>improvements</u> in pain and function in all physical outcome scales. The International Knee Documentation Committee improved from 40 ± 14 preoperatively to 69 ± 20 at the last follow-up; the Cincinnati Rating Scale, from 3.2 ± 1.2 to 6.2 ± 1.8; and the Western Ontario and McMaster Universities Osteoarthritis Index, from 50 ± 22 to 29 ± 22. Ninetytwo percent of patients stated that they would choose to undergo ACI again, and 86% rated their knees as good or excellent at the time of final follow up. Nine patients (8%) were considered treatment failures, and 16% reported that their knees were not improved. The authors concluded that while cartilage repair in the patellofemoral joint is arguably not its challenges, and autologous chondrocyte implantation remains off-label in the patella, when performed with attention to patellofemoral biomechanics, self-rated subjective good and excellent outcomes can be achieved in more than 80% of patients treated with ACI, even in a patient population with large and frequently bipolar defects such as the one presented in this study. However, final functional scores, although significantly improved, still reflected residual disability in this challenging group of patients. The findings are limited by lack of comparison group.

Harris et al. (2011) conducted a systematic review to compare autologous chondrocyte implantation with other cartilage repair or restoration techniques. Thirteen studies (randomized controlled trials or cohort studies only, n =917) were included. Patients underwent autologous chondrocyte implantation (n = -604), microfracture (n = -271), or osteochondral autograft (n = -42). Three of 7 studies showed better clinical outcomes after autologous chondrocyte implantation in comparison with microfracture after 1 to 3 years of follow-up, whereas 1 study showed better outcomes 2 years after microfracture and 3 other studies showed no difference in these treatments after 1 to 5 years. Clinical outcomes after microfracture deteriorated after 18 to 24 months (in 3 of 7 studies). Autologous chondrocyte implantation and osteochondral autograft demonstrated equivalent short-term clinical outcomes, although there was more rapid improvement after osteochondral autograft (2 studies). A defect size of >4 cm (2) was the only factor predictive of better outcomes when autologous chondrocyte implantation was compared with a non-autologous chondrocyte implantation surgical technique. The authors concluded that all ofallof the cartilage repair/restoration techniques provide short-term success.

Basad et al. (2010) compared the clinical outcomes of patients with symptomatic cartilage defects treated with matrix-induced autologous chondrocyte implantation (MACI®) or microfracture (MF). The 60 patients included were 18 to 50 years of age with symptomatic, post-traumatic, single, isolated chondral defects (4-10 cm2) and were randomized to receive MACI® (40) or MF (20). Patients were followed up 8-12, 22-26 and 50-54 weeks postoperatively for efficacy and safety evaluation. The difference between baseline and 24 months post-operatively for both treatment groups was significant for the Lysholm, Tegner, patient ICRS and surgeon ICRS scores. However, MACI® was significantly more effective over time (24 months versus baseline) than MF according to the Lysholm, Tegner, ICRS patient and ICRS surgeon scores. According to the authors, MACI® is superior to MF in the treatment of articular defects over 2 years.

A case series by Peterson et al. (2010) evaluated the clinical outcomes of autologous chondrocyte implantation in 224 patients 10 to 20 years after implantation (mean = 12.8 years). The authors found that autologous chondrocyte implantation is an effective and durable solution for the treatment of large full-thickness cartilage and osteochondral lesions of the knee joint and clinical and functional outcomes remain high even 10 to 20 years after the implantation.

A systematic review of 9 different trails (n=626) by Vasiliadis et al. (2010) found that ACI is an effective treatment for full thickness chondral defects of the knee, providing an improvement of clinical outcomes. The authors note, however, that there is

insufficient data to say whether ACI is superior to other treatment strategies in full thickness articular cartilage defects of the knee. Additional<u>MoreAdditional</u> studies are needed before specific clinical recommendations can be made.

Vavken and Samartzis (2010) conducted a systematic review of 9 studies (n=526) to compare ACI to other methods of cartilage repair or placebo. The authors found that there was no clear recommendation concerning the efficacy of ACI compared to other treatment options such as microfracture or osteochondral grafts. There is, however, some evidence for better clinical outcomes for ACI compared with osteochondral grafts and equivalent outcomes compared with microfracture. AdditionalAddedAdditional studies are needed to further assess the benefits of ACI compared to other treatments.

Clinical Practice Guidelines

Professional Societies/Organizations

American Academy of Orthopaedic Surgeons (AAOS)

In an updated 2015 Appropriate Use Criteria for Management of Osteochondritis Dissecans of the Femoral Condyle, the American Academy of Orthopedic Surgeons (AAOS) stated that ACI "may be appropriate" for some patients with osteochondritis dissecans but considers it "rarely appropriate" for most patients.

In a 2010 and 2012 clinical practice guideline on the diagnosis and treatment of osteochondritis dissecans (OCD), the AAOS was unable to recommend for or against a specific cartilage repair technique in symptomatic skeletally immature or mature patients with an unsalvageable osteochondritis dissecans lesion. This recommendation of insufficient evidence was based on a systematic review that found four (4) level IV studies that addressed cartilage repair techniques for an unsalvageable OCD lesion. Because each of the level IV articles used different techniques, different outcome measures, and differing lengths of follow up, the work group deemed that the evidence for any specific technique was inconclusive.

National Institute for Health and Clinical Excellence (NICE)

In 2018, NICE updated a 2005 guidance on (2017) provided the use of following

- recommendations for autologous chondrocyte implantation (ACI) of the knee:
- (ACI) is recommended as an option for treating symptomatic articular cartilage defects of the **femoral condyle and patella of the** knee, only if:

⊖● The person has not had previous surgery to repair articular cartilage defects

- There is minimal osteoarthritic damage to the knee (as assessed by clinicians experienced in investigating knee cartilage damage using a validated measure for knee osteoarthritis); and
- The defect is over 2 cm² (NICE, 20178b); and

• <u>NICE developed</u> The procedure is done at a technology appraisal guidance on ACI tertiary referral centre

ACT for Trochlear and Patellar Defects

Published trials comparing ACT with other surgical repair procedures for defects in the knee included relatively few patients with trochlear or patellar defects. There are no adequate prospective clinical studies of the effectiveness of <u>autologous chondrocyte</u> implantation using chondrosphere for treating on defects of the patella or talus. Prospective, randomized clinical studies are needed to assess the impact on functional status, disability, and pain. In addition, studies need to compare the effectiveness of

autologous chondrocyte implantation to established methods of treatment of patellar or talus defects.

symptomatic articular

Niemeyer et al (2016) stated that treatment of cartilage defects of the knee:

ACI using chrondrosphere is recommended as <u>remains</u> an option for treating <u>symptomatic</u> articular cartilage defects of the femoral condyle and patella of the knee (ICRS <u>International Cartilage Repair Society</u> grade III or IV) in adults, only if:

- The person has not had previous surgery to repair articular cartilage defects
- There is minimal osteoarthritic damage to the knee (as assessed by clinicians experienced in investigating knee cartilage damage using a validated measure for knee osteoarthritis) and
- The defect is over 2 cm² (NICE, 2018b)

German Cartilage Registry (KnorpelRegister DGOU)

Gomoll et al. (2014) conducted a multicenter study to show the repair of patellar cartilage defects with autologous chondrocyte implantation (ACI) can provide 1 of 4 participating improvements in pain and function. Patients were treated at cartilage trachlas) defects were included as well. All patients were followed prospectively least 4 years with multiple patient reported outcome instruments, including the ternational Knee Documentation Chart Form pain requiring revision both statistically signif. and clinically important group, they experienced in all physical improvements in pain and function -outcome geoleg International Documentation Committee improved from 40 ± 14 preoperatively to 69 ± 20 at the last follow-up: Ontario and McMaster Universities Osteoarthritis Index, from 50 final follow-up considered treatment failures, and 16% reported that their knees were not improved. authors concluded that while cartilage repair in the patellofemoral joint is arguably without its challenges, and autologous chondrocyte implantation remains off label patella, when performed with attention to patellofemoral biomechanics, self-rated subjective good and excellent outcomes can be achieved in more than 80% of patients treated with ACI, even in a patient population with large and frequently bipolar defects

ouch as the one presented in this study. However, final functional scores, although significantly improved, still reflected residual disability in this challenging group of patients.

Osteochondral Autograft Transplantation of the Knee

Evidence from the peer-reviewed published scientific literature, textbook and some professional societies support short to intermediate-term efficacy of osteochondral autograft transplant of the knee in specific patient subgroups.

Trofa et al. (2022) conducted a systematic review and meta-analysis of high-quality studies to evaluate the results of osteochondral autograft and allograft transplantation for treating symptomatic cartilage defects of the knee. The articles included were those with level 1 or 2 original studies, individuals reporting knee cartilage injuries and chondral defects, an average follow-up of ≥ 2 years, and articles focusing on osteochondral transplant techniques. Primary outcomes were measured using patientreported outcomes and failure rates associated with both methods, along with factors such as lesion size, age, sex, and the number of plugs transplanted. For the meta-analysis, the metaregression using a mixed-effects model was used. The investigation uncovered twenty articles, with 364 cases who received osteochondral autografts and 272 who received osteochondral allografts. The results showed an average survival of 88.2% in the osteochondral autograft cohort and 87.2% in the osteochondral allograft cohort at 5.4 and 5.2 years, respectively. An average of 65.1% and 81.1% were reported on patient-reported outcomes after osteochondral autograft and allograft, respectively. The meta-analysis showed no significant difference in patient-reported outcome percentage change between osteochondral autograft and allograft (P = .97) and a coefficient of 0.033 (95% CI, -1.91 to 1.98). Meta-analysis of the relative risk of graft failure after osteochondral autograft versus allograft showed no significant differences (P = .66) and a coefficient of 0.114 (95% CI, -0.46 to 0.69). Furthermore, the regression did not find other predictors (mean age, percentage of female patients, lesion size, number of plugs/grafts used, and treatment location) that may have significantly affected patient-reported outcome percentage change or postoperative failure between osteochondral autograft versus allograft. The review concluded that osteochondral autograft and allograft results in favorable patient-reported outcomes and graft survival rates at medium-term follow-up.

Kizaki et al. (2021) directed a systematic review comparing arthroscopic and open osteochondral autograft transplantation (OAT) for knee cartilage damage. The authors evaluated clinical outcomes, postoperative complications, defect location, and defect size between open and arthroscopic OATs. In all, twenty-four articles were included in the review with a total sample of 1,139 individuals, 532 in the OAT group and 607 in the arthroscopic OAT group. The results showed that for open OAT, the defect size was three times larger than that of the arthroscopic OAT (2.96 \pm 0.76 vs. 0.97 \pm 0.48 cm2). Regarding defect location, the medial femoral condyle (MFC) was the most common (75.4%), then the lateral femoral condyle (LFC; 12.1%), patella (6.7\%), and the trochlea (5.7\%). All these defect locations were treated with open OAT, while arthroscopic OAT treatments were limited to the MFC and LFC. Overall, the clinical outcomes were favorable, with the modified Hospital for Special Surgery knee scores being 89.6 ± 8.0 (36.1-month follow-up) versus 90.4 \pm 6.0 (89.5-month follow-up) and the Lysholm scores being 81.6 \pm 8.9 (44.2month follow-up) and 83.3 ± 7.4 (12.0-month follow-up) between open and arthroscopic OATs, respectively. The authors concluded that the overall clinical outcomes were favorable in open and arthroscopic OATs, while open OAT allowed treating lesions approximately three times greater in dimension than in arthroscopic OAT.

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A Hayes Medical Technology Directory report on the comparative effectiveness of mosaicplasty for the treatment of articular cartilage injuries does not recommend this procedure for children due to insufficient clinical evidence of safety and efficacy for this patient population. Results from a large body of moderate-quality evidence suggest that mosaicplasty may provide some benefits for patients with articular cartilage defects of the knee. However, the comparative long-term efficacy and safety of mosaicplasty is unclear, as the majority of controlled or comparative studies (13 of 14 studies) enrolled fewer than 100 patients, half of the studies involved less than 5 years of follow up, and only 6 studies reported complications separately for the treatment groups. (Hayes, 2020 (Hayes, 2018)

In a large-scale, systematic review and network meta-analysis, Zamborsky and Danisovic (2020) examined the most appropriate surgical interventions for patients with knee articular cartilage defects from the level I randomized clinical trials. Treatments were compared using network meta-analysis to boost the number of included studies per comparison. They studied 21 articles that included 891 patients. There were significantly higher failure rates in the microfracture (microfracture (MFx) group compared to autologous chondrocyte implantation (ACI) group at 10-year follow-up. Individuals who underwent OAT had higher return-to-activity rates than those with MF. It should be noted that the KOOS was higher in patients who underwent characterized chondrocyte implantation or Matrix-Induced Autologous Chondrocyte Implantation (MACI) compared to MF. Finally, there were no significant differences among the various interventions regarding reintervention, biopsy types or adverse events (AEs). The authors concluded that cartilage repair techniques, other than MF, provided higher quality repair of tissue and had lower failure and higher return-to-activity rates. The authors stated that future studies continue to require longer follow-up periods and more representative populations to examine the safety and efficacy of these interventions.

Solheim et al. (2018) conducted a randomized study to compare the clinical outcome of MFx and mosaicplasty/osteochondral autograft transfer in symptomatic cartilage lesions. Overall, 40 forty individuals were included in the study, with 20 in the MFx group and 20 in the mosaicplasty group. The primary outcome measure was the Lysholm knee score recorded before the surgery and at 12 months, with a median of 5 years, a median of 10 years, and a minimum of 15 years post-operative. The results showed a substantial rise in the Lysholm score for all individuals from a mean of 53 (SD, 16) at baseline to 69 (SD, 21) at the minimum 15-year follow-up (P = .001). The mean Lysholm score was significantly higher in the mosaicplasty group than the MFx group at 12 months, median five years, median ten years, and minimum 15 years: 77 (SD, 17) compared to 61 (SD, 22), respectively (P = .01), at the final follow-up. At all follow-up time points, the difference in the mean Lysholm score was clinically significant (>10 points). The authors concluded that at short, medium, and long term (minimum 15 years), mosaicplasty/osteochondral autograft transfer results in a better, clinically relevant outcome than MFx for articular cartilage defects (2-5 cm² \in m²) of the distal femur of the knee in patients aged 18 to 50 years.

According to the National Institute for Health and Care Excellence (NICE) guidance document on mosaicplasty for symptomatic articular cartilage defects of the knee, current evidence on the safety and efficacy of mosaicplasty for knee cartilage defects is adequate to support the use of this procedure, providing the procedure is done by surgeons experienced in cartilage surgery and with specific training in mosaicplasty for knee cartilage defects. Additionally, standard arrangements should be in place for clinical governance, consent consent, and audit. However, their Interventional Procedures

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Advisory Committee (IPAC) concedes that "the terms mosaicplasty and osteochondral autograft transfer refer to slight variations of the same procedure and may have been used interchangeably in the literature" that was reviewed to reach their conclusion (NICE, **2018a** $\frac{2}{2018}$).

Hangody et al. (2010) evaluated if mosaicplasty is effective in returning elite athletes to participation in sports. The results of mosaicplasty were prospectively evaluated at 6 weeks, 3 months, 6 months, and yearly in 354 patients. Good to excellent results were found in 91% of femoral mosaicplasties, 86% of tibial, and 74% of patellofemoral; 92% of talar mosaicplasties had similar results comparable results. The investigators concluded that despite a higher rate of preoperative osteoarthritic changes in the athletic patients, clinical outcomes of mosaicplasty in this group demonstrated a success rate similar to that of less athletic patients. Higher motivation resulted in better subjective evaluation. Slight deterioration in results occurred during the 9.6-year follow-up. The authors stated that autologous osteochondral mosaicplasty may be a useful alternative for the treatment of 1.0- to 4.0 cm2 focal chondral and osteochondral lesions in competitive athletes.

Osteochondral Allograft Transplantation of the Knee

<u>Knee</u>

The current medical literature regarding osteochondral allografting of the knee shows that this procedure has demonstrated acceptable long-term results measured by reduction in pain, improved physical function, and sustained osteochondral graft viability. There is also sufficient evidence to support the use of osteochondral allograft of the knee in patients who are physically active, have failed standard medical and surgical treatments, and are considered too young for total knee arthroplasty.

In 2022, Matthews and associates compared differences in clinical and functional outcomes for individuals treated with Osteochondral allograft (OCA) transplantation for osteochondral defects with isolated chondral pathology. A total of eighty-six individuals were included, and subjects were grouped into osteochondral (24 individuals) or isolated chondral pathology (62 individuals) groups. The outcome measures were assessed on average 5.4 ± 1.4 years using the Knee Injury and Osteoarthritis Outcome Score for Joint Replacement (KOOS, JR) and SF-12 physical scores. Failure was defined as a revision of OCA, graft removal, conversion to autologous chondrocyte implantation (ACI), or modification to arthroplasty. The results showed that individuals with osteochondral pathology had significantly greater KOOS JR., IKDC, and SF-12 scores (P < 0.05), and fewer failures were reported in the osteochondral group (8.3% versus 32.3%, P = 0.045). The authors concluded that individuals undergoing OCA for osteochondral defects might have superior functional outcomes and comparable failure rates versus OCA transplantation for isolated chondral pathology.

<u>Cortz et al.</u> (2010) <u>evaluated osteochondral allografts for treatment of steroid</u> <u>associated osteonecrosis in 22 patients (28 knees). Patient average age was 24.3 years</u> <u>(range, 16-44 years). The mean graft surface area was 10.8 cm(cm (2). The minimum followup was 25 months (mean, 67 months). Five knees failed. The graft survival rate was 89% (25 of 28). According to the authors, osteochondral allografting is a reasonable salvage option for osteonecrosis of the femoral condyles. Total knee arthroplasty (TKA) was avoided in 27 of the 28 of knees at last follow-up.</u>

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Gross et al. (2008) examined histologic features of 35 fresh osteochondral allograft specimens retrieved at the time of subsequent graft revision, osteotomy, or total knee arthroplasty (TKA). Histologic features of early graft failures were lack of chondrocyte viability and loss of matrix cationic staining. Histologic features of late graft failures were fracture through the graft, active and incomplete remodeling of the graft bone by the host bone, and resorption of the graft tissue by synovial inflammatory activity at graft edges. Histologic features associated with long-term allograft survival included viable chondrocytes, functional preservation of matrix, and complete replacement of the graft bone with the host bone. Given chondrocyte viability, long-term allograft survival depends on graft stability by rigid fixation of host bone to graft bone. According to the investigators, with the stable osseous graft base, the hyaline cartilage portion of the allograft can survive and function for 25 years or more.

Emmerson et al. (2007) evaluated $66\underline{sixty-six}66$ knees in 64 patients who underwent fresh osteochondral allografting for the treatment of osteochondritis dissecans. Mean follow-up was 7.7 years (range, 2-22 years). There were $45\underline{forty}\underline{five}45$ men and 19 women with a mean age of 28.6 years (range, 15-54 years). All patients had undergone previous surgery. Forty-one lesions involved the medial femoral condyle, and $25\underline{twenty}\underline{five}25$ involved the lateral femoral condyle. All were osteochondritis dissecans type 3 or 4. The mean allograft size was 7.5 cm(\underline{cm} (2). One knee was lost to follow-up. Of the remaining $65\underline{sixty}\underline{five}65$ knees, 47 (72%) were rated good/excellent, 7 (11%) were rated fair, and 1 (2%) was rated poor. Ten patients (15%) underwent reoperation. The authors concluded that with greater than 70% good or excellent results, fresh osteochondritis dissecans of the femoral condyle.

Gortz et al. (2010) evaluated osteochondral allografts for treatment of steroidassociated osteonecrosis in 22 patients (28 knees). Patient average age was 24.3 years (range, 16-44 years). The mean graft surface area was 10.8 cm(2). The minimum follow-up was 25 months (mean, 67 months). Five knees failed. The graft survival rate was 89% (25 of 28). According to the authors, osteochondral allografting is a reasonable salvage option for osteonecrosis of the femoral condyles. Total knee arthroplasty (TKA) was avoided in 27 of the 28 of knees at last follow-up.

Clinical Practice Guidelines

Professional Societies

American Academy of Orthopaedic Surgeons (AAOS)

In a Clinical Practice Guideline for the diagnosis and treatment of osteochondritis dissecans, the AAOS states that they unable to recommend for or against a specific cartilage repair technique in symptomatic skeletally immature patients with unsalvageable fragment (AAOS 2012).

In an updated consensus statement, the AAOS states that skeletally immature patients, who have continued or progressing symptoms and signs of loosening, are unlikely to heal without treatment and may be at higher risk of severe osteoarthritis (osteoarthrosis) at an early age. Therefore, even in the absence of reliable evidence, symptomatic skeletally immature patients with salvageable unstable or displaced OCD lesions should be offered the option of surgery. However, no specific surgical procedures were recommended (AAOS, 2015).

An AAOS information statement for use of musculoskeletal tissue allografts indicates that the AAOS believes that for appropriate **patient's** musculoskeletal allografts

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represent a therapeutic alternative. These tissues should be acquired from facilities that demonstrate compliance, use well-accepted banking methodology and follow Food and Drug Administration (FDA) Good Tissue Practices. The AAOS urges all tissue banks to follow rigorous national guidelines and standards and recommends the use of tissue from banks that are accredited by the American Association of Tissue Banks (AAOS, 2011).

Osteochondral Autograft Transplantation

<u>Elbow</u>

There is insufficient evidence in of the peer-reviewed, published scientific literature evaluating the use of osteochondral autograft transplantation to treat lesions of the elbow.

Sayani et al. (2021) systematically reviewed the efficacy of different surgical modalities and non-operative treatment of OCD as assessed by radiological and clinical outcomes and returned to sports. In all, seventy-six articles, including 1463 individuals, were included in the review. To compare individuals with similar-grade OCD lesions in different studies according to their treatment, a unified grading system (UGS; grades 1-4) was developed from an existing validated classification system. The measured outcomes were the patient-reported functional outcome, range of motion, and return to sports after treatment. Each outcome measure was evaluated according to the grade of the OCD and treatment method. The treatment methods consisted of osteochondral autograft transplantation (OATS), debridement/MFx, fragment fixation, or non-operative treatment. The review showed that all surgical modalities significantly increased postoperative ROM and elbow scores for stable (UGS grades 1 and 2) and unstable lesions (UGS grades 3 and 4). There was no significant difference in the size of improvement or overall scores according to the type of surgery for stable or unstable lesions. Return to sports was superior with non-operative treatment for stable lesions, while surgical treatment was superior for unstable lesions. Patients with an open capitellar physis had excellent ROM for stable and unstable lesions, but there was no correlation between lesion location and the outcomes of OATS versus fragment fixation for high-grade lesions. The authors concluded that non-operative treatment was comparable to surgical treatment for low-grade lesions, while surgical treatment such as OATS, debridement/MFx, and fragment fixation was superior for higher-grade lesions.

In 2020, Logi and associates led a systematic review to evaluate the outcomes and complications of OAT and OCA for the surgical treatment of capitellar osteochondritis dissecans (OCD). The exploration resulted in eighteen articles, including 446 OCD elbow lesions treated with OAT surgery. The primary outcome measure was heterogeneously reported and was as follows: Timmerman-Andrews scores, return-to-play rates, ROM, complications, reoperations, Lysholm scores, and failure rates. The results proved a significant improvement in Timmerman-Andrews scores from preoperatively to postoperatively, reported in 9 of 10 studies. Sixteen studies showed return-to-play rates to the preinjury level of competitive play ranged from 62% to 100%. Significant improvement in motion, most often extension, was noted in most studies. Reported complication, reoperation, and failure rates ranged from 0% to 11%, 0% to 26%, and 0% to 20%, respectively. When used, knee autografts resulted in low donor-site morbidity (Lysholm scores, 70-100). The authors concluded that OAT for surgery of large, unstable OCD lesions of the capitellum reliably produced good outcomes, few compilations, and a high rate of return to play. The donor-site morbidity is low, and complications are uncommon. However, little is known about the performance of OCA due to the paucity of available literature. Limitations to the review include a high risk of bias and limited quality of evidence.

Many of the trials consist of small patient populations, lack control or comparative groupsgroups, and evaluate short-term outcomes (Shimada, et al., 2005; Tsuda, et al., 2005; Yamamoto, et al., 2006; Iwasaki, et al., 2006; Ansah, et al., 2007, Oveson, et al., 2011; Shimada, et al, 2012). Mid to long-term outcomes have been reported (Vogt, et al, 2011), however the sample population of this trial were small, and the study was not designed to be comparative. The results of some studies <u>demonstrateshow</u> improved pain scores in addition to radiograph confirmation of graft incorporation. The outcomes reported <u>regardingon</u> pain, return to sports and elbow function were satisfactory however the authors noted further long-term clinical trials supporting efficacy are needed. Larger clinical trials evaluating long-term outcomes compared to conventional methods of treatment are needed to support widespread use of this procedure.

Talus

Evidence evaluating the use of autograft for osteochondral defects of the talus is still elusive. The use of osteochondral autograft in ankles is limited to retrospective and prospective case series and few randomized controlled trials, nonrandomized controlled trials involving small patient populations and published reviews. Controlled trials with longer follow-up are needed to demonstrate that use of osteochondral autografts as a primary treatment results in improved clinical outcomes. The evidence base is not as robust when compared to that evaluating the knee, although reported clinical outcomes extend short-to intermediate-term; on average two to eight years post-operatively. In general, the clinical outcomes have been mixed regarding improvement in postoperative pain and function, with some authors reporting high failure rates and the need for further surgery. Authors have acknowledged further well-designed studies with larger sample size are needed to assess improved long-term outcomes.

In 2004 Kolker et al. reported their concern as to the overall efficacy of the procedure when used in the treatment of full thickness, advanced, osteochondral defects of the talar dome. Open bone grafting did not predictably improve symptoms and yielded poor results in the patient population studied. The authors have acknowledged further welldesigned studies with larger sample size are needed to assess improved long term outcomes (Balzer and Arnold, 2005; Scranton, et al., 2006 Imhoff et al., 2011, Liu et.al, 2011).

In 2022, Migliorini and colleagues conducted a systematic review and meta-analysis to compare allografts vs. autografts osteochondral transplants for chondral defects of the talus. The primary outcomes were the VAS score for pain, the AOFAS score, and the Magnetic Resonance Observation of Cartilage Repair Tissue (MOCART) score. Secondary outcomes were revision surgery and rates of failure. Retrieved from the search was data from 40 studies (1174 procedures) with a mean follow-up of 46.5 ± 25 months. The results of the search proved comparability concerning the length of follow-up, male-to-female ratio, mean age, body mass index, defect size, VAS score, and AOFAS score (P > .1) between the groups at baseline. At the last follow-up, the MOCART (MD, 10.5; P = .04) and AOFAS (MD, 4.8; P = .04) scores were better in the autograft group. The VAS score was similar between the 2 groups (P = .4). At the last follow-up, autografts showed lower rate of revision surgery (OR, 7.2; P < .0001) and failure (OR, 5.1; P < .0001). The authors concluded that talar osteochondral transplant using allografts was associated with higher failure and revision rates.

Pereira et al. 2021 systematically reviewed clinical outcomes after fresh OATs of the talus. The preliminary results were according to standardized scoring systems such as AOFAS ankle/hindfoot Scare and the VAS. The investigation yielded twelve studies that

included 191 individuals with an average follow-up of 56.8 (6-240) months. The results showed significant improvements according to the AOFAS ankle/hindleg score pre and postoperatively (p <.05). VAS pain score resulted in a considerable decrease from pre to postoperatively (p < .05). However, 21.6% of individuals needed subsequent minor procedures. The authors concluded that the findings were clinically applicable, displaying at a mean follow-up of over 4.5 years, exceptional functional outcomes, and reduced pain and disability have been dependably reported with multiple validated questionnaires. Moreover, the graft survival across the studies was 86.6%, with subsequent high patient satisfaction scores. However, the radiographic evidence of degenerative changes was still predominant, with 47.6% (50/105) of individuals having some signs of degenerative changes, including cyst formation, osteophytosis, and joint space narrowing. Furthermore, the articles had small sample sizes, heterogeneous data reporting, heterogeneous study populations, variable outcome measures collected at different time points, and different lengths of follow-up across the included studies. There is a need for higher quality levels of evidence, with a long-term follow-up that assesses the OAT of the talus with other methods to decide safety and efficacy.

In a systematic review, Lambers et al. (2018) identified the most effective surgical treatment for talar osteochondral defects after failed primary surgery. These investigators carried out a literature search to find studies published from January 1996 till July 2016 using PubMed (Medline), Embase, CDSR, DARE and CENTRAL. A total of 2121twenty-one studies (299 patients with 301 talar OCDs that failed primary surgery) were examined; 8 studies were retrospective case series, 12 were prospective case series and there was 1 randomized controlled trial (-RCT). Because of the low level of evidence and the scarce number of patients, no methodologically proper meta-analysis could be performed. The authors concluded that multiple surgical treatments were used for talar osteochondral defects (defects (OCDs) after primary surgical failure. More invasive methods were administered in comparison with primary treatment. No methodologically proper meta-analysis could be performed because of the low level of evidence and the limited number of patients. Thus, it was inappropriate to draw firm conclusions from the collected results. Besides an expected difference in outcome between the autograft transfer procedure and the more extensive procedures of mosaicplasty and the use of an allograft, neither a clear nor a significant difference between therapeutic options could be demonstrated. The authors stated that the need for sufficiently powered prospective studies in a randomized comparative clinical setting remains high. The findings of this systematic review could be used in order toto inform patients regarding expected outcome of the various therapeutic options used after failed primary surgery.

Zengerink M, et al. (2010) The aim of this study was to summarize all eligible studies to compare the effectiveness of treatment strategies for osteochondral defects (OCD) of the talus. For each treatment strategy, study size weighted success rates were calculated. Fifty-two studies described the results of 65 treatment groups of treatment strategies for OCD of the talus. Nine of the studies were for osteochondral transplantation (OATS). OATS scored success rates of 87%, respectively. However, due to great diversity in the articles and variability in treatment results, no definitive conclusions can be drawn. Further sufficiently powered, randomized clinical trials with uniform methodology and validated outcome measures should be initiated to compare the outcome of surgical strategies for OCD of the talus.

In 2004 Kolker et al. <u>reported their concern as to the overall efficacy of the procedure</u> when used in the treatment of full-thickness, advanced, osteochondral defects of the talar dome. Open bone grafting did not predictably improve symptoms and yielded poor

population studied. The authors acknowledged -sample size are (Balzer and Arnold, 2005; Scranton, et al., 2006 Imhoff et al., 2011, Liu et.al, 2011).

Clinical Practice Guidelines

American Orthopaedic Foot and Ankle Society (AOFAS)

In the 2022, the -2013, the AOFAS updated the published a position statement regardingon osteochondral transplantation for the treatment of osteochondral lesions of the talus. According to this position statement the AOFAS supports the use of osteochondral transplantation for the treatment of osteochondral lesions of the talus that have failed other management, especially for large diameter lesions and cystic lesions, and those that have failed previous surgical treatment. To this end, the AOFAS considers osteochondral transplantation to be a treatment optionchoice with demonstrated improved outcomes. This position is based on multiple reports from the peer-reviewed scientific literature.

Minced Cartilage Repair

Minced cartilage techniques are either not approved in the United States and/or in the early stages of development and testing (e.g., particulated juvenile articular cartilage). Early results from case series appear to show similar outcomes compared with other treatments for cartilage defects, but these case series do not permit allow conclusions regarding the effect of this treatment on health outcomes. Further studies with a larger number of patients and longer follow-up are needed, especially larger randomized controlled trials that directly compare particulated juvenile articular cartilage with other established treatments.

Runer & Salzmann (2022) reviewed the current evidence supporting chondrocyte-based, single-stage cartilage repair, focusing on the autologous minced cartilage implantation technique. The authors uncovered limited evidence; for example, only in vitro and animal studies showed that the induction of de novo production of extracellular matrix, chondrocyte outgrowth, proliferation, and differentiation has encouraged tissue generation. The authors concluded from the available in vitro and in vivo data autologous minced cartilage repair is a promising single-stage cartilage repair procedure with robust biological, economic, and clinical potential. However, high-level, long-term, comparative clinical trials with larger cohorts are needed to compare with other cartilage repair techniques and determine implant efficacy.

Hayes reviewed literature for DeNovo NT Natural Tissue Graft for Articular Cartilage Repair of the Knee or Ankle in a Health Technology Assessment. The authors concluded that there was very-low-quality body of evidence. - The assessment uncovered is comprised small, poor- to very-poor-quality studies and is insufficient to draw conclusions regardingon the balance of benefits and harms associated with DeNovo NT for articular cartilage repair. (Hayes, 2019; updated 2021).

Farr et al. (2014) performed a case study of twenty-five patients that were followed preand post-operatively through 2 years. Physical knee examinations, as well as multiple clinical surveys and MRI were performed at baseline and 3-, 6-, 12- and 24-month intervals. In some cases, patients voluntarily underwent diagnostic cartilage biopsy at 2 years post-op to assess the histological appearance of cartilage repair. Clinical outcomes demonstrated statistically significant increases at 2

years compared with baseline, with improvement seen as early as 3 months. MRI results suggested the development of normal cartilage by 2 years. Histologically, biopsied repair tissue was noted to be composed of a mixture of hyaline and fibrocartilage and there appeared to be excellent integration of the transplanted tissue with the surrounding native articular cartilage.

Farr et al. (2012) noted that the DeNovo Natural Tissue is a novel treatment option focal articular cartilage defects in the knee. In the laboratory and in animal models, demonstrated the ability of the transplanted cartilage DeNovo NT has 00110 1100 cano" from the extracellular matrix, migrate, multiply, and form a new hyaline-like cartilage sue matrix that integrates with the surrounding host tissue. In clinical practi ce, t.ł technique for DeNovo NT is straightforward, requiring only a single surgery to affect cartilage repair. Clinical experience is limited, with short-term studies demonstrating the procedure to be safe, feasible, and effective, with improvements in subjective patient scores, and with magnetic resonance imaging evidence of good defect fill. The authors concluded that while this treatment optionpossibility appears promising, prospective randomized controlled studies are needed to refine the indications and contraindications for DeNovo NT.

In 2011, Cole et al. reported on a multicenter trial with 29twenty-nine29 patients (of 582 screened). Individuals were randomized in a 1:2 ratio to microfracture or Cartilage Autograft Implantation System (System (CAIS). In the single-stage CAIS procedure, autologous hyaline cartilage was harvested, minced, affixed on a synthetic absorbable scaffold, and fixed on the lesion site with absorbable staples. At baseline, there were no significant differences between groups in the duration of symptoms, ICRS grade, and area and depth of the chondral defect. There was a difference in the sex and work status of the 2 groups. At 3-week and 6-month follow- ups, there were no significant differences in outcomes between the 2two2 groups, but, at later time points, there were differences reported. The IKDC score was significantly higher in the CAIS group compared with the microfracture group at both 12 (73.9 vs 57.8) and 24 (83.0 vs 59.5) months. All subdomains of the KOOS symptoms and stiffness, pain, activities of daily living, sports and recreation, knee-related quality of life were significantly increased at 24 months in the CAIS group compared with microfracture patients. Qualitative analysis of MRI at 3 weeks and 6, 12, and 24 months showed no differences in fill of the graft bed, tissue integration, or presence of subchondral cysts. Adverse events were similar for the 2 groups.

JuvenileMicrofracture Repair

-Cartilage Allograft Tissue Implantation (e.g., DeNovo[®] NT Natural Tissue Graft)

Farr et al (2012) noted that the DeNovo Natural Tissue is a novel treatment option for focal articular cartilage defects in the knee. In the laboratory and in animal models, DeNovo NT has demonstrated the ability of the transplanted cartilage cells to "escape" from the extracellular matrix, migrate, multiply, and form a new hyaline-like cartilage tissue matrix that integrates with the surrounding host tissue. In clinical practice, the technique for DeNovo NT is straightforward, requiring only a single surgery to affect cartilage repair. Clinical experience is limited, with short-term studies demonstrating the precedure to be safe, feasible, and effective, with improvements in subjective patient scores, and with magnetic resonance imaging evidence of good defect fill. The authors concluded that while this treatment option appears promising, prospective randomized controlled studies are needed to refine the indications and contraindications for DeNovo NT.

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Farr et al. (2014) performed a case study of twenty-five patients that were followed preand post operatively through 2 years. Physical knee examinations, as well as multiple clinical surveys and MRI were performed at baseline and 3, 6, 12 and 24 month intervals. In some cases, patients voluntarily underwent diagnostic arthroscopic surgery with cartilage biopsy at 2 years post op to assess the histological appearance of the cartilage repair. Clinical outcomes demonstrated statistically significant increases at 2 years compared with baseline, with improvement seen as early as 3 months. MRI results suggested the development of normal cartilage by 2 years. Histologically, biopsied repair tissue was noted to be composed of a mixture of hyaline and fibrocartilage and there appeared to be excellent integration of the transplanted tissue with the surrounding native articular cartilage.

Wen et al. (2022) conducted a systematic review and Meta-analysis to compare the efficacy and safety of microfracture (MFx) and microfracture augmented (MFx +) techniques for treating knee cartilage defects. The review included thirteen trials with 635 individuals. The results showed There was a significant difference in the Lysholm's score (SMD = 0.26, 95% CI: 0.01-0.50, p = 0.04) and magnetic resonance observation of cartilage repair tissue (MOCART) score (SMD = 14.01, 95% CI: 8.01-20.02, p < 0.01) between the MFx and MFx+ groups. There was no significant difference in the Western Ontario and McMaster Universities Osteoarthritis Index score (SMD = - 12.40, 95% CI: -27.50 to 32.71, p = 0.11), International Knee Documentation Committee score (SMD = 8.67, 95% CI: -0.92 to 18.27, p = 0.08, visual analog scale (VAS) score (SMD = - 0.20, 95% CI: -2.45 to 0.96, p = 0.57), Tegner's score (SMD = 0.26, 95% CI: -0.67 to 1.18, p = 0.59), modified Cincinnati's score (SMD = - 4.58, 95% CI: -14.31 to 5.14, p = 0.36) and modified International Cartilage Repair Society (ICRS) pain score (SMD = 0.09, 95% CI: -0.37 to 0.55, p = 0.70) between the groups. Results of the pooled analyses of the MFx+ and MFx groups suggested that the MFx+ method is somewhat superior to the MFx method for treating knee articular cartilage defects. Further research, including outcomes at long-term follow-ups, is required to determine the long-term efficacy and safety of MFx and MFx+.

Kim et al. (2020) led a multicenter randomized controlled trial to evaluate the clinical efficacy and safety of treating individuals with a cartilage defect of the knee with MFx microfracture and porcine-derived collagen-augmented chondrogenesis technique (C-ACT). In random order, 100 individuals were assigned to the control group (n+48, MFxmicrofracture) or the investigational group (n+52, C-ACT). The primary outcome measures were clinical and magnetic resonance imaging (MRI) outcomes assessed at 12 and 24 months postoperatively for efficacy and AE. MOCART assessments were performed to analyze cartilage tissue repair. The VAS score assessed pain and 20% improvement. Added measurements included minimal clinically important difference (MCID), patient-acceptable symptom state for KOOS, and the International Knee Documentation Committee score. There was no significant difference between the investigational and control groups regarding baseline characteristics and cartilage status. MOCART scores at the 1-year follow-up showed significantly more substantial improvement in the investigation group regarding the degree of defect repair and filling, integration with the border zone, and effusion (P = .0201, P = .0062, and P = .0079, respectively; $\geq 50\%$ defect filling was observed in 37 individuals (41.57%) in the investigation group and 26 (29.21%) in the control group. There was a statistically significant difference between the two groups (P = .0377). The odds ratio (OR) was 3.984 times higher (95% confidence interval [CI] 1.277 to 12.429) in the investigation group, which was a significant difference (P = .0132). Postoperative MRI T2 assessment of RT, the T2 value in the investigational group was an average of 32.87 ± 15.71 ms, with the average value in the control group 28.44 ± 13.43 ms. There was no statistically significant difference between the two groups. In the postoperative MRI

T2 analysis of the RT/RC ratio with a cutoff reference point of 1, 9 subjects (17.0%) in the investigational group and 1 (1.9%) in the control group had an RT/RC ratio of \geq 1. There was a significant difference between the two groups (P = .0153). The OR was 11.37(95% CI 1.322 to 97.779) times higher in the investigational group, a significant difference (P = .0126). Factor analysis displayed no statistical correlation between each factor and the efficacy outcomes, proving the advantage of the investigational group over the control group. Compared with baseline, the clinical results of the VAS, KOOS, and IKDC scores showed significant improvement at 12 and 24 months postoperatively in both groups. There was no significant difference between the two groups at each time point. The rate of VAS improvement of >20% from baseline to 24 months after surgery was seen in 38 subjects (42.70%) in the investigational group and 29 (32.58%) in the control group. There was a statistically significant difference in the 100-mm VAS 20% improvement between the two groups (P = .0427). The OR, the correlation parameter between the 100-mm VAS 20% improvement rate based on MCID and atelocollagen use at 24 months postoperatively, was 2.808 times higher in the investigational group, with statistical significance (95% CI 1.013 to 7.779, P = .0471). Twenty-three individuals (52.3%) in the control group and 35 (77.8%) in the investigation group proved more than the MCID of KOOS pain from baseline to 1 year postoperatively, with a significant difference between groups (P = .0116). The authors concluded that the C- ACT group showed a superior result than the MFx microfracture group in the 100-mm VAS improvement rate analysis at 12 and 24 months postoperatively and a greater filling rate in the cartilage tissues as well as integration with the surrounding tissues.

In 2020, Guo and associates systematically reviewed randomized controlled trials of articular lesions of the knee, comparing clinical outcomes among individuals treated with ACI or MFx and including a meta-analysis. Primary outcomes included clinical scores, quality of life (QoL), pain relief scores, and failure rates. Twelve randomized controlled trials enrolled 659 individuals with knee cartilage lesions; 332 received ACI and 327 MFx. The current meta-analysis exposed how individuals treated with ACI had a substantial benefit in activities of daily living (ADL) compared with those treated with MF. ACI treatment also improved pain relief and QoL than MF treatment at 2- and 5-year follow-ups, respectively. Limitations include heterogeneity of the existing studies in the type of ACI, the tools for clinical, QoL, and pain assessments, and the risk of bias from insufficient blinding of participants and researchers. The authors concluded that individuals treated with ACI might significantly benefit ADL, activities of daily living, QoL, and pain relief compared with those treated with MF, but clinical relevance might not be achieved.

In a comparative study, Solheim and colleagues (2020) examined survival of cartilage repair in the knee by MFx microfracture or mosaicplasty osteochondral autograft transfer. The long-term failure rate (62 % overall) was significantly higher in the microfracture (MFX (MFxX) group compared with the OAT group. The mean time to failure was significantly shorter in the MFX group, 4.0 years compared with the OAT group, 8.4 years. In the OAT group, the survival rate stayed higher than 80 % for the first 7 years, and higher than 60 % for 15 years, while the survival rate dropped to less than 80 % within 12 months, and to less than 60 % within 3 years in the MFX group. The same pattern was found in a subgroup of patients of same age (less than 51 years) and size of treated lesion (less than 500 mm2), The non-failures (48 %) were followed for a median of 15 yeas (1 to 18). The authors concluded that MFX articular cartilage repairs failed more often and earlier than the OAT repairs, both in the whole cohort and in a subgroup of patients matched for age and size of treated lesion, indicating that the OAT repair is the more durable.

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Microfracture Repair

Ossendorff et a-1.a+ (2019) conducted a study to compare the clinical and radiographical long-term outcome of **MFx** microfracture (MFX) and first-generation periosteum-covered autologous chondrocyte implantation (ACI-P). All subjects (n = =86) who had been treated with knee joint ACI-P or **MFx** microfracture (n ==76) with a post-operative follow-up of at least ten years were selected. Clinical pre- and post-operative outcomes were analyzed by numeric analog scale (NAS) for pain, Lysholm, Tegner, IKDC, and KOOS score. Radiographical evaluation was visualized by magnetic resonance imaging (MRI). Assessment of the regenerate quality was performed by the magnetic resonance observation of cartilage repair tissue (MOCART) and modified knee osteoarthritis scoring system (mKOSS). Relaxation time (RT) of T2 maps enabled a microstructural cartilage analysis. The results showed that MFX and ACI of 44 patients resulted in a good long-term outcome with low pain scores and significant improved clinical scores. The final Lysholm and functional NAS scores were significantly higher in the MFX group. The MOCART score did not show any qualitative differences. KOSS analysis demonstrated showed that cartilage repair of small defects resulted in a significant better outcome. T2-relaxation times were without difference between groups at the region of the regenerate tissue. The authors concluded that this study did not demonstrate coherent statistical differences between both cartilage repair procedures, and MFX might be superior in the treatment of small cartilage defects.

Shanmugaraj et al. (2019) systematically assessed the trends in surgical techniques, outcomes, and complications of cartilage restoration of the patellofemoral (PF) joint. Electronic databases were searched from January 1, 2007 2007, to April 30, 2018. The Methodological Index for Non-randomized Studies (MINORS) was used to assess study quality. A two-proportion z test was used to determine whether the differences between the proportions of cartilage restoration techniques used from 2007 to 2012 and 2013-2018 were statistically significant. Overall, 28Ttwenty-eight studies were identified, including 708 patients (824 knees) with a mean age of 39.5 years and a mean follow-up of 39.1 monthslmonths. The majority of Most patients were treated with ACI (45.5%) and MFx (29.6%). A significant increase in the use of the third generation ACI occurred with a simultaneous decreased usage of the conventional MFx over the last 5 years. The authors concluded that all techniques had significant improvements in clinical outcomes. The overall complication rate was 9.2%, of which graft hypertrophy was the most prevalent. Overall, the various cartilage restoration techniques reported improvements in patient reported outcomes with low complication rates. Definitive conclusions on the optimal treatment remain elusive due to a lack of high-quality comparative studies.

Orth et al. (2019) systematically reviewed and evaluated clinical data following <u>MFx</u> microfracture treatment of knee articular cartilage defects. A systematic review was performed clinical trials on <u>MFx microfracture</u> treatment, published between 2013 and 2018. Titles, abstracts, and articles were reviewed, and data concerning patient demographics, study design, pre-, intra-, and postoperative findings were extracted. Eighteen studies including 1830 defects (1759 patients) were included. Of them, 8 (59% of patients) were cohort studies without a comparison group. Overall study quality was moderate, mainly due to low patient numbers, short follow-up periods, and lack of control groups. <u>MFx Microfracture</u> treatment of full-thickness articular cartilage defects was were performed at 43.4±68.0 months of symptom duration. Postoperative assessment at 79.5±27.2 months revealed failure rates of 11-27% within 5 years and 6-32% at 10 years. Imaging analysis was conducted in 10 studies; second-look arthroscopies were reported twice and revealed well integrated fibrocartilaginous repair tissue. The authors concluded that <u>MFx microfracture</u> provides good function and pain relief at the mid-term and clinically largely satisfying results thereafter. Standardized, high-quality future

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study designs will better refine optimal indications for **MFx** microfracture—in the context of cartilage repair strategies.

In a case-control study, Weber et al. (2018) sought to retrospectively evaluate prospectively collected patient-reported outcomes (PROs) after MFx microfracture, as well as determine figure out patient outpatient - patient-related and defect-related factors associated with clinical outcomes, and which factors predict the need for additional surgery. 101 patients with a mean defect size, 2.635 ± 1.805 cm2, between the ages of 10 and 70 years who underwent MFx microfracture by the senior author for a focal chondral defect of the knee between January 1, 2005, and March 1, 2010, were eligible for study enrollment. (Patients were excluded if they underwent concomitant procedures that violated the subchondral bone). Functional outcomes were determined using preoperative and final follow-up PROs, including the Lysholm, International Knee Documentation Committee (IKDC), Knee injury and Osteoarthritis Outcome Score (KOOS), Western Ontario and McMaster Universities Osteoarthritis Index (WOMAC), Short Form-12 (SF-12), and overall satisfaction scores. Patient-related factors (sex, age, body mass index [BMI]) and defect-related factors (lesion size, location, concomitant procedures, and prior procedures) were analyzed for correlations with outcome scores. All patient-related and defect-related factors were also analyzed as predictors for subsequent surgery. MFx Microfracture was performed alone in 72 of 102 knees. At a mean follow-up of 5.66 years clinically meaningful and statistically significant improvements were seen in all PROs except the SF-12 mental component score. Patients who had an isolated tibial plateau defect or multiple defects demonstrated showed reduced improvements in the symptom rate. Patients with a BMI >30 kg/m2 had lower postoperative scores on the KOOS **ADL** activities of daily living subscale and poorer WOMAC function and WOMAC pain scores (P = .029 and .0307, respectively). Patient BMI, age, sex, defect location, concomitant procedures, and operative side were not significant predictors for additional surgery. Larger defect size (>3.6 cm2) and prior knee surgery were independent risk factors for additional knee surgery after <u>MFx</u> -microfracture. The authors concluded that after <u>MFx</u> -microfracture, all PROs demonstrated proved clinically and statistically significant improvements at 5.7 years.

Riboh et al. (2017) conducted a network meta-analysis to synthesize the data regarding surgical treatments for cartilage defects of the knee, allowing comparisons of all treatment options and treatment rankings based on multiple measures of efficacy into a comprehensive model. Databases were searched systematically up to January 2015. The primary outcome was re-operation measured at 2, 5 and 10 years. Secondary outcomes included Tegner and Lysholm scores, the presence of hyaline cartilage on post-operative biopsy and graft hypertrophy. A random-effects network meta-analysis was performed, and the results presented as odds ratios and mean differences with 95 % CIs. The authors ranked the comparative effects of all treatments with surface under the cumulative ranking probabilities. Nineteen randomized controlled trial (RCT) from 15 separate cohorts including 855 patients were eligible for inclusion. The results showed no differences were seen in re-operation rates at 2 years. At 5 years osteochondral autografts (OC Auto) had a lower re-operation rate than MFx-microfracture, and at 10 years OC Auto had a lower re-operation rate than MFx-microfracture, but a higher reoperation rate than second-generation ACI. No significant differences in Tegner or Lysholm scores were seen at 2 years. Functional outcome data at 5 and 10 years were not available. Hyaline repair tissue was more common with OC Auto and 2nd generation ACI than MFx-microfracture, though the clinical significance of this is unknown. Second-generation ACI and Matrix-Induced Autologous Chondrocyte Implantation (MACI®)® had significantly lower rates of graft hypertrophy than first-generation ACI. Second-generation ACI, OC Auto and MACI® were the highest ranked treatments (in order) when all outcome measures were

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included. The authors concluded that **MFx** <u>microfracture</u> and advanced cartilage repair techniques have similar re-operation rates and functional outcomes at 2 years. However, advanced repair techniques <u>provide</u> **supply** higher-quality repair tissue and might afford lower re-operation rates at 5 and 10 years.

Pareek et al. (2016) conducted a comprehensive review and meta-analysis of the literature to compare microfracture (MFX) and osteochondral autograft transfer (OAT) surgical techniques to determine (1) postoperative activity level, (2) subjective patient outcomes, (3) failure rates, and (4) assess if any lesion characteristics favored one technique over the other. Studies included were all prospective studies that reported on activity-based outcome measures such as Tegner activity scores and subjective outcomes such as the International Knee Documentation Committee score. Failure rates, as determineddecided by the authors, were recorded for each study. Meta-analyses were conducted using a random-effects model. Paired standardized mean differences were used for continuous outcome measures, and risk ratios for dichotomous outcome measures. Six prospective studies satisfied the eligibility criteria and included 249 patients with an average age of 26.4 years and follow-up of 67.2 months. Tegner scores were superior in patients treated with OAT compared with MFX. Failure rates of MFX were higher than OAT. OAT was superior to MFX at 3 years in relation to subjective outcome scores. When assessing OAT lesions larger than 3 cm², OAT was superior to MFX with respect to activity level. The authors concluded that OAT may achieve higher activity levels and lower risk of failure when compared with MFX for cartilage lesions greater than 3 cm^2 in the knee, although there was no significant difference for lesions less than 3 cm² at midterm. However, because of variability in patient-specific factors such as age, preinjury activity level, lesion location and size, the superiority of OAT over MFX cannot be generalized to all patient populations and therefore requires individualized patient care.

Steadman et al. (2015) conducted a study to document outcomes following **MFx** microfracture for full-thickness cartilage defects of the knee in adolescents. Patients < 19 years old with full-thickness knee articular cartilage defects treated with **MFx** microfracture between January 1992 and June 2008 were identified. Surgical, demographic data, Lysholm score, Tegner activity scale, and patient satisfaction were collected prospectively. A total of 26 patients met inclusion criteria. Ninety-six percent of lesions were patellar or femoral condyle defects. Minimum 2-year follow-up was obtained in 22/26 patients (85%) with average follow-up of 5.8 years. Average postoperative Lysholm score was 90 (range: 50-100). Median Tegner scale was 6 (range: 2-10). Median patient satisfaction with outcome was 10 (range: 1-10). Lysholm correlated with Tegner scale (rho = 0.586; p = 0.011) and patient satisfaction (rho = 0.70; p = 0.001). Average postoperative Lysholm score in males was 93 and 86 in females. One patient underwent revision **MFx** microfracture. This study showed that adolescent patients who underwent **MFx** microfracture for treatment of full-thickness knee chondral defects demonstrated **showed** increased activity levels and excellent function following surgery.

Goyal et al. (2013) conducted a comprehensive review of the literature to assess and report on the current status of Level I and II evidence studies related to <u>MFx</u> <u>microfracture</u> techniques. A literature search was carried out for Level I and II evidence studies on cartilage repair using the PubMed database. Fifteen studies (6 long-term and 9 short-term) that dealt with <u>MFx microfracture</u> techniques were selected. These studies compared the clinical outcomes of <u>MFx microfracture</u> with those of other treatments such as autologous chondrocyte implantation <u>(ACI)</u> and osteochondral cylinder transfers. The majority of <u>Many of</u> the studies reported poor clinical outcomes, whereas 2 studies reported the absence of any significant difference in the results. Small-sized lesions

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and younger patients showed good results **superior results** in the short-term. However, osteoarthritis and treatment failures were observed <u>seen</u> at later postoperative periods of 5 to 10 years. The authors concluded that the use of <u>MFx microfracture</u> for the treatment of small lesions in patients with low postoperative demands was observed to result in good clinical outcomes at short-term follow-up. Beyond 5 years postoperatively, treatment failure after <u>MFx microfracture</u> could be expected regardless of lesion size. Younger patients showed better clinical outcomes.

Xenografts

Xenografts for repair of cartilage defects is being studied by some investigators as an alternative to autografts and allografts. Decellularization processes are in the early stages of investigation in order to remove antigens from the graft, which in theory would reduce rejection. Once decellularization methods are established, additional studies will be necessary to establish evidence of safety and efficacy.

U.S. Food and Drug Administration (FDA)

This section is to be used for informational purposes only. FDA approval alone is not a basis for coverage.

Refer toSee the following website for more information regarding products used for
Autologous Chondrocyte Transplantation and search by product name in device name section:
http://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfPMN/pmn.cfm. (Accessed October 24 19,
20221June 19, 2019)

Transplantation of osteochondral autografts is a surgical procedure and, as such, is not subject to regulation by the FDA. However, the FDA does regulate manufacturing practice requirements applicable to drugs and devices. The FDA does regulate certain aspects of tissue banking, and tissues are subject to FDA requirements for good tissue practices, and infectious disease screening and testing, as well as to the good manufacturing practice requirements applicable to drugs and devices.

Donor tissue products derived from human cartilage, such as the DeNovo NT tissue graft, are regulated under the guidelines for Human Cell, Tissues and Cellular and Tissue-Based Products (HCT/P) issued by the Center for Biologics Evaluation and Research (CBER) of the FDA. The CBER does not regulate the transplantation of these products per se, but it does require tissue establishments to register with the FDA in the Establishment Registration & Device Listing database. As part of the FDA regulations, tissue establishments are required to must screen and test donors, to prepare and follow written procedures for the prevention of the spread of communicable disease, and to maintain records.

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Policy History/Revision Information

| TBD Coverage Rationale Revised language to indicate: | |
|---|------------|
| Revised language to indicate: | |
| | |
| Autologous Chondrocyte Transplantation (ACT) | |
| Autologous Chondrocyte Transplantation (ACT) is proven and | |
| medically necessary for treating individuals with symptomatic fu | 11- |
| thickness articular cartilage defects when all the following | |
| criteria are met: | |
| Each individual lesion is: | |
| Greater than or equal to 2 squared centimeters | |
| A result of acute or repetitive trauma | |
| Single or multiple full thickness (Outerbridge Classificat | ion |
| of grade III or IV) articular cartilage defect of the femo | ral |
| condyle (medial, lateral, or trochlea) and/or patella | |
| Knee is stable with intact menisci and ligaments | |
| Normal joint space and alignment confirmed by x-ray | |
| No active inflammatory or other arthritis, clinically and by | <u>x-</u> |
| ray Tribular and the second s | |
| Failed non-surgical conservative management (e.g., physical thorace, braces, and/on perstance) anti-inflammatory drugs) | |
| Individual is loss than 55 years of ago | |
| \sim ACT is upproven and not medically necessary for treating | |
| individuals with the following indications due to insufficient | |
| evidence of efficacy: | |
| Treatment of joints other than the knee | |
| Growth plates have not closed | |
| History of partial-thickness defects | |
| Osteochondritis dissecans (OCD) | |
| Malignancy in the bone, cartilage, fat, or muscle of the treat | ted |
| limb | |
| Active infection in the affected knee | |
| Instability of the knee | |
| History of total meniscectomy | |
| Repeat ACT | |
| Active inflammatory degenerative, rheumatoid or osteoarthriti | S |
| Microfracture Repair of the Knee | |
| Microfracture repair to treat full and partial thickness chondra | 1 |
| defects of the knee is proven and medically necessary when all t | he |
| following criteria are me: | |
| Symptomatic focal cartilage defects of the weight-bearing Foremal Condulage tibial plateous trachles and patella | |
| Perioral Condyles, cibial placeau, crochiea, and pateria | ` |
| arthrogram or arthroscopy | <u>, ,</u> |
| Outerbridge Grade 3-4 cartilage lesions | |
| Measure less than or equal to 4 square centimeters | |
| Microfracture repair of the knee is unproven and not medically | |
| necessary with any of the following indications: | |
| Misalignment of the knee | |
| Osteoarthritis | |

| Systemic immune-mediated disease, disease-induced arthritis, or |
|---|
| cartilage disease |
| • Unwilling or unable to participate in post-operative physical |
| rehabilitation program |
| Osteochondral Autograft and Allograft Transplantation |
| Osteochondral Autograft and Allograft transplantation is proven and |
| medically necessary for treating individuals with cartilage defects |
| of the knee; for medical necessity clinical coverage criteria for |
| Osteochondral Autograft and Allograft transplantation, refer to the |
| InterQual [®] CP: Procedures: |
| Arthroscopy or Arthroscopically Assisted Surgery, Knee |
| Arthroscopy or Arthroscopically Assisted Surgery, Knee |
| (Pediatric) |
| Arthrotomy, Knee |
| Osteochondral Autograft and Allograft transplantation is unproven |
| and not medically for all other indications than those listed [as |
| proven and medically necessary] |
| Articular Cartilage Repair |
| Articular cartilage repair is unproven and not medically necessary |
| for treating individuals with any of the following due to |
| insufficient evidence of efficacy: |
| Use of minced articular cartilage repair (whether synthetic, |
| Allograft, or Autograft) for treating osteochondral defects of |
| the knee |
| Use of Xenograft implantation into the articular surface of any |
| |
| Use of cryopreserved viable Osteochondral Allograft products |
| (e.g., Cartiform) |
| Definitions |
| Added definition of: |
| <u>o Focal Defect</u> |
| <u>• Femoral Condyles</u> |
| <u>o Matrix-Induced Autologous Chondrocyte Implantation (MACI) Procedure</u> |
| <u>• Xenograft</u> |
| Updated definition of "Autologous Chondrocyte Transplantation (ACT)" |
| Applicable Codes |
| • Added CPT code 0737T |
| Added notation to indicate CPT code 0737T is not on the State of |
| Louisiana Fee Schedule and therefore is not covered by the State of |
| Louisiana Medicaid Program |
| Supporting Information |
| • Updated Description of Services, Clinical Evidence, FDA, and |
| References sections to reflect the most current information |
| Archived previous policy version CS006LA.H |

Instructions for Use

This Medical Policy provides assistance in interpreting UnitedHealthcare standard benefit plans. When deciding coverage, the federal, state or contractual requirements for benefit plan coverage must be referenced as the terms of the federal, state or contractual requirements for benefit plan coverage may differ from the standard benefit plan. In the event of a conflict, the federal, state or contractual requirements for benefit plan coverage govern. Before using this policy, please check the federal, state or contractual requirements for benefit plan coverage. UnitedHealthcare reserves the right to modify its Policies and Guidelines as necessary. This Medical Policy is provided for informational purposes. It does not constitute medical advice.

UnitedHealthcare may also use tools developed by third parties, such as the InterQual® criteria, to assist us in administering health benefits. The UnitedHealthcare Medical Policies are intended to be used in connection with the independent professional medical judgment of a qualified health care provider and do not constitute the practice of medicine or medical advice.

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