

# Incident Management (NON-APS): ICF/IID

Louisiana Department of Health (LDH) Training Guide

# Incident Management (Non-APS)

## Incident Management (Non-APS): ICF/IID

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## Incident Management (Non-APS)

Statewide Incident Management System (SIMS) maintains incident records for participants/victims/patients who are served by Louisiana DHH.





<b>Introduction</b>	This training introduces you to the basic functions of adding and maintaining incident records for ICF/IID.
<b>Importance</b>	Your confidence in using SIMS for processing, follow-up/investigation and reporting workflows will increase as you develop proficiency in the software.
<b>Overview</b>	To help the Louisiana Department of Health develop the necessary skills and understanding to effectively use SIMS to perform the reporting workflows in a Critical Incident Reporting context.
<b>Objectives</b>	<ul style="list-style-type: none"><li>• Log into and out of SIMS</li><li>• Change your password</li><li>• Successfully navigate the program</li></ul>

## Incident Management (Non-APS)

- Understanding Ticklers, Dashboards, Workflow Wizards, Alerts, Forms, Word Merges and Reports
- Following the steps in the guide, accurately enter an Incident record

Topics	Topic	Page

**Icons Used in this Manual**

Icon	Description
	<b>Tip</b> Tips provide general recommendations on how to make it easier or more productive to use SIMS.
	<b>Caution</b> The Caution icon highlights areas of note or concern, where failure to use the system properly may cause or exacerbate problems.
	<b>Note</b> Notes provide additional information of general interest about a specific function or process of SIMS.
	<b>Example</b> Examples are provided to help you develop a better understanding of the subject area and how SIMS may be used in a specific scenario of relevance.

## SIMS Basics

SIMS is a web-based system that is accessed from a Web browser, specifically Internet Explorer® (IE). Your workstation will be configured before you “go live” to allow the system and all its functionality to operate properly. If you experience any problems during training or after “go live”, please coordinate with your System Administrator to evaluate the issue.

### Enabling Pop-Up Windows

SIMS uses pop-up windows to display information to users. It is important to allow these pop-ups to be displayed on your screen. To enable pop-up windows, go to **Internet Explorer>Tools> Pop-Up Blocker>Turn Off Pop-up Blocker**.



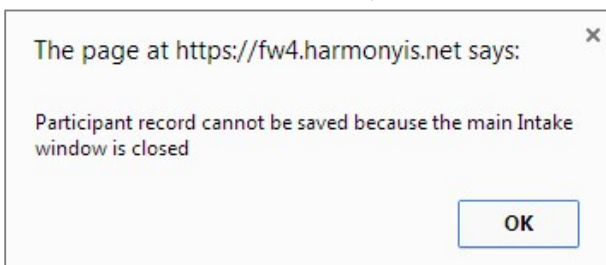
In the future when you try to access the SIMS from a workstation that is not your own, the default set up on the workstation may enable some other type of pop-up blockers (Google™, Yahoo®, MSN®, etc.). Be sure to follow the instructions to allow the use of pop-up windows.

The specific steps you need to take may vary depending on the version of IE you are running. Check with your System Administrator whenever you are unable to resolve this issue on your own.

### Screen Stacking

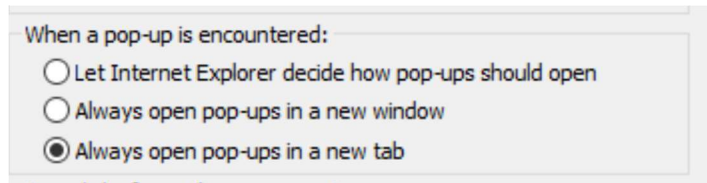
In SIMS, you will do a lot of opening and closing of screens (windows). As you’re working, you can have many screens open and not know because they’re stacked on top of each other. There is no harm in this, but it can make working in SIMS more cumbersome. Open screens can prevent you from getting to another area of the program. On the other hand, closed screens can also prevent you from moving around.

If a screen has been closed, but should have remained open, you’ll see an error message similar to this:





Best Practices recommend that you click **File > Save and Close** when you're saving records. This prevents unnecessary screens from staying open. **With approval from your IT Department**, your Internet Explorer browser may be configured to open in tabs instead of stacking. Under Internet Options, under Tabs, choose the Tabs button. Choose "Always open pop-ups in a new tab" under "When a pop-up is encountered". Click "OK". Click "Apply". Click "OK". Close out of Internet Explorer and open it again.



## Log into SIMS



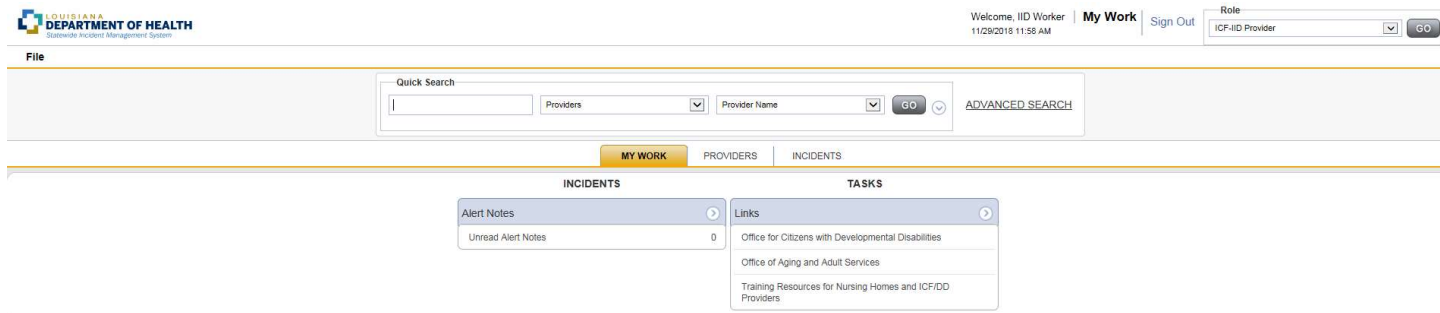
Your SIMS Application Administrator will provide you with the URL (Internet Address) of the WellSky Customer Portal and your login credentials for both the Sandbox Environment and the Live system when appropriate.

1. Open Internet Explorer and then enter the URL for SIMS
2. At the login, type your **User ID** and **Password**
3. Click **Login**

A screenshot of the Louisiana Department of Health Statewide Incident Management System login page. The page features the Louisiana Department of Health logo on the left, which consists of a stylized cross made of blue and yellow squares. To the right of the logo, the text 'LOUISIANA DEPARTMENT OF HEALTH' is displayed in large, bold, blue letters, followed by 'Statewide Incident Management System' in a smaller, blue, italicized font. Below this, there is a login form with fields for 'User ID' (containing 'jdoe') and 'Password' (masked with dots). A 'Login' button is positioned below the password field. At the bottom of the form, there is a link that says 'Change your password'. In the top left corner of the login area, small text reads 'LDH Data Conversion Site Version: 8.3.0.0.28518'.

- a. The **Dashboard** is displayed

# Incident Management (Non-APS)



## Exit SIMS

To exit SIMS:

1. In the upper right portion of the screen, click **Sign Out**



## My Work

**My Work** is your home page and tasks might be managed. When you first log in, you will begin from here. Keep in mind that your **My Work** page may differ from that of your co-worker. Roles determine which features you may have.

## My Work Screen Elements

There are several parts of the **My Work** screen, as shown in the screenshot below:





<b>1</b>	The File menu is located throughout the program. Items on the File menu vary, depending on what you are doing. One of the more common functions you'll use is the <b>File &gt; Add Notes</b> and <b>File &gt; Save and Close Notes</b> .
<b>2</b>	The tabs along the top of the screen are called <b>Chapters</b> . A chapter is like a section of the program. To move to another chapter, just click it. If you have the "Utilities" Chapter, let your supervisor know immediately. This chapter is reserved for IT.
<b>3</b>	<b>My Work</b> is divided into areas for consumers, providers, and tasks. You may see all or just some of these areas.
<b>4</b>	Within each area are boxes that contain information. These are referred to as <b>Panes</b> .

## Incident Management (Non-APS)

5

Each pane includes a down arrow. When you click the down arrow, items in the pane are collapsed. This is helpful if you need more space on your screen. To expand the items in the pane, click the now right-facing arrow.



Many areas of the program allow you to collapse sections (panels). Click the down arrow in the circle . When you click it, it becomes a right-facing arrow .

## Activities

Within each Pane on the My Work homepage, numbers will be visible next to different items. These numbers will represent a note that was written to you. For example, in the screenshot below, one can see there is a note assigned to them under the Incident Notes Pane.



The screenshot shows the 'MY WORK' tab selected. Under the 'INCIDENTS' section, there are two panes: 'Incident Notes List' with a right arrow and the number '1', and 'Alert Notes' with a right arrow and the number '0'. Under the 'TASKS' section, there is a 'Links' pane with a right arrow, listing 'Office for Citizens with Developmental Disabilities', 'Office of Aging and Adult Services', and 'Training Resources for Nursing Homes and ICF/DD Providers'.

1. To display the note, click on "Complete" status in the Incident Notes List pane. A new window will open with a grid.



The screenshot shows the 'Incident Notes List' window. It has a search filter section with 'Status' set to 'Complete' and 'Incident ID' set to '+'. Below the filter, it says '1 Incident Notes List record(s) returned - now viewing 1 through 1'. The table below shows one record:

Incident ID	Note Date	Note By	Note Type	Status	Date Completed	
489	12/21/2018	Guglielmo, Barbara	Request for Additional Information	Complete	12/21/2018	<input type="checkbox"/>

At the bottom, there are navigation links: '<< First', '< Previous', 'Retrieve 15 Records at a time', 'Next >', and 'Last >>'.

2. To open the note, hover your mouse over the note in the list view grid and click on it. You will be navigated to the note details page.



## Navigating the Application

This section provides a brief overview and some tips for working in the application.

### Chapters

Chapters are links to different areas of SIMS. Authorized users can access chapters at any time by simply clicking the desired Chapter button (or tab) across the top of the screen. Note that not all workers can see all the listed chapters. Your view may differ from the example below. (Remember, every Role will have different Chapters. If you have the “UTILITIES” Chapter, let your supervisor know immediately. This chapter is reserved for IT.)



Chapter	Definition
Incidents	Contains information about every incident. This includes the patient and provider involved, the incident reporting form and notes.
Providers	Contains information about every individual or agency that provides a service to a consumer. It also contains information about the workers associated with a provider.

### File Menu Bar

The **File** menu is displayed throughout the application and is located in the upper left corner of the screen. Depending on the screen that's open, other menus may be displayed. This is the location where you'll go to create or add files, close and save files and print files, among other functions.

## Incident Management (Non-APS)

Additional functions remain available under each option. Hovering above each **File Menu** bar category will expand additional menus. Access to these are granted based on the permissions which are driven by roles.

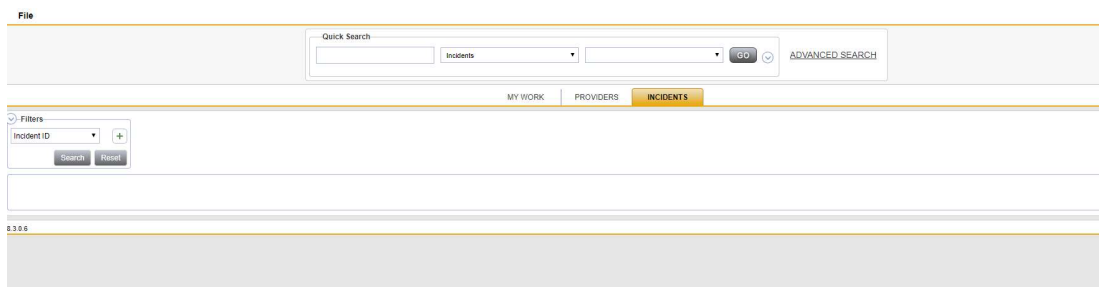


When users scroll through a page in SIMS, the **File Menu** header is frozen so that users will always be able to access these **File Menu** functions no matter where the cursor is located on the page.

- **File** – Contains the functions to add a new record or to view history changes to the data in view.
- **Edit** – Provides the ability to make changes to the data included in the record.
- **Tools** - Provides the user with additional functionality based on the page currently in view.
- **Reports** – Lists standard reports built into the WellSky software. Additional reports may be available to certain roles or groups. If a user has access to the Reports Menu, click the menu and select the report and it will open in a new window.
- **Word Merge** - Lists documents that have been uploaded to the application using the **Word Merge** Utility and are available to certain roles and groups. If a user has access to the **Word Merge** File menu, to access the document, click the file menu and select the document and it will open a new window.

## INCIDENTS Tab

When you chose the Incidents Tab, your page will look very similar to the screen below. It will not automatically display a list of incidents.



Incident Management (Non-APS)

You will need to select filters to retrieve your incidents. (For example, below, the user wants to retrieve incidents reported after December 23, 2019 and the status equals Pending.)

Enter your search criteria in the Filters section and choose the **Search** button. You will retrieve only the incidents matching your search criteria. You will only be able to see items that are permitted by security settings for your User ID.

File

Quick Search

Incidents

GO

ADVANCED SEARCH

MY WORKPROVIDERSINCIDENTS

Filters

Report Received Date

Greater Than

12/23/2019

AND

Status

Equal To

Pending

AND

Incident ID

+

SearchReset

WARNING: (I strongly suggest you do not filter Status = Completed only.

Filters

Status

Equal To

Complete

AND

Incident Records: File Menu

As you are working with incident records, you will save and close records. This is done on the **File** menu, located near the top of your screen. The **File** menu options change, depending on what you’re doing; the screenshot below displays what the **File** menu might look like. Some examples include and are not limited to: **Save, Save and Close, Print,** and **Add Incidents.**

FileReports

History

Save Incident

Save and Close Incident

Delete Incident

Print

Close Incident

The table below describes some of the **File** actions you’ll work with as you are creating an incident record.

File Menu	Description
-----------	-------------

History	Allows user view history changes to the data in view.
Save	Saves changes since the last save and keeps the record open. Saving a record can be done at any time.
Save and Close	Saves changes since the last save and closes the record.
Print	Allows user to print the information on the current screen.
Delete	Allows user to delete the record permanently.
Close	Closes the record without saving any recent changes.

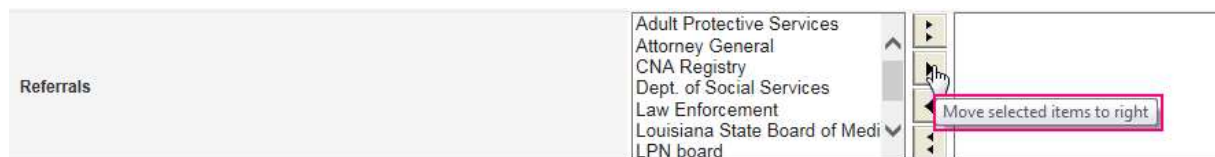


When you need to add, remove or save files, the **File** menu is the place to go. An example in the directions could appear as: go to **File > Save and Close Notes**. Closing a window by clicking on the "X" in the top right-hand corner of the window is not recommended. Information may be lost.

## Multi Select Boxes

### Using the Arrow Buttons

Some areas of the application require you to select items from a list, and move them from one field to another, using arrow buttons. If you hover over one of the arrow buttons, a tooltip is displayed that describes what the button does. In the screenshot below, the mouse was hovering over the button to move selected items to the right.



Buttons	Definition
▶	Move all items from the left to the right
▶	Move selected items from the left to the right
◀	Move selected items from the right to the left
◀	Move all items from the right to the left

### Using the Shift and Ctrl keys

If you want to select more than one item, and the items are *all together*, press and hold the **Shift** key on your keyboard and select the first and last records in the group. Then, click the right-facing button to move all items to either the right or left field.

If you want to select more than one item, and the items are *not together*, press and hold the **Ctrl** key on your keyboard and click the records you want. Click the right-facing button to move the items to either the right or left field.

## Example

In the screenshot below, the incident is being referred to the Dept. of Social Services and the Attorney General. You could either select each item from the left side and click the right-facing arrow to move them to the right, or use your **Ctrl** key to select both items at the same time and then click the right-facing arrow.

Referrals	Adult Protective Services	▶	Dept. of Social Services Attorney General
	CNA Registry	▶	
	Law Enforcement	▶	
	Louisiana State Board of Medi	◀	
	LPN board	◀	
	Pharmacy board	◀	
	RN board	◀	

## List Views and Search Filters

In various areas of the SIMS, records may appear on a **List View** screen. These screens limit the number of records returned at one time.

Filters

Incident ID

1 Queue Search record(s) returned - now viewing 1 through 1

Incident ID	Report Received Date	Report Received Time	Disposition	Status	Provider Name	Victim First Name	Victim Last Name
153	02/01/2018	6:28 AM	Pending	Pending	4-B Group Home		

<< First < Previous Retrieve 15 Records at a time Next > Last >>

List View screens allow you to quickly retrieve a desired record. If the desired record is not displayed on the initial list view, you can click on one of the following buttons to scroll through the returned records:

Button	Description
<< First	Jumps to the first record in the list
< Previous	Jumps button jumps to the previous record in the list
Next >	Jumps to the next record in the list
Last >>	Jumps to the last record in the list



### Tip

You can also modify the number of records returned in the list view by entering the desired number in the "Retrieve [15] records at a time" field and then clicking on one of the record search buttons (i.e., First, Previous, Next, Last).

You may sort the records by ascending or descending order by clicking on the column headers in the list view.

## Advanced Search

The Advanced Search function allows you to look for existing data. There are multiple options and an expanded range of input variables for detailing your criteria to find the records you need.

To search for an Incident record using the **Advanced Search** function, take the following steps:

	Incident ID	Report Received Date	Disposition	Status	Provider Name
	335	11/26/2018	Pending	Pending	
	329	11/26/2018	Pending	Pending	
	313	11/15/2018	Pending	Pending	

1. Click the **Advanced Search** link.
  - a. The Advanced Search window is displayed.
2. Once you have entered your search criteria in the Filters section, click **Search**.
3. The system displays all items matching the search criteria you defined.



## Note

You will only be able to see items that are permitted by security settings for your User ID.

## Advanced Search Window

Each filter allows you to select from the following comparison search criteria:

Term	Definition
Equal To	Returns records that match the entered criteria. For example, if <b>&lt;Last Name&gt;</b> is entered as "equal to" a specific person's name, the Consumer records assigned to that Worker will be returned.
Begins With	Returns records that begin with the entered criteria. For example, if <b>&lt;Last Name&gt;</b> is entered as "begins with" 'T' the system will return records assigned to the Worker having last names that start with 'T', such as Tester and Thomas.
Ends With	Returns records that end with the entered criteria. For example, if you search on <b>&lt;Last Name&gt;</b> "ends with" 'r', you can retrieve records where a Consumer's name ends in 'r', such as Tester.
Not Equal To	Returns records that do not match the entered criteria. For example, if a particular name is entered for <b>&lt;Last Name&gt;</b> , the system will return a list of records except those records for the name provided in the search criteria.
Greater Than	Returns records that are dated later than the entered criteria. For example, if <b>&lt;DOB&gt;</b> is entered as "greater than" '03/01/2015', the system will return all records with a record whose date of births are after March 1, 2015.

Less Than	Returns records that are dated earlier than the entered criteria. For example, if <b>&lt;DOB&gt;</b> is entered as "less than" '03/31/2015', the system will return data for all records with a birth date before March 31, 2015.
Contains	Returns records that contain the entered criteria. For example, if <b>&lt;Last Name&gt;</b> is entered as "contains" specific values in the person's name, the Consumer Record(s) assigned to that worker with those values would be returned.
Blank	A record is returned where the selected field does not have a value in the field.
Non-Blank	Returns records where the selected field does have a value in the field.

### Boolean Logic

In addition, you can search on these filters using Boolean (**and/or**) logic:

- **AND** - Tightens your search: records returned only if meeting ALL criteria.



#### Example

Find Consumers where **<DOB>** is greater than (>) March 1, 2000 and **<DOB>** is less than (<) June 30, 2015. This returns all reports dated between the two dates entered.

- **OR** - Broadens your search; records returned if meeting EITHER criteria:



#### Example

Find records where **<Last Name>** equals <Jones> or **<DOB>** = "**June 22, 1998.**" The system returns records for Jones regardless of date of birth, **and** also returns any consumer records whose birth date is 06/22/2015.

Once you have entered your search criteria, click the **Search** button or you can tab to the **Search** button using your **Tab** key and press **Enter**.

The system displays all items matching the search criteria you defined.



#### Note

You will only be able to see items that are permitted by security settings for your User ID

Did your search return the expected results? If not, click the **Reset** button to clear your criteria. Reconsider your filters and try again.

## Incident Management: ICF-IID Overview

ICF/IID provide services to individuals that require 24 hours of active treatment in community, group or residential home settings. ICF/IIDs are licensed by HSS and are required to report incidents of abuse and allegations of abuse, neglect and allegations of neglect, major injuries of unknown sources and misappropriations of funds/exploitation.

## Initial Incident Entry Workflow

The Incident Workflow begin with the discovery of a reportable incident.



Role = **ICF-IID Provider**

1. Click the **Providers** chapter



2. Click the **Search** button

3. Select your Provider from the **List View** grid which will open the Provider record.



MY WORK PROVIDERS INCIDENTS

Home (11716)

Providers Divisions Workers Enrollments

Basic Information


Provider/Agency Name	Home	Active	Yes
State ID	MR0003155	Provider Type	ICF/IID

#### 4. Click **File > Add Incidents**

**File** **Edit**

Print

Add Incidents



#### Note

If the user is associated to one Provider record, the record will automatically open. The list view grid will only appear if the user is associated to more than one Provider.

### Add Incident Screen – starting an Incident record

1. Complete all fields. (*Fields with \* are required.*) Disposition and Status will default to “Pending” until final completion of report.

## Incident Management (Non-APS)

Event Information	
Division	INC
Entry Date *	02/15/2019
Entry Time *	08:37 AM
Report Received Date *	02/15/2019
Report Method	
Report Type *	HSS ICF/IID
Report Made By *	HSS ICF/IID, Reporter
Name of Reporter *	Smith, Jane
SIMS Facility ID *	11518 <a href="#">Clear</a> <a href="#">Details</a>
Facility Name *	Allyson Street Home
Incident Occurred	
Incident Occurred Time	
Incident Discovered *	
Incident Discovered Time *	
Incident Description *	<div>7000 characters remaining</div>
Referrals	<div>Adult Protective Services Attorney General CNA Registry Dept. of Social Services DSW Registry Law Enforcement Louisiana State Board of Medi</div>
Due Date	
Facility Details	
Street	10511 Allyson Street
Street 2	
City	BATON ROUGE
State	LA
Zip Code	70815
Parish	EAST BATON ROUGE
Decision	
Disposition *	Pending
Status *	Pending
Law Enforcement Parish *	<a href="#">Clear</a>

2. Click File > Save Incident.

## Add Victim

1. Saving the Incident record will expose subpages that will help you complete the Incident record.
2. Click on the Victim subpage and click on [Add Participant](#) in the SIMS toolbar. Select **Victim Participant** from the dropdown list.

**File    Add Participant**

**Victim Participant**

Incident

**Victim**

Accused/Witnesses

Notes

Disposition History

- This will open the Victim details page. Click on Tools in the toolbar and select **Search for Person** in the dropdown menu.

**File    Tools**

**Search For Person**

**Victim**

Salutation \*

First Name \*

Middle Name

Last Name \*

DOB \*

Age

Gender

Race

SSN \*

Marital Status

Injury

Type of Injury

Protective Actions \*

Primary

SIMS No

Bruise  
Burn  
Death  
Finger-marks/Hand prints  
Fracture  
Laceration  
Redness

1-on-1 Supervision  
Admitted to Behavioral/Psychi  
Admitted to Hospital  
Counseled  
Evaluated in ER  
Examined by Medical Staff  
None

- The People Search window will open. You can either use the Search box or the filters to set your search query.



#### Note

Typing a value in the Search box will ask the system to search the value in all fields. Using the filters will help narrow your search by specifying which field you want to use in your query.

## Incident Management (Non-APS)

Search:  [Help](#)

☐ Apply Filter to Search

Filter: Partial Contact Information  Equal To  ☐ AND

Last Name

Search Results for Harmony People

	Last Name	People ID	First Name	Middle Name	Suffix	DOB	Gender	Race	SSN	Contact ID	Member ID	Case No	Person Type	Date of Death
<input checked="" type="checkbox"/>	M	27374	S			1990		White	XXX-XX-8806				Consumer	

5. If your search results in a match, click anywhere on the record in the list view grid.
6. A dialog box will pop up, asking you how you would like to attach the selected record.

**Overwrite Participant Data:** Data on the Victim record will be replaced with data from the selected people record.

**Link to Participant:** Empty fields on the Victim record will be populated with data from the selected people record and then will be appended to the selected people record.

**How would you like to proceed?**

☒ Overwrite Participant Data

☐ Link to Participant

☐ Cancel and Return to People Search Grid

7. Click OK. The People Search Window will close and you will be brought back to the Victim Details Page. The Victim record that you selected should now appear on this page.
8. Be sure to complete all required fields.

## Incident Management (Non-APS)

Victim	
Salutation	Mr. ▾*
First Name *	Last Name
Middle Name	Middle Name
Last Name *	First Name
DOB *	11/07/1985
Age	33
Gender	Male ▾
Race	White ▾
SSN *	XXX-XX-9454
Marital Status	Single ▾
Injury	Yes ▾
Type of Injury	<div>           Burn            Death            Finger-marks/Hand prints            Fracture            Redness            Scald            Scratch         </div> <div>           Bruise            Laceration         </div>
Protective Actions *	<div>           Admitted to Behavioral/Psychi            Admitted to Hospital            Counseled            Examined by Medical Staff            None            Notification of Responsible Pa            Other (See Notes)         </div> <div>           1-on-1 Supervision            Evaluated in ER         </div>
Primary	<input checked="" type="checkbox"/>
SIMS No	

9. Click File > Save and Close Victim.

10. If your search does not generate any results, click File > Close People Search.

File

Close People Search

Kaplan [Help](#)

☐ Apply Filter to Search
 

Search Reset Show Filter

Filter

Partial Contact Information ▾ Equal To ▾ ☐ AND ▾ ✕

Last Name ▾ +


Search Reset Hide Filter

Search Results for Harmony People

Last Name	People ID	First Name
No records to display.		

11. You will be brought back to the Victim Details Page. Complete all the relevant information.

## Incident Management (Non-APS)

<b>Victim</b>	
Salutation	Mr. ▼
First Name *	Last Name
Middle Name	Middle Name
Last Name *	First Name
DOB *	11/07/1985 
Age	33
Gender	Male ▼
Race	White ▼
SSN *	XXX-XX-9454
Marital Status	Single ▼
Injury	Yes ▼
Type of Injury	<div> <div> Burn Death Finger-marks/Hand prints Fracture Redness Scald Scratch </div> <div> ^           v </div> </div> <div> <div>Bruse Laceration</div> <div> ^           v </div> </div>
Protective Actions *	<div> <div> Admitted to Behavioral/Psychi Admitted to Hospital Counseled Examined by Medical Staff None Notification of Responsible Pa Other (See Notes) </div> <div> ^           v </div> </div> <div> <div>1-on-1 Supervision Evaluated in ER</div> <div> ^           v </div> </div>
Primary	<input checked="" type="checkbox"/>
SIMS No	

12. Click File > Save and Close Victim.

## Add Incident Report

The HSS Incident Report captures information on the Incident Category, Accused, and Witnesses.

1. The Victim List page will appear on the screen.
2. Click on the Accused/Witnesses subpage.



Incident

Victim

Accused/Witnesses

Notes

Disposition History

3. Click File > Add Accused/Witnesses.
4. Select **HSS Accused & Witnesses** from the *Please Select Type* dropdown list.



**File**

Please Select Type: HSS Accused & Witnesses ▼

**Documentation**

Document Date \* 10/05/2018

Documented By \* Guglielmo, Barbara

Status \* Pending

Division INC

Alleged Victim \*

Is this Incident an Injury of Unknown Origin?\* ▼

5. Select the Alleged Victim from the Participant dropdown list.

## Injury of Unknown Origin

1. If the Incident is an Injury of Unknown Origin, indicate by selecting Yes.



Is this Incident an Injury of Unknown Origin?\* ▼

2. Two additional questions will display that need to be completed.

## Incident Management (Non-APS)

Is this Incident an Injury of Unknown Origin?*	Yes ▾
Has a determination been made for an Injury of Unknown Origin?*	Yes ▾
Allegation Finding:*	<div>Substantiated Unsubstantiated Unable to Validate/Verify</div>

3. Select Save and Close Accused/Witnesses from the File Menu.

### *Incident is Not an Injury of Unknown Origin*

1. If the Incident is not an Injury of Unknown Origin, indicate by selecting “No”. Continue completing the rest of the form.
2. If the Accused is Unknown, indicate by selecting Yes.

ACCUSED	
Is Accused Unknown?	▾

3. 3 questions will appear to be completed, the Unknown Accused’s relationship to Victim, the Unknown Allegation and if a determination has been made for the Unknown Allegation. *Please note that a question with a red asterisk is required.*

Unknown Accused Relationship:*	▾
Unknown Allegation:*	▾
Has a determination been made for Unknown Allegation?*	▾
Add Allegation #2 for Unknown?	<input type="checkbox"/>

4. If there are multiple allegations, check the box for “Add Allegation #2 for Unknown” which will expose additional questions to be completed.
5. If the Accused is known, indicate by selecting “No”, which will expose a list of questions to be completed for the Accused.



**ACCUSED**

<b>Is Accused Unknown?</b>	<div style="border: 1px solid #ccc; padding: 2px; display: inline-block;">No ▼</div>
<b>Accused First Name:*</b>	<div style="border: 1px solid #ccc; height: 20px; width: 100%;"></div>
<b>Accused Last Name:*</b>	<div style="border: 1px solid #ccc; height: 20px; width: 100%;"></div>
<b>Relationship:*</b>	<div style="border: 1px solid #ccc; padding: 2px; display: inline-block;">▼</div>
<b>Title:*</b>	<div style="border: 1px solid #ccc; padding: 2px; display: inline-block;">▼</div>
<b>Accused DOB:*</b>	<div style="border: 1px solid #ccc; padding: 2px; display: inline-block;"> <div style="border: 1px solid #ccc; width: 100px; height: 20px;"></div> <div style="border: 1px solid #ccc; width: 20px; height: 20px; text-align: center; line-height: 20px;"> <div style="border: 1px solid #ccc; width: 10px; height: 10px; margin: 0 auto;"></div> </div> </div>
<b>Accused Gender:</b>	<div style="border: 1px solid #ccc; padding: 2px; display: inline-block;">▼</div>
<b>Accused Race:</b>	<div style="border: 1px solid #ccc; padding: 2px; display: inline-block;">▼</div>
<b>Accused SSN:*</b>	<div style="border: 1px solid #ccc; height: 20px; width: 100%;"></div>
<b>Accused Address:*</b>	<div style="border: 1px solid #ccc; height: 20px; width: 100%;"></div>
<b>Accused City:*</b>	<div style="border: 1px solid #ccc; padding: 2px; display: inline-block;">▼</div> <div style="border: 1px solid #ccc; padding: 2px; margin-left: 5px;">Clear</div>
<b>Accused State:*</b>	<div style="border: 1px solid #ccc; padding: 2px; display: inline-block;">▼</div> <div style="border: 1px solid #ccc; padding: 2px; margin-left: 5px;">Clear</div>
<b>Accused Zip Code:*</b>	<div style="border: 1px solid #ccc; padding: 2px; display: inline-block;">▼</div> <div style="border: 1px solid #ccc; padding: 2px; margin-left: 5px;">Clear</div>
<b>Accused Phone Number:*</b>	<div style="border: 1px solid #ccc; height: 20px; width: 100%;"></div>
<b>Accused Allegations:*</b>	<div style="border: 1px solid #ccc; padding: 2px; display: inline-block;">▼</div>



**Note**

If the allegation determination has not been made at the time of creating the incident record, select "No" for "Has a Determination Been Made?". **Return to the reporting form when the resolution has been made.**



**Note**

If the Accused DOB is unknown, enter 01/01/1900. If the Accused SSN is unknown, enter 888-88-8888.

6. If there is more than one Accused involved, check the Add Accused #2 box and complete all the questions for this individual.

**Add Accused #2?**



7. To add witnesses, complete the Witnesses section of the reporting form.

WITNESSES	
Witness Name:	<input type="text"/>
Witness Address:	<input type="text"/>
Witness City:	<input type="text"/> <input type="button" value="▼"/> <input type="button" value="Clear"/>
Witness State:	<input type="text"/> <input type="button" value="▼"/> <input type="button" value="Clear"/>
Witness Zip Code:	<input type="text"/> <input type="button" value="▼"/> <input type="button" value="Clear"/>
Witness Phone Number:	<input type="text"/>
Add Witness #2?	<input type="checkbox"/>

8. When all the relevant items were completed, click File > Save and Close Accused/Witnesses.

## Add Investigation Findings

1. Click on the Note Subpage.

Incident
Victim
Accused/Witnesses
<b>Notes</b>
Disposition History

2. Click File > Add Note. The Notes Details page will open.

## Incident Management (Non-APS)

**LOUISIANA DEPARTMENT OF HEALTH**  
Louisiana Incident Management System

Incident ID = 758 - 2/15/2019 9:42 AM Note

File Tools

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**Notes Details**

Division *	INC
Note By *	Smith, Jane
Note Date *	02/15/2019
Note Time	09:42 AM
Alleged Victim	<input type="text"/>
Note Type *	<input type="text"/>
Note	<div style="border: 1px solid #ccc; height: 100px;"></div>
Status *	Draft <input type="text"/>
Date Completed	<input type="text"/>

---

**Attachments**

[Add Attachment](#)

Document	Description	Category	Action
There are no attachments to display			

3. Alleged Victim = Select name from dropdown list.
4. Note Type = Investigation Findings.
5. Note = Type note in textbox.
6. Status = Defaults to Draft.
7. To attach the form to the note, click on Add Attachment.

### Attachments

[Add Attachment](#)

8. A new window will open that allows you to upload the document. Click Browse to locate the file on your computer. Once you have selected it, Click Upload.

**File**  [Browse...](#)

**File Name** ☒ from uploaded file ☐ create new

**Description**

**Category**

**Note: Maximum size for attachment is set to 5.76 MBytes.**

9. You will be brought back to the Note details page where you will see the uploaded document.

Attachments			
<a href="#">Add Attachment</a>			
Document	Description	Category	Action
Incident Immediate Reporting Form.txt			<a href="#">Remove</a>

10. When the note is complete, change the Status from Draft to Complete.
11. Click File > Save and Close Note.

## Submit Incident Record

When the Provider user has completed entering all the Incident information, they will submit the Incident Record to HSS.

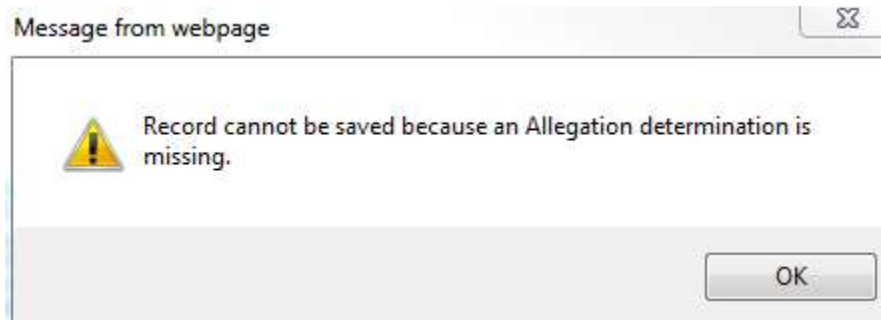
1. Click on the Incident subpage.

A vertical sidebar menu with five items: 'Incident' (highlighted in orange), 'Victim', 'Accused/Witnesses', 'Notes', and 'Disposition History'.

2. Scroll to the Decision section of the Incident Details page.

The screenshot shows the 'Incident Details' page. On the left is a sidebar menu with 'Incident' selected. The main content area is divided into sections: 'Event Information', 'Facility Details', and 'Decision'. The 'Decision' section is highlighted with a red box and a red arrow. It contains fields for 'Disposition' (set to 'Pending'), 'Status' (set to 'Pending'), and 'Law Enforcement Parish' (set to 'East Baton Rouge'). The 'Event Information' section contains various date and time fields, and the 'Facility Details' section contains address fields.

3. Change the following:
  - a. Disposition = Incident Closed
  - b. Status = Complete
4. Click File > Save and Close Incident.
5. Changing the Status to Complete will check the Incident record to ensure all information has been recorded. If there is missing information, a message will appear, alerting the user of the missing information and will not allow the user to save the Incident record.



**Note**

Changing the Status to Complete will render the Incident record read – only (non-editable). The Provider worker will need to contact the ICF-IID Program Manager or HSS Administrator to open the record.

## HSS Incident Report

This report allows the User to see the entire Incident record as it consolidates information from the Incident Details page, the Victim page, Accused/Witness subpage and the Notes subpage.

1. On the Incident Details page, click on Reports in the SIMS toolbar and select HSS Incident Report from the dropdown menu.



2. A Production Report window will open with the report output.



### Health Standards Incident Report

Incident ID	249	Report Status	Pending		Report Due Date	September 12, 2018
Report Method	Email	Law Enforcement Parish	East Baton Rouge			
Provider	(The)		Street Address	10145 Florida Blvd.		
City, State, Zip	BATON ROUGE, LA 70815		Phone	(225)272-0111		
Reporter	Guglielmo, Barbara		Relationship/Title	Implementation Consultant		

3. After reviewing the record, click the Internet Explorer File menu to close the window.

## Incident Report is Not Complete – Follow Up Needed

1. The Provider worker will receive an email requesting additional information. Take note of the Incident ID #.



Request for Additional Information note has been made for Incident ID {130}. Please log into SIMS to review the incident record.

Do not reply to this email message.

2. Upon login into SIMS, the Provider User will review the Note on the My Work dashboard. Click on Complete in the Incident Notes List.



3. Click on the note in the list view grid.



4. After reviewing the note, click on Tools and select Mark as Read. This will be recorded at the bottom of the note.



## Incident Management (Non-APS)

Name	Date Sent	Date Read	Status
Guglielmo, Barbara	01/10/2018	01/10/2018	Read

5. Click File > Close Note.
6. Click File > Close Incident Notes List.
7. Click on the Incidents Chapter.



8. After searching for the record, Enter the information requested by HSS.
9. After completing the record, click on the Notes subpage.

### Incident

#### Victim

#### Accused/Witnesses

#### Notes

#### Disposition History

10. Click File > Add Note.
11. Select Note Type = Investigation Findings.
12. Once the note is completed, change the Status field to equal Complete.
13. Click File > Save and Close Note.
14. Click on the Incident subpage.
15. Scroll down to the Decision section of the page and change the following fields:
  - a. Disposition = Incident Closed
  - b. Status = Closed.
16. Click File > Save and Close Incident.

## Referral to DSW Registry

1. The ICF-IID Provider will receive an email notification that an Incident needs additional CNA/DSW information. Take note of the Incident ID #.

## Incident Management (Non-APS)



Wed 3/6/2019 8:46 AM

Harmony NoReply <noreply@mediware.com>

CNA/DSW Additional Information Needed - Incident ID {651}

To Barbara Guglielmo

**i** This message was sent with High importance.  
Click here to download pictures. To help protect your privacy, Outlook prevented automatic download of some pictures in this message.

CNA/DSW Additional Information Needed note has been made for Incident ID {651}. Please log into SIMS to review the incident record.

Do not reply to this email message.

2. Upon login, the ICF-IID Provider will review the Note on the My Work dashboard. Click on Complete in the Incident Notes List.

The screenshot shows the 'MY WORK' dashboard with tabs for 'MY WORK', 'PROVIDERS', and 'INCIDENTS'. Under the 'INCIDENTS' tab, there are two main sections: 'INCIDENTS' and 'TASKS'. The 'INCIDENTS' section contains three items: 'Disposition' (Program Staff Reviewed- Follow Up Needed, 1), 'Incident Notes List' (Complete, 1), and 'Alert Notes' (Unread Alert Notes, 0). The 'TASKS' section contains one item: 'Links' (Training Resources for Nursing Homes and ICF/DD Providers). The 'Complete' status in the 'Incident Notes List' is highlighted with a red box.

3. Click on the Note in the list view grid.
4. After reviewing the note, click on Tools and select Mark as Read. This will be recorded at the bottom of the note.

The screenshot shows the 'Note' view with a 'File' menu and a 'Tools' menu. The 'Tools' menu is open, and 'Mark as Read' is highlighted with a red box. Below the menu is a table showing the note's status.

Name	Date Sent	Date Read	Status
Guglielmo, Barbara	01/10/2018	01/10/2018	Read

5. Click File > Close Note.
6. Click File > Close Incident Notes List.
7. Click on the Incidents Chapter.
8. Search and open the Incident record.
9. Click on the Notes Subpage.
10. Click File > Add Notes.
11. Select Investigation Findings as Note Type.
12. Use the Note textbox to capture additional information.
13. Click Add Attachment if documents need to be uploaded to the record.



## Incident Management (Non-APS)

14. Once all fields have been completed, change the Status field to equal Complete.
15. Click File > Save and Close Note.
16. Navigate back to the Incident Subpage.
17. Click File > Save and Close Incident.

### Grant Extensions Workflow: Approve Grant Extension Request

Monitor incidents whose Disposition = Extension Approved on My Work > Incidents Disposition Pane. Choose the Incident ID number of the incident with the Disposition of "Extension Approved". The Due Date is now changed on the Incident subpage.

MY WORK	PROVIDERS	INCIDENTS
---------	-----------	-----------

Incident ID	Report Received Date	Disposition	Status
832	03/15/2019	Extension Approved	Pending
834	03/15/2019	Extension Approved	Pending
640	01/30/2019	Incident Closed	Complete

Referrals

Adult Protective Services  
Attorney General  
CNA Registry  
Dept. of Social Services  
DSW Registry  
Law Enforcement  
Louisiana State Board of Medi

Due Date

08/14/2018

Facility Details

### Grant Extensions Workflow: Deny Grant Extension Request

Monitor incidents whose Disposition = Extension Denied on My Work > Incidents Disposition Pane. Choose the Incident ID number of the incident with the Disposition of "Extension Approved". The Due Date will not change on the Incident subpage.

MY WORK	PROVIDERS	INCIDENTS
---------	-----------	-----------

Incident ID	Report Received Date	Disposition	Status
830	03/15/2019	Extension Approved	Pending
825	03/15/2019	Extension Approved	Pending
655	01/30/2019	Extension Denied	Pending