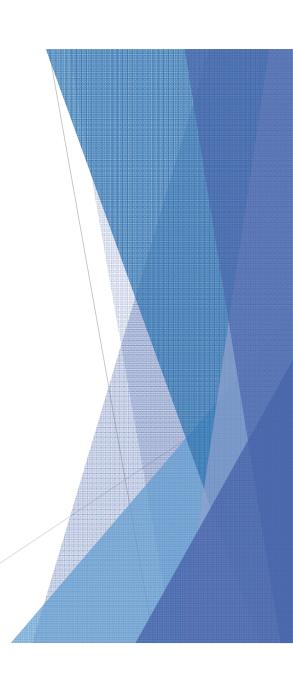
# **NHSN Overview**

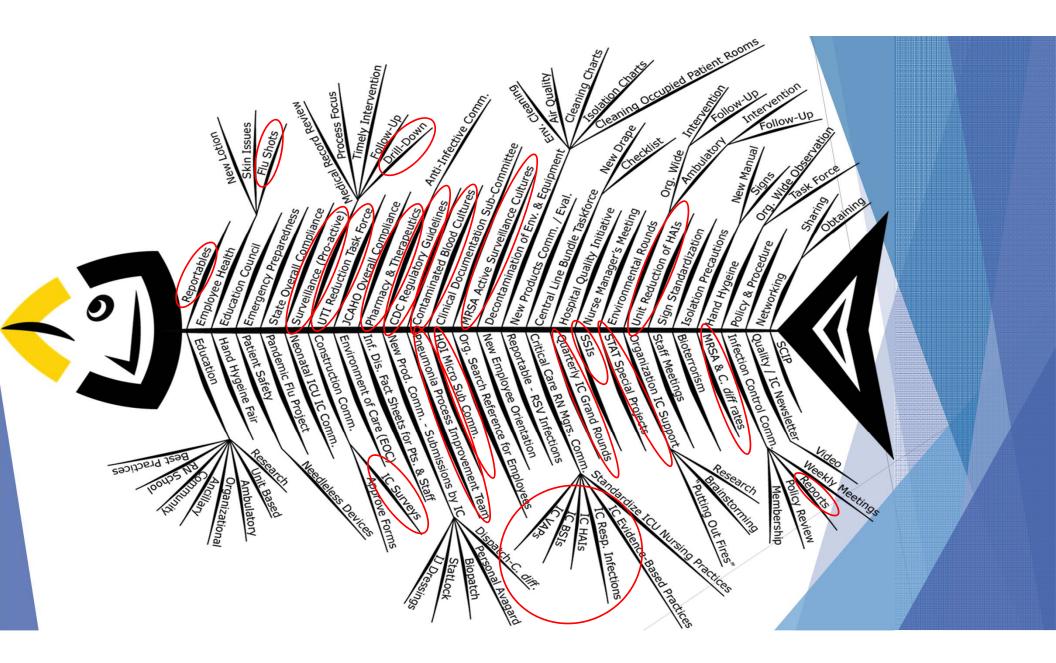
Two-Day Healthcare-Associated Infections Workshops
Infectious Disease Epidemiology
2017

# Objectives

By the end of the presentation, attendees will be able to:

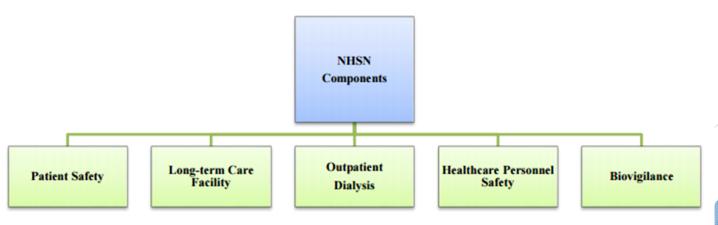
- Summarize the purpose of the National Healthcare Safety Network (NHSN)
  - Structure
  - ▶ Identifying a healthcare-associated infection (HAI)
- ▶ Define common terms associated with NHSN surveillance
  - ► Infection Window Period (IWP)
  - ► Location of Attribution (LOA)
  - Present on Admission (POA)
  - ▶ Transfer Rule





# About the National Healthcare Safety Network

- ► Free, internet-based surveillance system
- Operated by the Division of Healthcare Quality Promotion (DHQP) at Centers for Disease Control and Prevention (CDC)
- ► Facilities participate largely for reporting compliance with Centers for Medicare and Medicaid Services (CMS)
- Collects data about HAI and adherence to clinical practices



# Surveillance Techniques

- Active, patient-based, prospective surveillance of events and denominator data
- Data sources:
  - Laboratory
  - Pharmacy
  - Admission/Discharge/Transfer (ADT)
  - ► Radiology/Imaging
  - Pathology databases
  - Patient charts
  - Nurses/physicians notes



# Identifying HAI for NHSN Surveillance

To standardize the classification of an infection as present on admission (POA) or a HAI, the following objective surveillance definitions and guidance are used for NHSN surveillance:

- 7-day Infection Window Period
- Date of Event
- POA
- ► HAI
- ▶ 14-day Repeat Infection Timeframe (RIT)
- Secondary Bloodstream Infection Attribution Period
- Pathogen Assignment Guidance

# Key Terms: Healthcare-Associated Infection (HAI)

An infection is considered an HAI if the date of event of the NHSN site-specific infection criterion occurs on or after the 3<sup>rd</sup> calendar day of admission to an inpatient location where day of admission is calendar day 1.

- ▶ Does not apply to surgical site infections (SSI), ventilator-associated events (VAE), or laboratory-identified events (LabID) protocols
- ▶ If an observation patient is admitted to an inpatient location, the patient must be included in all surveillance events designated in the monthly reporting plan and included in patient and device-day counts

Reactivation of a latent infection (e.g., shingles, syphilis, TB, herpes simplex) is not considered to be an HAL.

# Key Terms: Date of Event

- ▶ Date the first element used to meet an NHSN site-specific criterion occurs for the first time within the seven-day infection window period
- Does not apply to LabID event or VAE

# Key Terms: 7-Day Infection Window Period

- ▶ Time frame during which all site-specific infection criteria must be met
- ▶ Includes the date the first positive diagnostic test that is used as an element of the site-specific infection criterion was obtained, the 3 calendar days before and the 3 calendar days after
- Important: use the first diagnostic test that creates an infection window period during which all elements of the criterion can be found

riod		3 days before
Infection Window Period	Date of first positive diagnostic test that is used as an element of the site-specific criterion OR In the absence of a diagnostic test, use the date of the first documented localized sign or symptom that is used as an element of the site-specific criterion	
Infe		3 days after

#### **Diagnostic Tests:**

- Laboratory specimen collection
- Imaging test
- Procedure or exam
- Physician diagnosis
- Initiation of treatment

# Infection Window Period Example

## Option 1: Correct diagnostic test selection

Hospital Day	Infection Window Period
-2	Terrou
-1	
1	
2 POA	New onset cough
3	Imaging test: Infiltrate
4	Fever > 38.0 C
5	Fever > 38.0 C
6	Blood culture: A. baumannii
7	Rales, Fever > 38.0 C
8	Cough, Rales
9	
10	
11	
12	
13	
14	
15	
16	
17	

## Option 2: Incorrect diagnostic test selection

Hospital Day	Infection Window Period
-2	
-1	
1	
2	New onset cough
3 HAI	Imaging test: Infiltrate
4	Fever > 38.0 C
5	Fever > 38.0 C
6	Blood culture: A. baumannii
7	Rales, Fever > 38.0 C
8	Cough, Rales
9	
10	
11	
12	
13	
14	
15	
16	
17	

# Key Terms: Present on Admission (POA)

- ▶ If the date of event of the NHSN site-specific infection criterion occurs during the POA time period, which is defined as the day of admission to an inpatient location (calendar day 1), the 2 days before admission, and the calendar day after admission
- Note: POA should not be applied to SSI, VAE, or LabID Events

Hospital Day	Date of Event Assignment for RIT	Classification
2 days before admit	Hospital Day 1	
1 day before admit	Hospital Day 1	POA
1	Hospital Day 1	POA
2	Hospital Day 2	
3	Hospital Day 3	
4	Hospital Day 4	HAI
5	Hospital Day 5	

Infections occurring in newborns with date of event on hospital day 1 or day 2 are considered POA.

# Key Terms: Repeat Infection Timeframe (RIT)

- 14-day period during which no new infections of the same type are reported
- Applies to both POA and HAI determinations
- ► The date of event is Day 1 of the 14-day RIT
- If criteria for the same type of infection are met and the date of event is within the 140day RIT, the new event is not identified or reported
- Note 1: RIT should not be applied to SSI, VAE, or LabID events
- Note 2: A patient may have negative cultures during the RIT without impact on the RIT
- Note 3: Do not change the deviceassociation determination during the RIT

#### Infection Window Period

(first positive diagnostic test, 3 days before and 3 days after)

#### Repeat Infection Timeframe (RIT)

(date of event = day 1)

#### Date of Event

(date the first element occurs for the first time within the infection window period)

HOSPITAL	RIT	INFECTION WINDOW PERIOD
DAY		
1		
2		
3		
4	1	Urine culture: >100,000 cfu/ml E. coli
5	2	Fever > 38.0 C
6	3	Fever > 38.0 C
7	4	
8	5	
9	6	Urine culture: No growth
10	7	
11	8	
12	9	Urine culture: > 100,000 cfu/ml S. aureus
13	10	
14	11	
15	12	
16	13	
17	14	
18		
19		
		SUTI-HAI Date of Event = 4 Pathogens = E. coli, S. aureus

# Repeat Infection Timeframe Example

HOSPITAL DAY	RIT	INFECTION WINDOW PERIOD
1		No Foley catheter
2		No Foley catheter
3		No Foley catheter
4	1	Urine culture: >100,000 CFU /ml S. aureus;
'	_	dysuria
5	2	Foley catheter inserted
6	3	Foley catheter
7	4	Foley catheter
8	5	Foley catheter
		Urine culture: >100,000 CFU/ml E. coli;
		Fever 39.0°C
9	6	
10	7 _	
11	8	Non-catheter associated SUTI
12	9	Date of Event = Day 4
13	10	UTI RIT = Day 4 - 17
14	11	Pathogens: S.aureus, E.coli
15	12	(Note: Meeting an event within the RIT
16	13	does not alter the original determination.
17	14	Date of Event, device association or RIT
18		does NOT change)
19	_	

# Key Terms: Location of Attribution

The inpatient location where the patient was assigned on the date of event

#### Location:

- Patient care area to which a patient is assigned while receiving care in the healthcare facility
- Only mapped inpatient locations where denominator data are collected can be used for reporting infection events via the Device-associated Module
- Operating rooms and outpatient locations are not valid locations for these types of surveillance
- ► The specific CDC Location code is determined by the type of patients cared for in that area according to the 80% Rule.

#### 80% Rule:

If 80% of patients are of a certain type, then that area is designated as that type of location. The admission/discharge diagnosis should be used when determining the appropriate location mapping.

# Key Terms: Transfer Rule

- ▶ If the date of event is on the date of transfer/discharge or the next day, the infection is attributed to the transferring/discharging location and admission
- ► This rule does not apply to LabID Event Reporting or SSI Surveillance

	3/22	3/23	3/24
Locations	Unit A	Unit A	Unit C
in which		Unit B	Unit D
patient was		Unit C	This is also the date of
housed			event for a CAUTI. CAUTI
			is attributed to Unit A since
			Unit A was the first
			location in which the
			patient was housed the day
			before the date of event.

#### Multiple Transfers:

In instances where a patient has been transferred to more than one location on the date of an infection, or the day before, attribute the infection to the <u>first</u> location in which the patient was housed the <u>day before</u> the infection's date of event.

# Secondary Bloodstream Infection (BSI) Attribution Period

- Period in which a blood specimen must be collected for a secondary bloodstream infection to be attributed to a primary site infection
- Includes the Infection Window Period combined with the Repeat Infection Time Frame
- ▶ Ranges from 14-17 days in length depending upon the date of event
- To determine a secondary BSI:
  - ▶ An NHSN site-specific definition must be met (chapter 17, UTI, PNEU, or SSI) AND one of the following scenarios must be met:
    - 1. At least one organism from the blood matches an organism identified from the site-specific infection that is used as an element to meet infection criteria and the blood specimen is collected in the attribution period

OR

2. An organism identified in the blood specimen matches an organism identified from the site-specific infection criterion, and therefore is collected during the infection window period

# Secondary BSI Attribution Period Example

#### Infection Window Period

(first positive diagnostic test, 3 days before and 3 days after)

## Repeat Infection Timeframe (RIT)

(date of event = day 1)

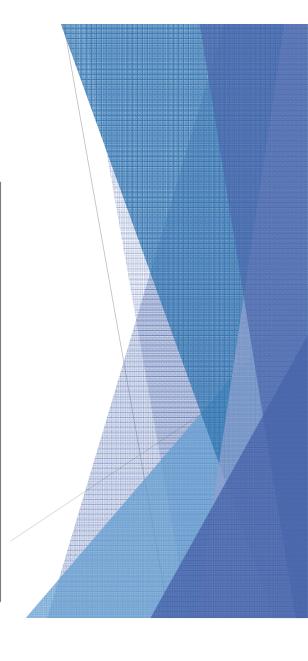
#### Secondary BSI Attribution Period

(Infection Window Period + RIT)

#### Date of Event

(date the first element occurs for the first time within the infection window period)

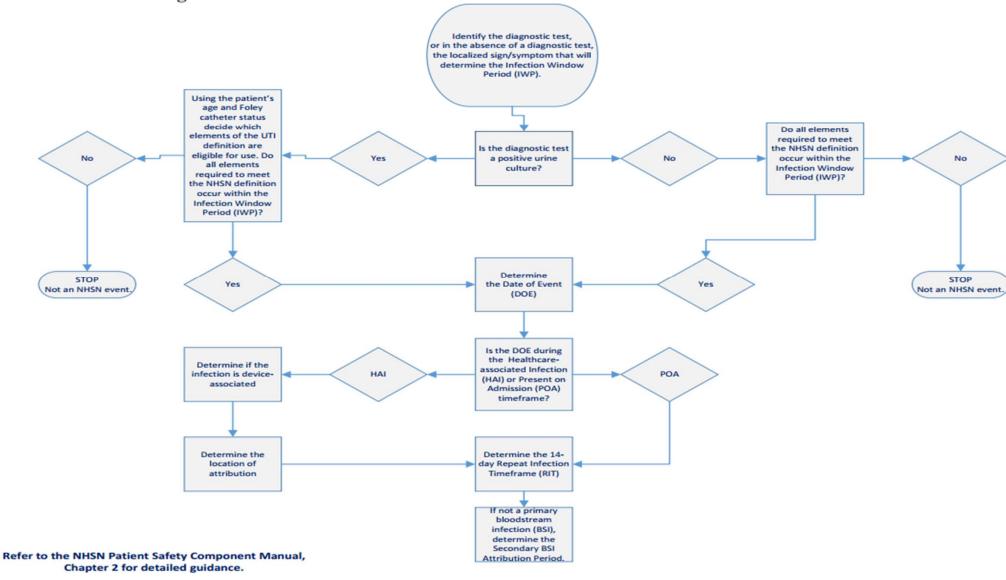
HOSPITAL DAY	BSI	RIT	INFECTION WINDOW PERIOD
1			
2			
3			
4		1	Urine culture: >100,000 cfu/ml E. coli
5		2	Fever > 38.0 C
6		3	Fever > 38.0 C
7		4	
8		5	
9		6	
10		7	Blood culture : E.coli
11		8	
12		9	Urine culture: > 100,000 cfu/ml S. aureus
13		10	
14		11	
15		12	
16		13	
17		14	
18			
19			
			SUTI & Secondary BSI Date of Event = 4 Pathogens = E. coli, S. aureus



# Pathogen Assignment Guidance

- Additional pathogens recorded during the RIT from the same type of infection are added to the event
- ▶ Report all site-specific pathogens before secondary BSI pathogens
- ▶ If at least one BSI pathogen with a collection date in the secondary BSI attribution period matches organism from a specimen that was used to meet a site-specific infection criterion, the BSI is considered secondary to the event

#### **APPENDIX: Flow Diagram for NHSN Event Determination**



# Getting Started: Monthly Reporting Plan

- ► First step in indicating that data should be submitted to CMS as part of the CMS Quality Reporting Programs
- ▶ Informs CDC which Patient Safety modules are used during a given month
- Identifies modules used, events, locations and/or procedures that will be monitored in-plan
- Only in-plan data are submitted to CMS in accordance with the IQR Program
- A plan must be completed for every month that data are entered into NHSN

If your facility does not complete measures that are part of CMS's Inpatient Prospective Payment System, you may complete a QualityNet Exception Form annually:

#### http://bit.ly/2mA0l6c

- Hospital performed a <u>combined</u> total of 9 or fewer colon surgeries and abdominal hysterectomies in the calendar year prior to the reporting year
- Hospital has no ICU locations or adult or pediatric medical, surgical or medical/surgical wards (CAUTI/CLABSI)
- Hospital has no obstetrics department and does not deliver babies (PC-01)
- Hospital has no Emergency Department and does not provide emergency care

## How Your Acute Care Hospital MRP Should Look:

- Device-Associated Module
  - Central Line-Associated Bloodstream Infections
    - ► All ICU locations
    - ► Adult/pediatric medical, surgical and med/surg wards
  - ► Catheter-Associated Urinary Tract Infections
    - Adult ICU locations
    - ► Adult/pediatric medical, surgical and med/surg wards
- Procedure-Associated Module
  - Inpatient Abdominal hysterectomies (HYST)
  - ► Inpatient Colon surgeries (COLO)
- Multi Drug-Resistant Organisms LabID Events
  - Locations: 1) emergency department; 2) IRF/IPF; 3) FACWIDEIN;4) 24-hour observation
  - MRSA Bacteremia: Blood specimens only
  - ► Clostridium difficile: All specimens

Facilities should also track Healthcare Worker Vaccination data in the Healthcare Personnel Safety Component. Monthly Reporting Plans should be indicated and healthcare worker Flu vaccination summary data should be entered by May 15th each year.

# **Annual Facility Survey**

- One or more annual facility surveys must be completed upon enrollment in to NHSN, activation of an NHSN component, and/or identification of select CMScertified unites
- A new facility survey(s) must be completed to reflect data from the prior calendar year
  - ► Example: an acute care hospital completes its 2016 Annual Hospital Survey containing data from 2016 at the beginning of 2017

# Tips and Tricks:

#### Adding Users:

- Must be done by the NHSN Facility Administrator
- ▶ Ensure that the e-mail address of the user is correct
- When adding users, they will need to go through the grid card identification process; ensure that the new user's <u>home</u> address is used when verifying

#### **Generate Datasets:**

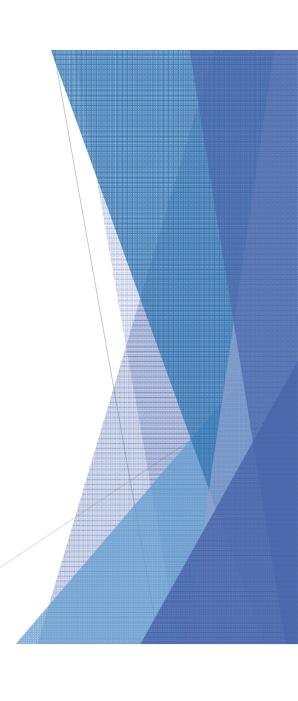
- Do this often!
- Read footnotes on data outputs

#### Resolve Alerts:

- ▶ Alerts tell you about missing data. Consult these ahead of each reporting deadline
- ► Ensure you're entering data at least within 30 days of the end of each month
- You will receive quarterly quality reports from IDEpi around two weeks before each deadline. Ensure your data are complete for an accurate report!

## **Ensure Your Success!**

- Stay up-to-date with reporting guidelines
- Read the NHSN e-Newsletters (generally distributed quarterly)
- Ask your peers! Use your collaborative networks, APIC chapters, and networking time today to discuss difficult cases
- Consult with the Louisiana HAI Program about cases
- Contact <a href="mailto:nhsn@cdc.gov">nhsn@cdc.gov</a> if you encounter system errors



# Questions? Thank you!